# Fiscal Year 2014 Budget Request



# Missouri Department of Insurance, Financial Institutions and Professional Registration FY2014 Budget Request - Table of Contents

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#### Department of Insurance, Financial Institutions and Professional Registration Overview

Created in 2006, the department consists of the former Missouri Department of Insurance and the Divisions of Finance, Credit Unions and Professional Registration formerly within the Missouri Department of Economic Development. The department protects consumers through oversight of the insurance industry, financial institutions and licensed professionals.

The department organizational structure includes the director's office and seven divisions.

Director's Office: Charged with overseeing operations of the department. The director's office handles regulatory transactions, provides legal assistance to other divisions in the department and coordinates dissemination of department information to the public.

Administration Division: Responsible for department-wide administrative support functions—including accounting, human resources, budget and information systems. The division is also responsible for the licensing of insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, motor vehicle service contract providers & producers and portable electronics insurance providers.

Consumer Affairs Division: Provides information about insurance to around 28,000 consumers each year through a statewide toll-free hotline and the department's website. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and producers. The division also provides insurance education and outreach activities to Missouri citizens.

Insurance Market Regulation Division: Reviews in excess of 10,000 policy forms, rates, and other pertinent material filed by insurance companies each year to ensure those products' compliance with state statutes and regulations. Performs market conduct examinations of insurance companies to ensure equitable treatment of policyholders and determine compliance with the statutes and regulations of the state in the company's operations. The division's statistics section actively monitors the insurance marketplace through the collection and compilation of industry financial and claim data.

Insurance Company Regulation Division: Responsible for monitoring and analyzing the financial solvency of insurance companies licensed in the state. The division performs financial examinations of insurance companies to prevent insolvencies and to take the appropriate action against financially unsound or incompetently managed companies. The division also licenses and regulates captive insurance companies as well as certifies about \$203 million in premium taxes for collection by the state.

Division of Credit Unions: Responsible for the examination, supervision, chartering, merger and liquidation of Missouri's 121 state-chartered credit unions. The division also responds to consumer complaints against credit union services or operations. Missouri credit unions have approximately 1.2 million member and assets exceeding \$10.8 billion. Missouri ranks eighth in the nation in the number of state-chartered credit unions.

Division of Finance: Responsible for the incorporation and regulation of Missouri's 274 state-chartered banks, 6 non-deposit trust companies, and 6 savings and loan associations. The division also licenses and regulates consumer credit companies, credit services organizations, money order companies and mortgage loan originators. Primary objectives include ensuring the safety and soundness of these institutions and the monitoring of compliance with laws and regulations, thereby safeguarding the funds of depositors and maintaining public confidence in Missouri's financial system. Missouri ranks fifth in the nation in the number of state-chartered banks.

Division of Professional Registration: Responsible for supporting 40 professional licensing boards and commissions in licensing and regulating the activities of over 400,000 Missourians representing approximately 240 different trades and professions. The boards and commissions process applications, administer examinations and, when warranted, conduct investigations into possible professional misconduct and may take disciplinary action against the practitioner. 1



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and Administrative

# Missouri Department of Insurance, Financial Institutions and Professional Registration

## State Auditor's Reports, Oversight Evaluations and Missouri Sunset Act Reports

	Type of	Date	
Program or Division Name	Report	Issued	Website
Department of Insurance, Financial Institutions and Professional			
Registration - Insurance, Three Years Ended June 30, 2012	Audit	Pending	Pending
Department of Insurance, Financial Institutions and Professional			
Registration - Division of Finance	Audit	9/2012	www.auditor.mo.gov/AuditReports/CitzSummary.aspx?id=119
Department of Insurance, Financial Institutions and Professional			
Registration - Division of Finance	Audit	5/2011	www.auditor.mo.gov/press/2011-17.htm
Department of Insurance, Financial Institutions and Professional			
Registration - Insurance Two Years Ended June 30, 2009	Audit	8/2010	www.auditor.mo.gov/press/2010-99.htm
		and Comment of the Co	
Department of Insurance Three Years Ended June 30, 2007	Audit	12/2007	www.auditor.mo.gov/press/2007-84.htm
	Oversight		
Program Evaluation: Insurance Mandates	Evaluation	9/2006	www.moga.mo.gov/oversight/audits.htm
Division of Professional Registration, State Board of Cosmetology	Audit	2/2006	www.auditor.mo.gov/press/2006-07.htm
Division of Professional Registration, State Board of Barber Examiners	Audit	2/2006	www.auditor.mo.gov/press/2006-06.htm
D		40/0005	/ /0005 75   //
Department of Insurance Two Years Ended June 30, 2004	Audit	10/2005	www.auditor.mo.gov/press/2005-75.htm
Department of Incurrence Three Vegra Ended June 20, 2002	Audit	7/2003	www.auditor.mo.gov/press/2003-77.pdf
Department of Insurance Three Years Ended June 30, 2002 Department of Insurance Division of Consumer Affairs Complaint	Audit	112003	www.auditor.mo.gov/press/2003-77.pdr
Processing	Audit	6/2002	www.auditor.mo.gov/press/2002-43.pdf
Division of Professional Registration, Missouri Board for Architects,	Audit	UIZUUZ	www.duditor.mo.gov/press/2002-10.pdr
Professional Engineers, and Professional Land Surveyors	Audit	9/2001	www.auditor.mo.gov/press/2001-98.htm
1 Totadalonia: Engineera, and 1 Totadaloniai Edila Guiveyora	Addit	J12001	TTTT AGGILLO GOTTO GOTTO GOTTO
State Departments' Travel Regulations, Policies and Procedures	Audit	9/2001	www.auditor.mo.gov/press/2001-95.pdf
Division of Professional Registration and the Missouri State Board of			
Nursing	Audit	8/2001	www.auditor.mo.gov/press/2001-64.htm
Department of Insurance	Audit	4/2000	www.auditor.mo.gov/press/2000-22.pdf

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#### NEW DECISION ITEM

SOSE TO COURT	nue FY 2013 Pay F	lan		<b>I# 000001</b> 3					
1. AMOUNT C	F REQUEST								
		Y 2014 Budget					4 Governor's		ation
	GR	Federal	Other	Total		GR	<u>Federal</u>	Other	Total
PS .	0	714	13,988	14,702	PS	0	0	0	0
	0	0	0	0		0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
rrf	C		0	0	TRF	0	0	0	0_
Total		714	13,988	14,702	Total	0	0	0	
-TE	0.0	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	173	3,380	3,552	Est. Fringe	7 0	01	٥١	01
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Other Funds:	Various Departm	ent Funds			Other Funds	<b>5</b> :			
7. THIS REQU	EST CAN BE CAT	FGORIZED AS	**************************************			rr nasacaarnasaccaardiddddd ym mae'n mae'n cynnifedd y 1987 19			
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	New Legislation		COMMO		rogram			und Switch	
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	Federal Mandate			Snace	Request		i:	quipment Rep	piacement
	GR Pick-Up		K===	Christman Commence Comment Com	•		NAME OF THE OWNER OW		
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	GR Pick-Up Pay Plan			Other:	medical data (CO)				
3. WHY IS TH	GR Pick-Up Pay Plan IS FUNDING NEE			Other:	•	2. INCLUDE T	HE FEDERAL	OR STATE S	TATUTORY C
3. WHY IS TH	GR Pick-Up Pay Plan			Other:	medical data (CO)	2. INCLUDE T	HE FEDERAL	OR STATE S	TATUTORY C
3. WHY IS TH CONSTITUTION	GR Pick-Up Pay Plan IS FUNDING NEE DNAL AUTHORIZA	TION FOR THIS	S PROGRAM	Other:	WIS CHECKED IN #	предоставления предос			
3. WHY IS TH CONSTITUTION	GR Pick-Up Pay Plan IS FUNDING NEE DNAL AUTHORIZA	TION FOR THIS	S PROGRAM	Other:	medical data (CO)	предоставления предос			

#### **NEW DECISION ITEM**

RANK:	2	OF	11
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Department of Insurance, Financial Institutions and Professional Registration	Budget Unit Various	
Cost to Continue FY 2013 Pay Plan DI# 0000013		

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

This request is based off of the pay plan added in Fiscal Year 2013 and includes funding for the 24th pay period.

5. BREAK DOWN THE REQUEST BY B								Dood Boo	Don't Box
	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS
			714		13,988		14,702	0.0	
							0	0.0	2000
Total PS	. 0	0.0	714	0.0	13,988	0.0	14,702	0.0	0
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NEW DECISION ITEM
2 OF 11 RANK: \_\_\_\_2\_\_\_

Department of Insurance, Financial Institutions and Professional Registration			Budget Unit	Various					
Cost to Continue FY 2013 Pay Plan		DI# 0000013							
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0 0	0.0 0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0 0 0		
Total EE	0		0	•	0		0		0
Program Distributions Total PSD	0		0	•	0		0		0
Transfers Total TRF	0		0	-	0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	and the second s

DI	F	P
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#### **DECISION ITEM DETAIL** \*\*\*\*\* FY 2013 FY 2014 FY 2014 **Budget Unit** FY 2012 FY 2012 FY 2013 **Decision Item** ACTUAL ACTUAL BUDGET BUDGET **DEPT REQ DEPT REQ SECURED SECURED** DOLLAR COLUMN **Budget Object Class** DOLLAR FTE DOLLAR FTE FTE COLUMN **DEPT ADMINISTRATION** Pay Plan FY13-Cost to Continue - 0000013 ACCOUNTANT I 0 0.00 0 0.00 2 0.00 0 0.00 ACCOUNTING SPECIALIST I 0 0.00 0 0.00 1 0.00 0 0.00 ACCOUNTING ANAL II 0 1 0.00 0.00 0 0.00 0.00 0 BUDGET ANAL III 0 18 0 0.00 0.00 0.00 0.00 **HUMAN RELATIONS OFCR I** 0 0.00 0 0,00 4 0.00 0 0.00 PUBLIC INFORMATION SPEC II 0 0.00 0 0.00 2 0.00 0 0.00 PUBLIC INFORMATION ADMSTR 0.00 0 0.00 0.00 0.00 FISCAL & ADMINISTRATIVE MGR B1 0 0.00 0 0.00 14 0.00 0 0.00 FISCAL & ADMINISTRATIVE MGR B2 0 0.00 0 0.00 0.00 0 0.00 **HUMAN RESOURCES MGR B1** 0.00 0.00 0 0.00 0.00 DESIGNATED PRINCIPAL ASST DIV 0 0.00 0 0.00 4 0.00 0.00 LEGAL COUNSEL 0 0.00 0 0.00 20 0.00 0 0.00 MISCELLANEOUS PROFESSIONAL 0.00 0 0.00 0 0.00 25 0.00 TOTAL - PS 0 0.00 0 0.00 100 0.00 0 0.00 \$0 **GRAND TOTAL** \$0 0.00 \$0 0.00 \$100 0.00 0.00 **GENERAL REVENUE** \$0 0.00 \$0 0.00\$0 0.00 0.00 \$0 0.00 FEDERAL FUNDS \$0 0.00 \$0 0.00 0.00 OTHER FUNDS \$0 \$0 \$100 0.00 0.00 0.00 0.00

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FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	********	
ACTUAL	ACTUAL	. BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
C	0.00	0	0.00	20	0.00	0	0.00	
O	0.00	0	0.00	22	0.00	0	0.00	
O	0.00	0	0.00	49	0.00	0	0.00	
C	0.00	0	0.00	29	0.00	0	0.00	
O	0.00	0	0.00	60	0.00	0	0.00	
C	0.00	0	0.00	36	0.00	0	0.00	
C	0.00	0	0.00	32	0.00	0	0.00	
C	0.00	0	0.00	45	0.00	0	0.00	
O	0.00	0	0.00	254	0.00	0	0.00	
C	0.00	0	0.00	167	0.00	0	0.00	
O	0.00	0	0.00	714	0.00	0	0.00	
\$0	0.00	\$0	0.00	\$714	0.00	\$0	0.00	
\$0	0.00	\$0	0.00	\$0	0.00	2000	0.00	
\$0	0.00	\$0	0.00	\$714	0.00		0.00	
\$0	0.00	\$0	0.00	\$0	0.00		0.00	
	ACTUAL DOLLAR  0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ACTUAL PTE  O 0.00	ACTUAL PTE DOLLAR  0 0.00 0 0	ACTUAL DOLLAR         ACTUAL FTE         BUDGET DOLLAR         BUDGET FTE           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           \$0         0.00         \$0         0.00           \$0         0.00         \$0         0.00           \$0         0.00         \$0         0.00           \$0         0.00         \$0         0.00	ACTUAL DOLLAR         ACTUAL FTE         BUDGET DOLLAR         BUDGET FTE         DEPT REQ DOLLAR           0         0.00         0.00         20           0         0.00         0.00         22           0         0.00         0.00         49           0         0.00         0.00         29           0         0.00         0.00         60           0         0.00         0.00         36           0         0.00         0.00         32           0         0.00         0.00         32           0         0.00         0.00         45           0         0.00         0.00         254           0         0.00         0.00         167           0         0.00         0.00         714           \$0         0.00         \$0         0.00         \$714           \$0         0.00         \$0         0.00         \$714	FY 2012 ACTUAL DOLLAR         FY 2013 BUDGET BUDGET DEPT REQ DOLLAR         FY 2014 FTE         FY 2014 DOLLAR         FY 2014 DEPT REQ DOLLAR         FTE         DOLLAR         FY 2014 FTE         FY 2014 DEPT REQ	FY 2012 ACTUAL DOLLAR         FY 2013 BUDGET DOLLAR         FY 2014 DOLLAR         FY 2014 FTE         FY 2014 DEPT REQ DEPT REQ DOLLAR         FY 2014 FTE         SECURED COLUMN           0         0.00         0         0.00         20         0.00         0           0         0.00         0         0.00         22         0.00         0           0         0.00         0         0.00         49         0.00         0           0         0.00         0         0.00         29         0.00         0           0         0.00         0         0.00         49         0.00         0           0         0.00         0         0.00         29         0.00         0           0         0.00         0         0.00         60         0.00         0           0         0.00         0         0.00         36         0.00         0           0         0.00         0         0.00         32         0.00         0           0         0.00         0         0.00         45         0.00         0           0         0.00         0         0.00         167         0.00         0	

DIFP			

DIFP						C	ECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS			Annual Copper State of the			Octobra de la companya del la companya de la compan	The state of the s	
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	26	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	88	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	208	0.00	0	0.00
OFFICE SERVICES ASST	0	0.00	0	0.00	23	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	61	0.00	0	0.00
ACCOUNTANT I	0	0.00	0	0.00	57	0.00	0	0.00
ACCOUNTING SPECIALIST I	0	0.00	0	0.00	32	0.00	0	0.00
ACCOUNTING ANAL II	0	0.00	0	0.00	30	0.00	0	0.00
BUDGET ANAL III	0	0.00	0	0.00	18	0.00	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	0	0.00	31	0.00	0	0.00
RESEARCH ANAL II	0	0.00	0	0.00	60	0.00	0	0.00
RESEARCH ANAL III	0	0.00	0	0.00	101	0.00	0	0.00
RESEARCH ANAL IV	0	0.00	0		129	0.00	0	0,00
PUBLIC INFORMATION SPEC II	0	0.00	O	0.00	22	0.00	0	0.00
PUBLIC INFORMATION ADMSTR	0	0.00	O	0.00	39	0.00	0	0.00
PLANNER I	0	0.00	0	0.00	29	0.00	0	0.00
PLANNER II	0	0.00	C	0.00	65	0.00	0	0.00
INVESTIGATOR II	0	0.00	O	0.00	205	0.00	0	0.00
INVESTIGATOR III	0	0.00	O	0.00	32	0.00	0	0.00
INSURANCE PRODUCT ANALYST II	0	0.00	C	0.00	168	0.00	0	0.00
INSURANCE PRODUCT ANALYST III	0	0.00	O	0.00	96	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	0	0.00	C	0.00	212	0.00	0	0.00
WORKERS COMPENSATION SPEC	0	0.00	C	0.00	62	0.00	0	0.00
INSURANCE FINANCIAL ANALYST II	0	0.00	C	0.00	96	0.00	0	0.00
CONSUMER SERVICES SPEC I	0	0.00	C	0.00	25	0.00	0	0.00
CONSUMER SERVICES SPEC II	0	0.00	C	0.00	291	0.00	0	0.00
INSURANCE LICENSING TECH I	0	0.00	C	0.00	152	0.00	0	0.00
INSURANCE LICENSING TECH II	0	0.00	C	0.00	104	0.00	0	0.00
TAX AUDITOR I	0	0.00	C	0.00	57	0.00	0	0.00
TAX AUDITOR II	0	0.00	C	0.00	119	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	0	0.00	C	0.00	31	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	C	0.00	31	0.00	0	0.00

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DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS	7)						distribution of the second	
Pay Plan FY13-Cost to Continue - 0000013								
FISCAL & ADMINISTRATIVE MGR B2	0	0.00	0	0.00	47	0.00	0	0.00
HUMAN RESOURCES MGR B1	0	0.00	0	0.00	32	0.00	0	0.00
INVESTIGATION MGR B1	0	0.00	0	0.00	43	0.00	0	0.00
INSURANCE REGULATORY MGR B1	0	0.00	0	0.00	122	0.00	0	0.00
INSURANCE REGULATORY MGR B2	0	0.00	0	0.00	84	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	0	0.00	210	0.00	0	0.00
PARALEGAL	0	0.00	0	0.00	27	0.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	157	0.00	0	0.00
SENIOR COUNSEL	0	0.00	0	0.00	352	0.00	0	0.00
M C EXAMINER	0	0.00	0	0.00	18	0.00	0	0.00
M C EXAMINER III	0	0.00	0	0.00	114	0.00	0	0.00
MANAGER	0	0.00	0	0.00	49	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	3,955	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$3,955	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$3,955	0.00		0.00

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DIFP						
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	

DIFP						E	ECISION ITI	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE EXAMINATIONS							2000	
Pay Plan FY13-Cost to Continue - 0000013								
M C EXAMINER II	0	0.00	0	0.00	380	0.00	0	0.00
FINANCIAL EXAMINER II	0	0.00	. 0	0.00	136	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	516	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$516	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$516	0.00		0.00

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#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 **Decision Item** ACTUAL ACTUAL BUDGET BUDGET **DEPT REQ DEPT REQ SECURED SECURED Budget Object Class** DOLLAR **DOLLAR** FTE **DOLLAR** FTE FTE COLUMN COLUMN **CREDIT UNIONS** Pay Plan FY13-Cost to Continue - 0000013 COMMISSION MEMBER 0.00 0.00 15 0.00 0.00 0 0 0 SR OFC SUPPORT ASST (KEYBRD) 0 0.00 0 0.00 17 0.00 0 0.00 EXECUTIVE II 0 0.00 0 0.00 40 0.00 0 0.00 FINANCIAL EXAM ASST II 0 0.00 0 0.00 42 0.00 0 0.00 FINANCIAL EXAMINER 0 0 250 0 0.00 0.00 0.00 0.00 SENIOR FINANCIAL EXAMINER 0 0 51 0 0.00 0.00 0.00 0.00 TOTAL - PS 0 0.00 0 0.00 415 0.00 0 0.00 **GRAND TOTAL** \$0 0.00 \$0 0.00 \$415 0.00 \$0 0.00 **GENERAL REVENUE** 0.00 \$0 0.00\$0 0.00\$0 0.00 FEDERAL FUNDS \$0 \$0 0.00 0.00 \$0 0.00 0.00 0.00

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OTHER FUNDS

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Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	kan kwa 1860 kwa 185 kwa 186 k
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE							2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	53	0.00	0	0.00
ADMINISTRATIVE SECRETARY	0	0.00	0	0.00	64	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	62	0.00	0	0.00
ACCOUNTANT II	O	0.00	0	0.00	32	0.00	0	0.00
ASSISTANT BANK EXAMINER	0	0.00	0	0.00	438	0.00	0	0.00
SENIOR ASSISTANT BANK EXAMINER	0	0.00	0	0.00	240	0.00	0	0.00
BANK EXAMINER	0	0.00	0	0.00	200	0.00	0	0.00
ASSIST TRUST EXAMINER	0	0.00	0	0.00	34	0.00	0	0.00
REPORT ANALYST	0	0.00	0	0.00	32	0.00	0	0.00
ASSISTANT BANK EXAMINER II	0	0.00	0	0.00	355	0.00	0	0.00
SENIOR ASST CONS. CREDIT EXAM	0	0.00	0	0.00	38	0.00	0	0.00
CONSUMER CREDIT EXAMINER	0	0.00	0	0.00	100	0.00	0	0.00
CONSUMER CREDIT SPECIALIST	0	0.00	0	0.00	37	0.00	0	0.00
SENIOR ASSISTANT EXAMINER II	0	0.00	0	0.00	390	0.00	0	0.00
BANK EXAMINER II	0	0.00	0	0.00	480	0.00	0	0.00
MORTGAGE LICENSING SPECIALIST	0	0.00	0	0.00	37	0.00	0	0.00
SR ASST CONS CREDIT EXAM II	0	0.00	0	0.00	41	0.00	0	0.00
CONSUMER CREDIT EXAMINER II	0	0.00	0	0.00	50	0.00	0	0.00
MORTGAGE LICENSING SPEC II	0	0.00	0	0.00	50	0.00	0	0.00
FISCAL AND ADMINISTRATIVE MNGR	0	0.00	0	0.00	41	0.00	0	0.00
COMMISSION MEMBER	0	0.00	0	0.00	2	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	4	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	O	0.00	0	0.00	154	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	2,934	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$2,934	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0		\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$2,934	0.00		0.00

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Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION							***************************************	
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (CLERICAL)	C	0.00	0	0.00	20	0.00	0	0.00
SR OFC SUPPORT ASST (CLERICAL)	C	0.00	0	0.00	21	0.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	C	0.00	0	0.00	179	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	C	0.00	0	0.00	112	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	C	0.00	0	0.00	43	0.00	0	0.00
ACCOUNT CLERK II	C	0.00	0	0.00	45	0.00	0	0.00
ACCOUNTANT II	C	0.00	0	0.00	32	0.00	0	0.00
BUDGET ANAL II	C	0.00	0	0.00	31	0.00	0	0.00
PERSONNEL OFCR I	C	0.00	0	0.00	43	0.00	0	0.00
RESEARCH ANAL II	C	0.00	0	0.00	29	0.00	0	0.00
EXECUTIVE I	C	0.00	0	0.00	87	0.00	0	0.00
EXECUTIVE II	C	0.00	0	0.00	31	0.00	0	0.00
PERSONNEL CLERK	0	0.00	0	0.00	24	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	128	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	39	0.00	0	0.00
GRAPHIC ARTS SPEC II	0	0.00	0	0.00	22	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	0	0.00	0	0.00	38	0.00	0	0.00
INSURANCE FINANCIAL ANALYST II	0	0.00	0	0.00	67	0.00	0	0.00
COMBATIVE SPORTS ADMINISTRATOR	0	0.00	0	0.00	44	0.00	0	0.00
INSPECTOR (PROF REGISTRATION)	0	0.00	0	0.00	259	0.00	0	0.00
INSP SUPV (PROF REGISTRATION)	0	0.00	0	0.00	26	0.00	0	0.00
FUNERAL ESTABLISHMENT INSP	0	0.00	0	0.00	34	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	138	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	295	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	0	0.00	96	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	0	0.00	141	0.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	153	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	84	0.00	0	0.00
STUDENT WORKER	0	0.00	0	0.00	7	0.00	0	0.00
CLERK	0	0.00	0	0.00	46	0.00	0	0.00
INSPECTOR	0	0.00	0	0.00	37	0.00	0	0.00

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DIFP							<b>DECISION IT</b>	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION		***************************************	- Control of the Cont					280
Pay Plan FY13-Cost to Continue - 0000013								
PRINCIPAL ASST BOARD/COMMISSON	0	0.00	0	0.00	350	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	2,701	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$2,701	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$2,701	0.00		0.00

DIFP						ſ.	DECISION IT	'EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	*********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
STATE BOARD OF ACCOUNTANCY								
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	24	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	21	0.00	0	0.00
SENIOR AUDITOR	0	0.00	0	0.00	39	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	27	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	40	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	6	0.00	0	0.00
CLERK	0	0.00	0	0.00	17	0.00	0	0.00
TOTAL - PS	Q	0.00	0	0.00	174	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$174	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$174	0.00		0.00

DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ARCHITECTS, P.E. & LAND SURV.				***************************************				
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)		0.00		0 0.0	00 21	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	,	0.00		0 0.0	00 23	0.00	0	0.00
ACCOUNT CLERK II	1	0.00		0 0.0	00 23	0.00	0	0.00
EXECUTIVE I	:	0.00		0 0.0	00 30	0.00	0	0.00
INVESTIGATOR II		0.00		0 0.0	00 34	0.00	0	0.00
PROF REG LIC TECH I		0.00		0 0.0	00 21	0.00	0	0.00
PROF REG LIC TECH II		0.00		0 0.0	00 77	0.00	0	0.00
BOARD MEMBER		0.00		0 0.0	00 24	0.00	0	0.00

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TOTAL - PS

GENERAL REVENUE

FEDERAL FUNDS

OTHER FUNDS

**GRAND TOTAL** 

DIFP							DECISION IT	TEM DETAIL
Rudget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*******

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MISSOURI DENTAL BOARD								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	(	0.00	0	0.00	24	0.00	0	0.00
ACCOUNT CLERK II	(	0.00	0	0.00	24	0.00	0	0.00
INVESTIGATOR I	(	0.00	0	0.00	30	0.00	0	0.00
INVESTIGATOR II	C	0.00	0	0.00	35	0.00	0	0.00
INVESTIGATOR III	C	0.00	0	0.00	42	0.00	0	0.00
PROF REG LIC TECH II	C	0.00	0	0.00	32	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	C	0.00	0	0.00	31	0.00	0	0.00
BOARD MEMBER	C	0.00	0	0.00	31	0.00	0	0.00
CLERK	C	0.00	0	0.00	3	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	C	0.00	0	0.00	26	0.00	0	0.00
TOTAL - PS	(	0.00	0	0.00	278	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$278	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$278	0.00		0.00

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EV 2012	EV 2042	EV 2042	EV 2042	EV 2014	EV 2044	*****	*****
							SECURED
DOLLAR	=				· · · <del></del>		COLUMN
						ydd Chwyl yn cyfriaith y chygyg Yfarth acyfraethiol ac yn yn aidd a	
0	0.00	0	0.00	75	0.00	0	0.00
0	0.00	0	0.00	42		0	0.00
0	0.00	0	0.00	24		0	0.00
0	0.00	0	0.00	111		0	0.00
0	0.00	0	0.00	21		0	0.00
0	0.00	0	0.00	24		0	0.00
0	0.00	0		11		0	0.00
0	0.00	0	0.00	442		0	0.00
0	0.00	0		39	0.00	0	0.00
0	0.00	0		50		0	0.00
0	0.00	0				0	0.00
0	0.00	0	0.00	29	0.00	0	0.00
0	0.00	0	0.00	32	0.00	0	0.00
0	0.00	0	0.00	45	0.00	0	0.00
0	0.00	0	0.00	25	0.00	0	0.00
0	0.00	0	0.00	48	0.00	0	0.00
0	0.00	0	0.00	14	0.00	0	0.00
0	0.00	0	0.00	8	0.00	0	0.00
0	0.00	0	0.00	1,082	0.00	0	0.00
\$0	0.00	\$0	0.00	\$1,082	0.00	\$0	0.00
\$0	0.00	\$0	0.00	\$0	0.00		0.00
\$0	0.00	\$0	0.00	\$0	0.00		0.00
\$0	0.00	\$0	0.00	\$1,082	0.00		0.00
	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ACTUAL PTE  0 0.000	ACTUAL DOLLAR    O   0.00   0   0   0   0   0   0   0   0	ACTUAL DOLLAR         ACTUAL FTE         BUDGET DOLLAR         BUDGET FTE           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0         0.00         0.00         0.00           0	ACTUAL DOLLAR         ACTUAL FTE         BUDGET DOLLAR         BUDGET FTE         DEPT REQ DOLLAR           0         0.00         0         0.00         75           0         0.00         0         0.00         42           0         0.00         0         0.00         24           0         0.00         0         0.00         111           0         0.00         0         0.00         21           0         0.00         0         0.00         24           0         0.00         0         0.00         21           0         0.00         0         0.00         24           0         0.00         0         0.00         24           0         0.00         0         0.00         24           0         0.00         0         0.00         39           0         0.00         0         0.00         39           0         0.00         0         0.00         39           0         0.00         0         0.00         32           0         0.00         0         0.00         32           0         0.00	ACTUAL   DOLLAR   BUDGET   DOLLAR   FTE   DOLLAR	ACTUAL ACTUAL FIE DOLLAR BUDGET DOLLAR FTE COLUMN  0 0.00 0 0.00 0 0.00 42 0.00 0 0 0.00 0 0.00 42 0.00 0 0 0.00 0 0.00 1111 0.00 0 0 0.00 0 0.00 1111 0.00 0 0 0.00 0 0.00 111 0.00 0 0 0.00 0 0.00 111 0.00 0 0 0.00 0 0.00 111 0.00 0 0 0.00 0 0.00 111 0.00 0 0 0.00 0 0.00 442 0.00 0 0 0.00 0 0.00 442 0.00 0 0 0.00 0 0.00 442 0.00 0 0 0.00 0 0.00 39 0.00 0 0 0.00 0 0.00 42 0.00 0 0 0.00 0 0.00 42 0.00 0 0 0.00 0 0.00 42 0.00 0 0 0.00 0 0.00 42 0.00 0 0 0.00 0 0.00 45 0.00 0 0 0.00 0 0.00 45 0.00 0 0 0.00 0 0.00 45 0.00 0 0 0.00 0 0.00 48 0.00 0 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 14 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00 0 0.00 0 0.00 0 0.00 0 0.00 0 0.00 0 0 0.00

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Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*********	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING		Physical Physical Company (1997)	***************************************					
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	C	0.00	0	0.00	42	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	C	0.00	0		43	0.00	0	0.00
EXECUTIVE I	C		0		29	0.00	0	0.00
REGISTERED NURSE VI	(	0.00	0	0.00	148	0.00	0	0.00
INVESTIGATOR I	C	0.00	0	0.00	27	0.00	0	0.00
INVESTIGATOR II	C		0	0.00	125	0.00	0	0.00
INVESTIGATOR III	C	0.00	0	0.00	44	0.00	0	0.00
PROF REG LIC TECH I	C	0.00	0	0.00	96	0.00	0	0.00
PROF REG LIC TECH II	C	0.00	0	0.00	23	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	C	0.00	0	0.00	28	0.00	0	0.00
PROF REG ADMSTV COOR	C	0.00	0	0.00	34	0.00	0	0.00
PARALEGAL	C	0.00	0	0.00	80	0.00	0	0.00
BOARD MEMBER	C	0.00	0	0.00	13	0.00	0	0.00
CLERK	C	0.00	0	0.00	11	0.00	0	0.00
TOTAL - PS	C	0.00	0	0.00	743	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$743	0.00	\$0	0.00
GENERAL REVENUE	\$(	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$743	0.00		0.00

DIFP							DECISION I	TEM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	****
Decision Item	ACTUAL	ACTUAL.	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PHARMACY							the second secon	
Pay Plan FY13-Cost to Continue - 0000013								
EXECUTIVE I	0	0.00	0	0.00	31	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	40	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	45	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	14	0.00	0	0.00
CLERK	0	0.00	0	0.00	17	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	147	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$147	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	the street of th	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$147	0.00		0.00

DIFP						1	DECISION IT	TEM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	<b>DEPT REQ</b>	DEPT REQ	SECURED	SECURED

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	21	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	25	0.00	0	0.00
ACCOUNT CLERK II	C	0.00	0	0.00	23	0.00	0	0.00
EXECUTIVE I	C	0.00	0	0.00	34	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	104	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	38	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	108	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	25	0.00	0	0.00
REAL ESTATE EXAMINER I	0	0.00	0	0.00	125	0.00	0	0.00
REAL ESTATE EXAMINER II	0	0.00	0	0.00	30	0.00	0	0.00
REAL ESTATE EXAMINER SUPV	0	0.00	0	0.00	42	0.00	0	0.00
REAL ESTATE EXAMINER FIELD SPV	0	0.00	0	0.00	69	0.00	0	0.00
REAL ESTATE EDUCATION SPEC	0	0.00	0	0.00	29	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	13	0.00	0	0.00
CLERK	0	0.00	0	0.00	4	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	690	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$690	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$690	0.00		0.00

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DIFP						DEC	ISION ITEN	SUMMARY
Budget Unit	**************************************							
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION								
CORE								
PERSONAL SERVICES								
DIFP ADMINISTRATIVE	146,512	2.45	138,845	4.82	138,845	4.82	0	0.00
TOTAL - PS	146,512	2.45	138,845	4.82	138,845	4.82	C	0.00
EXPENSE & EQUIPMENT DIFP ADMINISTRATIVE	19,878	0.00	40,674	0.00	40,674	0.00	C	0.00

40,674

179,519

0

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0

\$179,519

0.00

4.82

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0.00

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40,674

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2.45

19,878

166,390

0

0

0

\$166,390

TOTAL - EE

PERSONAL SERVICES DIFP ADMINISTRATIVE

TOTAL - PS

Pay Plan FY13-Cost to Continue - 0000013

TOTAL

TOTAL

**GRAND TOTAL** 

#### CORE DECISION ITEM

P. OOLVE I HAVUE	CIAL SUMMARY		The state of the s						N. Carlos and Marian Ma
		2014 Budge						Recommenda	ition
	MARKAN MARKAN TO A PROPERTY OF THE PROPERTY OF COMMENCE AND THE	<u>Federal</u>	Other	Total		GR	Fed	Other	Total
PS 	0	0	138,845	138,845	PS	0	0	0	0
	0	0	40,674	40,674		0	0	0	0
PSD	0	0	. 0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	179,519	179,519	Total	0	0	0	0
FTE	0.00	0.00	4.82	4.82	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	71,380	71,380	Est. Fringe	0	0	0	0
Vote: Fringes bu	idgeted in House Bill	5 except fo	r certain fring	es	Note: Fringe	s budgeted in H	ouse Bill 5 ex	cept for certair	fringes
budgeted directly	to MoDOT, Highwa	y Patrol, and	d Conservatio	n.	budgeted dire	ectly to MoDOT,	Highway Pat	trol, and Conse	rvation.
Other Funds:	DIFP Administrativ	e Fund (056	03)		Other Funds:	:			
2. CORE DESCR	RIPTION								
legislative coordi		rces, accou	nting, budget	and planning. De	eartment-wide direction partment Administrat pbjectives.				
wide issues such									
wide issues such									

#### **CORE DECISION ITEM**

Department of Insurance, Finan  Core - Department Administration			oolollai ivey	natiation s	Budget Uni	t <u>37502C</u>		
4. FINANCIAL HISTORY								
	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.	300,000 -	Actual E	xpenditures (All Fund	s)
Appropriation (All Funds)	195,278	195,278	190,000	179,519				овессиванення
Less Reverted (All Funds)	0	0	0	N/A	entrope and a			***************************************
Budget Authority (All Funds)	195,278	195,278	190,000	N/A	250,000			
Actual Expenditures (All Funds)	166,829	167,231	166,390	N/A	And the second s			A CALLER TO THE STATE OF THE ST
Unexpended (All Funds)	28,449	28,047	23,610	N/A	200,000			
Unexpended, by Fund:						166,829	167,231	166,390

N/A

N/A

N/A

150,000

100,000

FY 2010

FY 2011

FY 2012

0

0

(3)

23,610

Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

28,047

0

(2)

#### NOTES:

General Revenue

Federal

Other

(1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

0

(1)

28,449

- (2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

#### **CORE RECONCILIATION DETAIL**

#### DIFP

**DEPT ADMINISTRATION** 

#### 5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	E
TAFP AFTER VETOR	ES .	***************************************						
		PS	4.82	0	0	138,845	138,845	5
		EE	0.00	0	0	40,674	40,674	4
		Total	4.82	0	0	179,519	179,519	9
DEPARTMENT COR	E ADJUSTME	ENTS						
Core Reallocation	1485 3652	PS	0.00	0	0	0	(0	)
NET DE	PARTMENT (	CHANGES	0.00	0	0	0	(0	)
DEPARTMENT COR	E REQUEST							
		PS	4.82	0	0	138,845	138,845	5
		EE	0.00	0	0	40,674	40,674	4
		Total	4.82	0	0	179,519	179,519	9
GOVERNOR'S REC	OMMENDED	CORE						
		PS	4.82	0	0	138,845	138,84	5
		EE	0.00	0	0	40,674	40,674	4
		Total	4.82	0	0	179,519	179,519	9

DIFP

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION			All Indiana and All Indiana		ned no constant to the first program of the first p		Editor Control of the	de the second se
CORE								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	1,085	0.05	0	0.00
ACCOUNTANT I	2,919	0.09	2,093	0.06	3,321	0.10	0	0.00
ACCOUNTING SPECIALIST I	1,898	0.05	1,235	0.03	1,936	0.05	0	0.00
ACCOUNTING ANAL I	0	0.00	1,559	0.05	0	0.00	0	0.00
ACCOUNTING ANAL II	1,857	0.05	0	0.00	1,902	0.05	0	0.00
BUDGET ANAL III	21,672	0.50	22,087	0.50	8,842	0.20	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	5,102	0.12	0	0.00	0	0.00
PERSONNEL ANAL!	909	0.03	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	2,481	0.07	0	0.00	1,832	0.05	0	0.00
PUBLIC INFORMATION SPEC I	1,559	0.05	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	1,160	0.03	3,015	0.10	3,667	0.10	0	0.00
PUBLIC INFORMATION ADMSTR	4,808	0.10	4,704	0.09	2,452	0.05	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	8,700	0.17	16,956	0.31	11,016	0.20	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	2,859	0.05	1,740	0.03	2,974	0.05	0	0.00
HUMAN RESOURCES MGR B1	7,163	0.15	5,408	0.12	4,871	0.10	0	0.00
STATE DEPARTMENT DIRECTOR	24,000	0.20	7,386	0.09	24,000	0.20	0	0.00
DEPUTY STATE DEPT DIRECTOR	16,500	0.15	0	0.00	22,000	0.20	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	29,005	0.50	0	0.00	23,152	0.45	0	0.00
DIVISION DIRECTOR	18,552	0.25	8,191	0.09	19,500	0.25	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	4,319	0.09	0	0.00	0	0.00
LEGAL COUNSEL	470	0.01	23,959	0.58	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	31,091	2.56	6,295	2.72	0	0.00
TOTAL - PS	146,512	2.45	138,845	4.82	138,845	4.82	0	0.00
TRAVEL, IN-STATE	168	0.00	2,999	0.00	1,999	0.00	0	0.00
TRAVEL, OUT-OF-STATE	243	0.00	950	0.00	950	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1,001	0.00	0	0.00
SUPPLIES	13,094	0.00	18,050	0.00	18,050	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	2,092	0.00	6,175	0.00	5,175	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,982	0.00	6,000	0.00	4,000	0.00	0	0.00
PROFESSIONAL SERVICES	428	0.00	2,498	0.00	2,498	0.00	0	0.00
M&R SERVICES	60	0.00	1	0.00	1	0.00	0	0.00
COMPUTER EQUIPMENT	3	0.00	0	0.00	1,000	0.00	0	0.00

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**DECISION ITEM DETAIL** 

DIFP	
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Budget Unit	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ	FY 2014 DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
Decision Item								
Budget Object Class		FTE			DOLLAR			
DEPT ADMINISTRATION				**************************************			4500	
CORE								
OFFICE EQUIPMENT	1,504	0.00	2,500	0.00	2,500	0.00	0	0.00
OTHER EQUIPMENT	261	0.00	0	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0 43	0.00 0.00	0 1,500	0.00 0.00	1,000 1,500	0.00 0.00	0 0	0.00
MISCELLANEOUS EXPENSES								0.00
TOTAL - EE	19,878	0.00	40,674	0.00	40,674	0.00	0	0.00
GRAND TOTAL	\$166,390	2.45	\$179,519	4.82	\$179,519	4.82	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$166.390	2.45	\$179.519	4.82	\$179,519	4.82		0.00

#### PROGRAM DESCRIPTION

#### Department of Insurance, Financial Institutions and Professional Registration

**Department Administration** 

Program is found in the following core budget(s): Department Administration

#### 1. What does this program do?

Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.

- 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

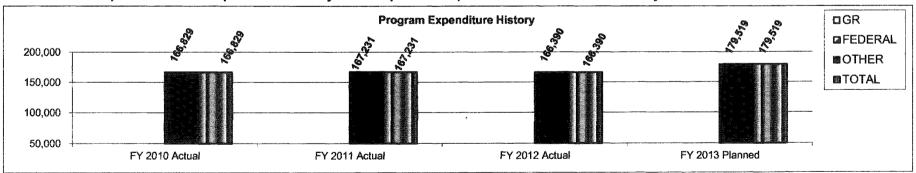
  Not applicable.
- 3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



#### 6. What are the sources of the "Other " funds?

DIFP Administrative Fund (0503)

#### PROGRAM DESCRIPTION

#### Department of Insurance, Financial Institutions and Professional Registration

Department Administration

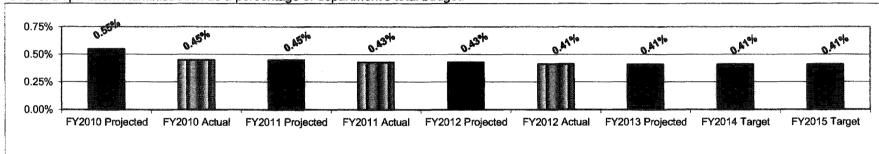
Program is found in the following core budget(s): Department Administration

#### 7a. Provide an effectiveness measure.

None available.

#### 7b. Provide an efficiency measure.

Cost of Department Administration as a percentage of department's total budget.



#### 7c. Provide the number of clients/individuals served, if applicable.

Insurance 226.50 FTE Finance 118.15 FTE Credit Unions 15.50 FTE Professional Registration 223.00 FTE TOTAL 583.15 FTE

#### 7d. Provide a customer satisfaction measure, if available.

None available.

DIFP						DEC	ISION ITEM	SUMMARY
Budget Unit		an degree and a second control of the second			ACA (13 (13 (13 (13 (13 (13 (13 (13 (13 (13	- The second	VIVIANUE 11 15 15 15 15 15 15 15 15 15 15 15 15	THE RESIDENCE OF THE PROPERTY
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
DIVISION OF CREDIT UNIONS	27,333	0.00	40,000	0.00	40,000	0.00	0	0.00
DIVISION OF FINANCE	81,409	0.00	125,000	0.00	125,000	0.00	0	0.00
INSURANCE DEDICATED FUND	11,681	0.00	35,000	0.00	35,000	0.00	0	0.00
PROFESSIONAL REGISTRATION FEES	159,333	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL - TRF	279,756	0.00	400,000	0.00	400,000	0.00	0	0.00
TOTAL	279,756	0.00	400,000	0.00	400,000	0.00	0	0.00

\$400,000

\$400,000

0.00

0.00

0.00

\$0

\$279,756

0.00

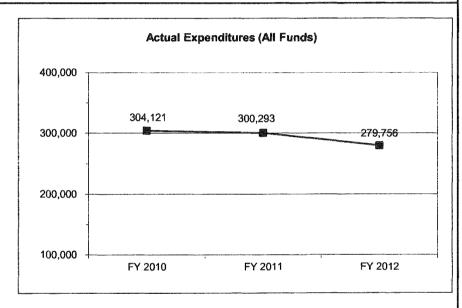
**GRAND TOTAL** 

	nent Administration								and the second s
1. CORE FINA	NCIAL SUMMARY	Newson of the Architecture of the Control of the Co	The second secon						www.gagggagg.Called.com.
	FY	014 Budge	t Request			FY 2014 C	overnor's l	Recommenda	tion
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	Ō	0	PS	0	0	0	0
	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	400,000	400,000	TRF	0	0	0	0
Total	0	0	400,000	400,000	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	T 01	0	0	0	Est. Fringe	0	0	01	0
<b>Est. Fringe</b> Note: Fringes b	0   budgeted in House Bil	~	0   r certain fring	0 es	Est. Fringe   Note: Fringes b	0 udgeted in Ho	0 use Bill 5 ex	T 1	91
Note: Fringes b budgeted direct	budgeted in House Bil tly to MoDOT, Highwa	5 except fo y Patrol, and	r certain fring d Conservatio	es n.	Note: Fringes b budgeted directl	udgeted in Ho	use Bill 5 ex	cept for certain	fringes
Note: Fringes b budgeted direct	budgeted in House Bil	5 except for a part of 5 ox part o	r certain fring d Conservatio Inions Fund (0	es n. 0548),	Note: Fringes b	udgeted in Ho	use Bill 5 ex	cept for certain	fringes
	budgeted in House Bil tly to MoDOT, Highwa Finance Fund (05: Professional Regis Dedicated Fund (0	5 except for a part of 5 ox part o	r certain fring d Conservatio Inions Fund (0	es n. 0548),	Note: Fringes b budgeted directl	udgeted in Ho	use Bill 5 ex	cept for certain	fringes
Note: Fringes be budgeted direct Other Funds:  2. CORE DESC	budgeted in House Bil tly to MoDOT, Highwa Finance Fund (05: Professional Regis Dedicated Fund (0	5 except for Patrol, and So), Credit Ustration Fee 566)	r certain fring d Conservation Inions Fund (0 Fund (0689),	es n. 0548), Insurance	Note: Fringes b budgeted directl	udgeted in Ho ly to MoDOT, F	use Bill 5 ex dighway Pati	cept for certain rol, and Conse	fringes vation.

# Core - Department Administration Transfer

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	364,970	315,517	339,802	400,000
Less Reverted (All Funds)	0	0	. 0	N/A
Budget Authority (All Funds)	364,970	315,517	339,802	N/A
Actual Expenditures (All Funds)	304,121	300,293	279,756	N/A
Unexpended (All Funds)	60,849	15,224	60,046	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	60,849	15,224	60,046	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

- (1) Original appropriation of \$257,151 E was increased by \$107,819.
- (2) Original appropriation of \$257,151 E was increased by \$58,366.
- (3) Original appropriation of \$257,151 E was increased by \$82,651.

# **CORE RECONCILIATION DETAIL**

## DIFP

## **DEPT ADMINISTRATION TRANSFER**

# 5. CORE RECONCILIATION DETAIL

	Budget	pppe sugges given		I	<b>2</b>			1200
	Class	FTE	GR	<u>Federal</u>	Other		Total	E
TAFP AFTER VETOES								
	TRF	0.00	(	0	400,	000	400,000	
	Total	0.00		) 0	400,	000	400,000	
DEPARTMENT CORE REQUEST								•
	TRF	0.00	(	0	400,	000	400,000	
	Total	0.00		) 0	400,	000	400,000	
GOVERNOR'S RECOMMENDED	CORE							
	TRF	0.00	(	0	400,	.000	400,000	
	Total	0.00	(	0	400,	000	400,000	

DIFP							<b>DECISION IT</b>	<b>EM DETAIL</b>
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	279,756	0.00	400,000	0.00	400,000	0.00	0	0.00
TOTAL - TRF	279,756	0.00	400,000	0.00	400,000	0.00	0	0.00
GRAND TOTAL	\$279,756	0.00	\$400,000	0.00	\$400,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$279.756	0.00	\$400.000	0.00	\$400.000	0.00		0.00

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# Department of Insurance, Financial Institutions and Professional Registration

Department Administration Transfer

Program is found in the following core budget(s): Transfers to Department Administration

## 1. What does this program do?

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

- 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

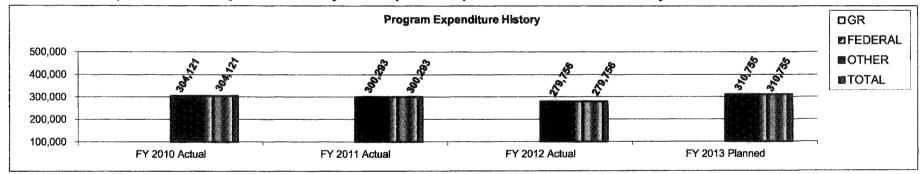
  Not applicable.
- 3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



#### 6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Professional Registration Fees Fund (0689) and the Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

DIFP

DIFP						DEC	ISION ITEM	SUMMARY
Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
IMPLEMENT FEDERAL GRANTS								
CORE								
PERSONAL SERVICES								
FEDERAL - MDI	309,978	8.39	985,854	21.00	985,854	21.00	0	0.00
TOTAL - PS	309,978	8.39	985,854	21.00	985,854	21.00	0	0.00
EXPENSE & EQUIPMENT								
FEDERAL - MDI	54,008	0.00	430,944	0.00	430,944	0.00	0	0.00
TOTAL - EE	54,008	0.00	430,944	0.00	430,944	0.00	0	0.00
TOTAL	363,986	8.39	1,416,798	21.00	1,416,798	21.00	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
FEDERAL - MDI	0	0.00	0	0.00	714	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	714	0.00	0	0.00
TOTAL	0	0.00	0	0.00	714	0.00	0	0.00
GRAND TOTAL	\$363,986	8.39	\$1,416,798	21.00	\$1,417,512	21.00	\$0	0.00

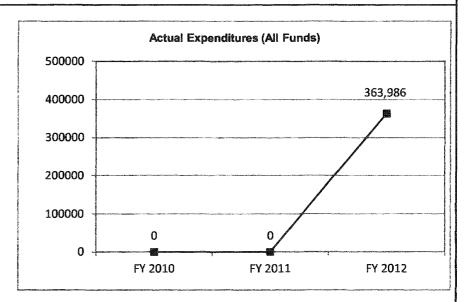
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Department of in	surance, Financ	<u>iai institutio</u> n	is and Profe	ssional Regi	ration Budget Unit _	3/506C				
Core - Implemen	t Federal Grants									
1. CORE FINANC	CIAL SUMMARY					, , , , , , , , , , , , , , , , , , ,				<u></u>
NATIONAL CONTRACTOR OF THE CON	FY	/ 2014 Budge	t Request			FY 2014	Governor's	Recommenda	ition	
	GR	Federal	Other	Total	_	GR	Fed	Other	Total	
PS	0	985,854	0	985,854	PS	0	0	0	0	
EE	0	430,944	0	430,944	gente pass plans tunn Ameri	0	0	0	0	
PSD	0	0	0	0	PSD	0	0	0	0	
TRF	0	0	0	0	TRF	0	0	0	0	
Total	0	1,416,798	0	1,416,798	Total =	0	0	0	0	
FTE	0.00	21.00	0.00	21.00	FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	506,828	0	506,828	Est. Fringe	0	0	0	0	
Note: Fringes but	dgeted in House E	Bill 5 except fo	r certain fring	ges	Note: Fringes	budgeted in H	ouse Bill 5 ex	cept for certair	fringes	
budgeted directly	to MoDOT, Highw	vay Patrol, and	d Conservation	on	budgeted direc	ctly to MoDOT,	Highway Pa	trol, and Conse	rvation.	
Other Funds:					Other Funds:					
2. CORE DESCR	IPTION			V				all the second was the second	to de la companya de	
NPCOLONIA TOTAL CONTRACTOR OF THE PROPERTY OF		s through the	federal Depa	rtment of Hea	n and Human Services. T	The grants are	for extending	, enhancing an	d increasing	resources
					s the department to assis					
					g and enrolling in health o					
					-					
			7740.000				angelineiter Kansusandan en die Austria (in der Angeline)			
3. PROGRAM LI	STING (list progi	rams include	d in this cor	e funding)			war and the state of the state	eggyagengrangunaran samaran sa		
Implement Federa	al Grants									

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 37506C
Core - Implement Federal Grants	

# 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	0	0	2,412,803	1,416,798
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	0	0	2,412,803	N/A
Actual Expenditures (All Funds)	0	0	363,986	N/A
Unexpended (All Funds)	0	0	2,048,817	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	2,048,817 (1)	N/A



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

(1) This is a new program beginning in FY2012.

## **CORE RECONCILIATION DETAIL**

## DIFP

## IMPLEMENT FEDERAL GRANTS

# 5. CORE RECONCILIATION DETAIL

	Budget				و و من	0.11		<b>-</b> . :	
	Class	FTE	GR		Federal	Other	,	Total	C
TAFP AFTER VETOES									
	PS	21.00		0	985,854		0	985,854	
	EE	0.00		0	430,944		0	430,944	
	Total	21.00		0	1,416,798		0	1,416,798	
DEPARTMENT CORE REQUEST									
	PS	21.00		0	985,854		0	985,854	
	EE	0.00		0	430,944		0	430,944	
	Total	21.00		0	1,416,798		0	1,416,798	
GOVERNOR'S RECOMMENDED	CORE								
	PS	21.00		0	985,854		0	985,854	
	EE	0.00		0	430,944		0	430,944	
	Total	21.00		0	1,416,798		0	1,416,798	-

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
IMPLEMENT FEDERAL GRANTS				Committee of the commit		2.6.0.3.0.000000000000000000000000000000		
CORE								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	25,047	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	17,877	0.70	27,085	1.00	20,331	0.75	0	0.00
COMP INFO TECHNOLOGY MGR I	0	0.00	59,774	1.00	0	0.00	0	0.00
ACCOUNTING ANAL II	134	0.00	0	0.00	0	0.00	0	0.00
RESEARCH ANAL III	385	0.01	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	0	0.00	0	0.00	8,768	0.25	0	0.00
EXECUTIVE I	0	0.00	35,671	1.00	35,671	1.00	0	0.00
REGISTERED NURSE III	0	0.00	0	0.00	60,000	1.00	0	0.00
INVESTIGATOR I	15,386	0.49	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	26,146	0.75	73,282	2.00	146,564	4.00	0	0.00
INVESTIGATOR III	0	0.00	43,442	1.00	43,442	1.00	0	0.00
INSURANCE PRODUCT ANALYST III	0	0.00	38,696	1.00	0	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	1,136	0.02	0	0.00	5,664	0.10	0	0.00
INVESTIGATION MGR B1	0	0.00	0	0.00	5,500	0.10	0	0.00
INSURANCE REGULATORY MGR B2	1,056	0.02	54,525	1.00	0	0.00	0	0.00
DIVISION DIRECTOR	2,053	0.03	0	0.00	8,000	0.10	0	0.00
DESIGNATED PRINCIPAL ASST DIV	1,400	0.03	0	0.00	0	0.00	0	0.00
LEGAL COUNSEL	252	0.00	0	0.00	5,500	0.10	0	0.00
SENIOR COUNSEL	619	0.01	0	0.00	0	0.00	0	0.00
ACTUARY	3,718	0.03	114,000	1.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	310,499	6.00	218,241	3.10	0	0.00
CONSUMER COMPLAINT SPEC I	2,881	0.08	0	0.00	0	0.00	0	0.00
CONSUMER COMPLAINT SPEC II	154,370	4.20	203,833	5.00	203,833	5.00	0	0.00
HEALTH BENEFIT ADVISOR I	15,189	0.45	0	0.00	0	0.00	0	0.00
HEALTH BENEFIT ADVISOR II	31,470	0.88	0	0.00	62,300	1.50	0	0.00
HEALTH BENEFIT ADVISOR III	0	0.00	0	0.00	102,040	2.00	0	0.00
M C EXAMINER II	138	0.00	0	0.00	0	0.00	0	0.00
MANAGER	35,768	0.69	0	0.00	60,000	1.00	0	0.00
TOTAL - PS	309,978	8.39	985,854	21.00	985,854	21.00	0	0.00
TRAVEL, IN-STATE	1,102	0.00	57,569	0.00	56,069	0.00	0	0.00
TO MEN OUT OF OTATE	,	0.00		0.00	0.500	0.00	^	0.00

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SUPPLIES

TRAVEL, OUT-OF-STATE

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DIFP			,				DECISION IT	<u>EM DETAIL</u>
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
IMPLEMENT FEDERAL GRANTS								
CORE								
PROFESSIONAL DEVELOPMENT	0	0.00	6,880	0.00	6,880	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	21,780	0.00	21,780	0.00	0	0.00
PROFESSIONAL SERVICES	50,810	0.00	295,010	0.00	293,010	0.00	0	0.00
COMPUTER EQUIPMENT	0	0.00	0	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	34,825	0.00	34,825	0.00	0	0.00
TOTAL - EE	54,008	0.00	430,944	0.00	430,944	0.00	0	0.00
GRAND TOTAL	\$363,986	8.39	\$1,416,798	21.00	\$1,416,798	21.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	er de la comunicación de la companya de la company	0.00
FEDERAL FUNDS	\$363,986	8.39	\$1,416,798	21.00	\$1,416,798	21.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

Department of Insurance, Financial Institutions and Professional Registration

Implement Federal Grants

Program is found in the following core budget(s): Implement Federal Grants

1. What does this program do?

The department has received grants through the federal Department of Health and Human Services. The grants are for extending, enhancing and increasing resources to the department's current consumer assistance program. The funding allows the department to assist Missouri consumers with health coverage questions, provide consumer education and outreach activities, and assist consumers with finding and enrolling in health care plans best suited for their needs.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

CA-CAP-12-002, CA-CAP-12-003, CFDA 93.519

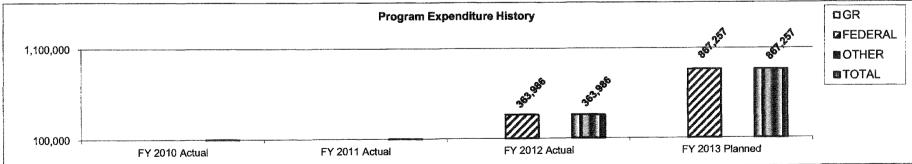
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Not applicable.

# Department of Insurance, Financial Institutions and Professional Registration Implement Federal Grants

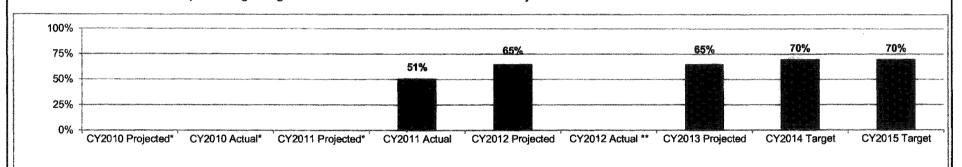
Program is found in the following core budget(s): Implement Federal Grants

## 7a. Provide an effectiveness measure.

None available.

## 7b. Provide an efficiency measure.

Percent of consumer complaints regarding health insurance closed in less than 60 days.



<sup>\*</sup> New program beginning in FY2012.

## 7c. Provide the number of clients/individuals served, if applicable.

A CONTRACTOR OF THE CONTRACTOR	CY	2012	CY2013	CY2014	CY2015
	Proj.	Actual*	Proj.	Target	Target
Complaints	4,000		4,000	4,000	4,000
Consumer Education Contacts	1,200		1,200	1,200	1,200

<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*\*</sup>Calendar year information will be provided with the Governor's Recommendations.

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# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$140,083	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00
TOTAL	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
FUND TRANSFERS FEDERAL - MDI	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
FEDERAL GRANT TRANSFER CORE								
Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN

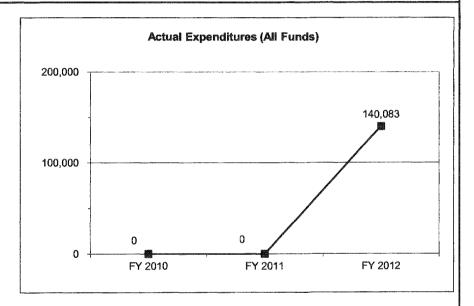
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<u> Jore - rederai G</u>	rant Transfer								
1. CORE FINANC	CIAL SUMMARY					Constructive Section State on Constructive State S			Maying an old Citiment and State of Citiment and Citiment
	FY	7 2014 Budge	t Request			FY 2014	Governor's	Recommenda	ation
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
and the second	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	150,000	0	150,000	TRF	0	0	0	0
Total	0	150,000	<u> </u>	150,000	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes but	dgeted in House E	Bill 5 except for	certain fring	es	Note: Fring	es budgeted in H	ouse Bill 5 ex	cept for certain	n fringes
budgeted directly	to MoDOT, Highw	ay Patrol, and	Conservatio	N.	budgeted di	rectly to MoDOT,	Highway Pa	trol, and Conse	ervation.
Other Funds:					Other Funds	s:			
2. CORE DESCR	IPTION			CONTRACTOR OF THE STATE OF THE				The second secon	
This transfer appr	opriation provides	funds from fe	deral grant fu	nds to the Insuran	ce Dedicated Fund	to reimburse the	Insurance D	edicated Fund	for the
cost of salaries, fr	inge benefits and	expenses of e	xisting staff v	vorking on federal	grants.				
	-		_	_					
		4.00							DANIAN DE MARIO DE CONTROL DE CONT

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 37507C
Core - Federal Grant Transfer	

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	0	0	140,084	150,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	0	0	140,084	N/A
Actual Expenditures (All Funds)	0	0	140,083	N/A
Unexpended (All Funds)	0	0	1	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	1	N/A
			(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

(1) This is a new program beginning in FY2012.

## **CORE RECONCILIATION DETAIL**

## DIFP

FEDERAL GRANT TRANSFER

# 5. CORE RECONCILIATION DETAIL

	Budget	Sizm seller Sarim	<b>6</b> D		Fadami	Other		Total	F
	Class	See A. Con	GR		<u>Federal</u>	Other		Total	E
TAFP AFTER VETOES									
	TRF	0.00		0	150,000		0	150,000	
	Total	0.00		0	150,000		0	150,000	
DEPARTMENT CORE REQUEST									
	TRF	0.00		0	150,000		0	150,000	
	Total	0.00		0	150,000		0	150,000	-
GOVERNOR'S RECOMMENDED	CORE								
	TRF	0.00		0	150,000		0	150,000	
	Total	0.00		0	150,000		0	150,000	

DIFP								DECISION IT	EM DETAIL
Budget Unit		FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	****
Decision Item		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	7777777624	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FEDERAL GRANT TRANSFER	3								
CORE									
TRANSFERS OUT		140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF		140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL		\$140,083	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00
G	ENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	FEDERAL FUNDS	\$140,083	0.00	\$150,000	0.00	\$150,000	0.00		0.00
	OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

# Department of Insurance, Financial Institutions and Professional Registration

Federal Grant Transfer

Program is found in the following core budget(s): Federal Grant Transfer

1. What does this program do?

This transfer appropriation provides funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants.

- 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

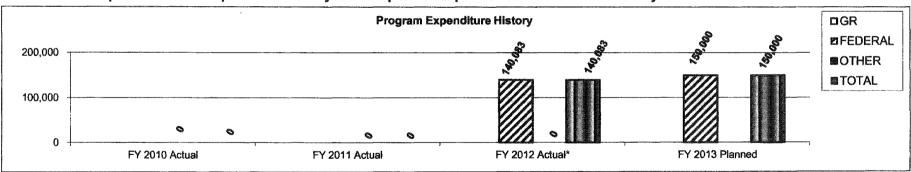
  Dependent on federal grant recieved.
- 3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



\*Expenditures began in FY2012.

6. What are the sources of the "Other " funds?

Not applicable.

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

DI	FP

# **DECISION ITEM SUMMARY**

Budget Unit			***************************************				IOIOIA I I FLIAI	
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	* <del>*****</del>
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	6,302,629	132.35	6,962,668	154.36	6,962,668	154.36	0	0.00
TOTAL - PS	6,302,629	132.35	6,962,668	154.36	6,962,668	154.36	0	0.00
EXPENSE & EQUIPMENT INSURANCE DEDICATED FUND	985,602	0.00	1,906,429	0.00	1,906,429	0.00	0	0.00
TOTAL - EE	985,602	0.00	1,906,429	0.00	1,906,429	0.00	0	0.00
PROGRAM-SPECIFIC CONSUMER RESTITUTION FUND	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL - PD	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL	7,288,231	132.35	8,874,097	154.36	8,874,097	154.36		0.00
	1,220,201		2,22 3,227		2,21			
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	3,955	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	3,955	0.00	0	0.00
TOTAL	0	0.00	0	0.00	3,955	0.00	0	0.00
Implementation SB 749 (2012) - 1375001								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	153,712	4.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	153,712	4.00	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	63,380	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	63,380	0.00	0	0.00
TOTAL	0	0.00	0	0.00	217,092	4.00	0	0.00
Implementation SB 132 (2011) - 1375002								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	36,672	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	36,672	1.00	0	0.00

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# DIFP

# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$7,288,2	31 132.3	5 \$8,874,	,097	154.36	\$9,206,862	160.36	\$0	0.00
TOTAL		0 0.0	0	0	0.00	59,201	1.00	0	0.00
TOTAL - EE	to motion to part of the control of	0 0.0	0	0	0.00	15,845	0.00	0	0.00
EXPENSE & EQUIPMENT INSURANCE DEDICATED FUND	400/////	0 0.0		0	0.00	15,845	0.00	0	0.00
TOTAL - PS		0.0	0	0	0.00	43,356	1.00	0	0.00
Captive Industry Growth - 1375003 PERSONAL SERVICES INSURANCE DEDICATED FUND		0 0.0	0	0	0.00	43,356	1.00	0	0.00
TOTAL		0.0	0	0	0.00	52,517	1.00	0	0.00
TOTAL - EE		0.0	0	0	0.00	15,845	0.00	0	0.00
EXPENSE & EQUIPMENT INSURANCE DEDICATED FUND		0 0.0	0	0	0.00	15,845	0.00	0	0.00
Implementation SB 132 (2011) - 1375002									
NSURANCE OPERATIONS									
Fund	DOLLAR	FTE	DOLLAR		FTE	DOLLAR	FTE	COLUMN	COLUMN
Decision Item Budget Object Summary	FY 2012 ACTUAL	FY 2012 ACTUAL	FY 2013 BUDGET		FY 2013 BUDGET	FY 2014 DEPT REQ	FY 2014 DEPT REQ	SECURED	SECURED
Budget Unit	FF1.464	T7.00.40	m					******	*****

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1. CORE FINANC	CIAL SUMMARY									
	FY	FY 2014 Budget Request FY 2014 Governor's Recommendation								
	GR	Federal	Other	Total		GR	Fed	Other	Total	
PS	0	0	6,962,668	6,962,668	PS	0	0	0	0	
EE	0	0	1,906,429	1,906,429		0	0	0	0	
PSD	0	0	5,000	5,000	PSD	0	0	0	0	
TRF	0	0	0	0	TRF	0	0	0	0	
Total	0	0	8,874,097	8,874,097	Total	0	0	0	0	
genir dagan gana San Banas	0.00	0.00	154.36	154.36	FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	3,579,508	3,579,508	Est. Fringe	0	0	0	0	
Note: Fringes bud		•		<b>-</b>	Note: Fringes I	•		•	-	
budgeted directly i	to MoDOT, Highw	ay Patrol, ar	nd Conservati	on.	budgeted direct	tly to MoDOT,	Highway Pat	rol, and Conse	ervation.	
Other Funds:	Insurance Dedicated				Other Funds:					

## 2. CORE DESCRIPTION

Core request for Insurance Operations. The department is responsible for overseeing the insurance industry's compliance with all Missouri insurance laws and regulations and protecting the interests of the Missouri insurance-buying consumer. The department licenses over 129,000 insurance producers (agents, brokers and agencies). The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department certifies for collection over \$203 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. The department provides information to over 28,000 consumers each year through a statewide toll-free hotline and the department's website and continues to increase the amount of information and services available to both consumers and industry through the department's website. Core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

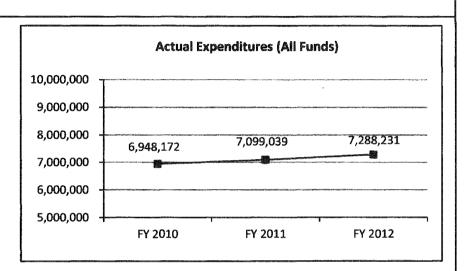
## 3. PROGRAM LISTING (list programs included in this core funding)

Director's Office Insurance Consumer Affairs Division Insurance Company Regulation Division Insurance Market Regulation Division Administration Division Insurance Consumer Restitution Fund

Insurance	
uisutance	l
Core - Insurance Operations	

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	8,922,792	8,920,437	9.046.925	8,874,097
Less Reverted (All Funds)	0	0	0,010,020	N/A
Budget Authority (All Funds)	8,922,792	8,920,437	9,046,925	N/A
Actual Expenditures (All Funds)	6,948,172	7,099,039	7,288,231	N/A
Unexpended (All Funds)	1,974,620	1,821,398	1,758,694	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,974,620	1,821,398	1,758,694	N/A
escondingue	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

- (1) Unexpended amount is due to staff vacancies and less then anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff vacancies and less then anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff vacancies and less then anticipated expense and equipment spending.

## CORE RECONCILIATION DETAIL

## DIFP

## **INSURANCE OPERATIONS**

## 5. CORE RECONCILIATION DETAIL

		Budget Class	f TE	GR	Federal		Other	Total	
TAFP AFTER VETOES	3	ARCHIOSOCIA, processor con distribution del processor que		THE CONTRACT OF THE CONTRACT O	***************************************		e Priesto propriedo antical de la companya de la c	encentral de la companya de la comp	
		PS	154.36	0		)	6,962,668	6,962,668	
		EE	0.00	0		)	1,906,429	1,906,429	}
		PD	0.00	0	(	)	5,000	5,000	1
		Total	154.36	0		)	8,874,097	8,874,097	
DEPARTMENT CORE	ADJUSTME	NTS							
Core Reallocation	1499 9907	PS	(0.00)	0	•	)	0	C	ł
NET DEP	ARTMENT (	CHANGES	(0.00)	0	•	0	0	0	
DEPARTMENT CORE	REQUEST								
		PS	154.36	0	•	)	6,962,668	6,962,668	
		EE	0.00	0	• (	)	1,906,429	1,906,429	)
		PD	0.00	0	(	)	5,000	5,000	-
		Total	154.36	0	(	)	8,874,097	8,874,097	2
GOVERNOR'S RECO	MMENDED (	CORE							
		PS	154.36	0		)	6,962,668	6,962,668	}
		EE	0.00	0	•	)	1,906,429	1,906,429	)
		PD	0.00	0	(	)	5,000	5,000	)
		Total	154.36	0	(	)	8,874,097	8,874,097	

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# **DECISION ITEM DETAIL**

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	**********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS				97 / 100				
CORE								
OFFICE SUPPORT ASST (CLERICAL)	21,708	1.00	0	0.00	22,142	1.00	Ö	0.00
ADMIN OFFICE SUPPORT ASSISTANT	31,716	1.00	32,321	1.00	32,321	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	52,313	2.40	107,630	5.50	107,630	5.50	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	182,976	7.00	254,288	11.00	251,781	11.00	0	0.00
OFFICE SERVICES ASST	26,350	0.92	28,189	1.00	30,696	1.00	0	0.00
ACCOUNT CLERK II	59,475	2.26	74,192	3.05	74,192	3.05	0	0.00
ACCOUNTANT I	55,456	1.70	69,963	1.94	96,003	2.90	0	0.00
ACCOUNTING SPECIALIST I	36,070	0.95	38,932	0.97	36,788	0.95	0	0.00
ACCOUNTING ANAL II	35,277	0.95	36,110	0.95	36,110	0.95	0	0.00
BUDGET ANAL III	21,672	0.50	22,087	0.50	35,366	0.80	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	38,264	0.90	0	0.00	0	0.00
PERSONNEL ANAL I	8,184	0.26	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	22,331	0.64	0	0.00	34,838	0.95	0	0.00
RESEARCH ANAL I	13,394	0.46	0	0.00	0	0.00	0	0.00
RESEARCH ANAL II	34,644	1.00	73,836	2.00	70,680	2.00	0	0.00
RESEARCH ANAL III	85,356	2.06	123,377	3.00	85,140	2.00	0	0.00
RESEARCH ANAL IV	60,324	1.00	157,505	3.00	61,536	1.00	0	0.00
PUBLIC INFORMATION SPEC I	14,029	0.45	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	10,442	0.30	27,132	0.90	33,005	0.90	0	0.00
PUBLIC INFORMATION ADMSTR	43,276	0.90	47,573	0.91	46,592	0.95	0	0.00
PLANNER!	21,830	0.52	35,300	1.00	31,300	1.00	0	
PLANNER II	38,700	1.00	78,886	2.00	39,480	1.00	0	
INVESTIGATOR I	202	0.01	0	0.00	0	0.00	0	
INVESTIGATOR II	279,066	7.69	250,447	7.00	326,606	9.00	0	
INVESTIGATOR III	38,570	1.00	39,442	1.00	37,344	1.00	0	
INSURANCE PRODUCT ANALYST I	27,856	0.96	0	0.00	0	0.00	0	
INSURANCE PRODUCT ANALYST II	150,821	4.59	205,441	8.00	199,860	8.00	0	
INSURANCE PRODUCT ANALYST III	72,933	2.08	117,864	3.00	109,392	3.00	0	
INSURANCE FINANCIAL ANAL SPEC	195,123	4.63	258,981	6.00	215,616	6.00	0	
WORKERS COMPENSATION SPEC	76,317	2.00	75,997	2.00	78,204	2.00	0	
INSURANCE FINANCIAL ANALYST II	111,372	3.00	117,869	3.00	113,604	3.00	C	
CONSUMER SERVICES SPEC I	56,989	1.94	30,111	1.00	0	0.00	C	0.00

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Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	<b>南约金湾有金湾南南的沿南</b>
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								and the second s
CORE								
CONSUMER SERVICES SPEC II	148,150	4.29	356,460	10.00	233,913	7.00	0	0.00
INSURANCE LICENSING TECH I	142,520	6.02	186,118	8.00	123,098	6.71	0	0.00
INSURANCE LICENSING TECH II	114,624	4.00	127,609	6.00	109,359	5.00	0	0.00
TAX AUDITOR I	28,356	0.85	69,443	2.00	0	0.00	0	0.00
TAX AUDITOR II	157,391	4.09	145,575	4.00	195,300	5.00	0	0.00
PROF REG LICENSING/CERT SUPV	33,420	1.00	37,378	1.00	34,092	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	34,800	0.67	38,234	0.69	44,064	0.80	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	54,324	0.93	57,659	0.97	56,511	0.95	0	0.00
HUMAN RESOURCES MGR B1	40,589	0.85	39,654	0.88	43,836	0.90	0	0.00
INVESTIGATION MGR B1	47,174	1.00	52,404	1.00	48,118	1.00	0	0.00
INSURANCE REGULATORY MGR B1	92,918	2.00	149,263	3.00	94,776	2.00	0	0.00
INSURANCE REGULATORY MGR B2	99,995	1.98	103,169	2.00	103,169	2.00	0	0.00
STATE DEPARTMENT DIRECTOR	96,000	0.80	72,116	0.91	96,000	0.80	0	0.00
DEPUTY STATE DEPT DIRECTOR	93,500	0.85	. 0	0.00	88,000	0.80	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	144,863	2.54	0	0.00	184,529	3.55	0	0.00
DIVISION DIRECTOR	176,118	2.20	352,741	3.91	338,306	3.75	0	0.00
DESIGNATED PRINCIPAL ASST DIV	268,490	5.12	256,692	5.00	256,692	5.00	0	0.00
PARALEGAL	29,870	1.00	32,714	1.00	30,468	1.00	0	0.00
LEGAL COUNSEL	239,351	4.38	191,667	4.00	230,955	5.00	0	0.00
CHIEF COUNSEL	75,450	1.00	91,006	0.88	75,450	1.00	0	0.00
SENIOR COUNSEL	289,824	4.92	430,701	7.00	367,501	6.00	0	0.00
ACTUARY	233,392	1.73	242,413	2.00	216,423	2.00	0	0.00
MISCELLANEOUS PROFESSIONAL	30,920	1.37	. 0	0.00	0	0.00	0	0.00
INVESTIGATIVE CONSULTANT	56,673	1.01	0	0.00	56,160	1.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	165,017	1.74	189,686	2.00	189,686	2.00	0	0.00
CHIEF FINANCIAL EXAMINER	104,674	1.00	106,459	1.00	104,674	1.00	0	0.00
CONSUMER COMPLAINT SPEC I	2,766	0.08	. 0	0.00	0	0.00	0	0.00
CONSUMER COMPLAINT SPEC II	7,048	0.20	0	0.00	0	0.00	0	0.00
HEALTH BENEFIT ADVISOR I	129	0.00	0	0.00	0	0.00	0	0.00
HEALTH BENEFIT ADVISOR II	1,486	0.04	0	0.00	0	0.00	0	0.00
CHIEF MARKET CONDUCT EXAM	93,600	0.99	94,693	1.00	94.693	1.00	0	0.00
M C EXAMINER II	86,234	1.81	22,265	0.32	0	0.00	0	0.00

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## **DECISION ITEM DETAIL**

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Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014		
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
CORE								
M C EXAMINER III	159,179	2.35	225, <del>9</del> 86	3.23	287,283	4.90	0	0.00
EXAMINER-IN-CHARGE MC	10,335	0.12	217,021	2.45	217,021	2.45	0	0.00
AUDIT MANAGER-MARKET CONDUCT	170,276	1.99	183,374	2.00	183,374	2.00	0	0.00
FINANCIAL EXAMINER I	2,566	0.06	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER II	110,171	1.93	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER III	446,783	5.98	374,119	5.10	374,119	5.10	0	0.00
EXAMINER-IN-CHARGE FINANCIAL	180,343	2.02	35,642	0.40	124,746	1.40	0	0.00
REINSURANCE EXAMINER	80,672	1.00	78,672	1.00	80,672	1.00	0	0.00
MANAGER	67,866	1.27	59,998	1.00	73,454	1.30	0	0.00
TOTAL - PS	6,302,629	132.35	6,962,668	154.36	6,962,668	154.36	0	0.00
TRAVEL, IN-STATE	93,011	0.00	147,089	0.00	147,089	0.00	0	0.00
TRAVEL, OUT-OF-STATE	49,599	0.00	353,509	0.00	126,448	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1,001	0.00	0	0.00
SUPPLIES	226,375	0.00	226,939	0.00	250,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	118,372	0.00	208,811	0.00	208,811	0.00	0	0.00
COMMUNICATION SERV & SUPP	104,596	0.00	168,450	0.00	168,450	0.00	0	0.00
PROFESSIONAL SERVICES	254,861	0.00	425,082	0.00	625,082	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	254	0.00	1	0.00	1,001	0.00	0	0.00
M&R SERVICES	6,400	0.00	73,545	0.00	73,545	0.00	0	0.00
COMPUTER EQUIPMENT	12	0.00	0	0.00	1,000	0.00	0	0.00
OFFICE EQUIPMENT	90,113	0.00	105,498	0.00	105,498	0.00	0	0.00
OTHER EQUIPMENT	1,815	0.00	15,000	0.00	15,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	28,855	0.00	135,001	0.00	135,001	0.00	0	0.00
BUILDING LEASE PAYMENTS	6,909	0.00	25,001	0.00	25,001	0.00	0	0.00
<b>EQUIPMENT RENTALS &amp; LEASES</b>	1,920	0.00	17,501	0.00	17,501	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,510	0.00	5,000	0.00	5,000	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	1	0.00	1,001	0.00	0	0.00
TOTAL - EE	985,602	0.00	1,906,429	0.00	1,906,429	0.00	0	0.00

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DIFP							DECISION ITI	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS		· · · · · · · · · · · · · · · · · · ·						
CORE								
PROGRAM DISTRIBUTIONS	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL - PD	0	0.00	5,000	0.00	5,000	0.00	0	0.00
GRAND TOTAL	\$7,288,231	132.35	\$8,874,097	154.36	\$8,874,097	154.36	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$7,288,231	132.35	\$8,874,097	154.36	\$8,874,097	154.36		0.00

# Department of Insurance, Financial Institutions and Professional Registration

Director's Office

Program is found in the following core budget(s): Insurance Operations

#### 1. What does this program do?

The Director's Office provides oversight and management of all department activities. The Director's Office is responsible for policy decisions, regulation, legislation and communications. The Director's Office also includes legal and insurance receivership activities. The department's legal section provides counsel to the Director's Office and each division and section and represents the department directly before courts and the Administrative Hearing Commission. When a judge orders an insurance company into receivership, the director is responsible for either rehabilitating the company or liquidating it as provided by statute and court order.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statutes: Chapters 325, 354 and 374-385 RSMo.

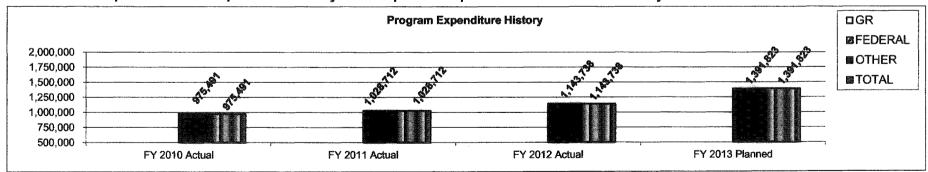
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

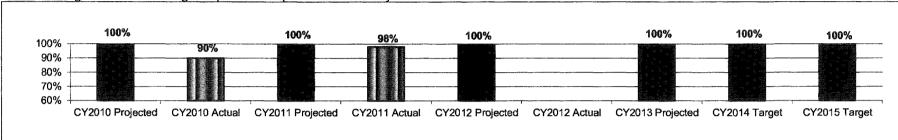
## Department of Insurance, Financial Institutions and Professional Registration

Director's Office

Program is found in the following core budget(s): Insurance Operations

## 7a. Provide an effectiveness measure.

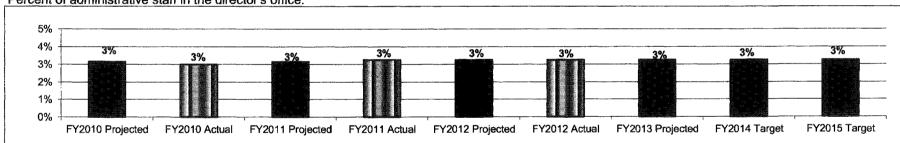
Percent of legal actions involving companies completed with 180 days of referral.



\*Calendar year information will be provided with the Governor's Recommendations.

## 7b. Provide an efficiency measure.

Percent of administrative staff in the director's office.



7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

# Department of Insurance, Financial Institutions and Professional Registration

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

#### 1. What does this program do?

The Consumer Affairs Division answers questions from the public about insurance companies, contracts and citizen concerns through the department's consumer hotline (1-800-726-7390). The division also provides insurance education and outreach activities to Missouri citizens. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and agencies, licensed insurance agents and brokers, bail bond agents and public adjusters. The division investigates unlicensed insurance activities, reviews license applications and reviews reports of insurance fraud submitted by insurance companies and consumers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.085 RSMo.

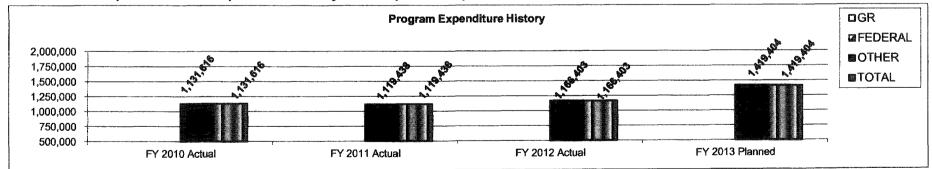
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

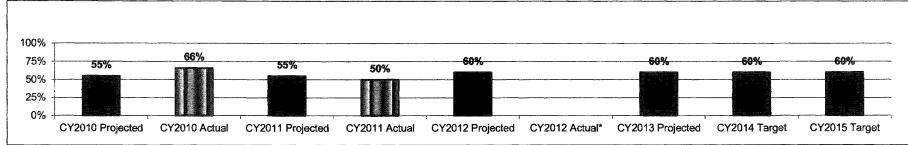
## Department of Insurance, Financial Institutions and Professional Registration

**Consumer Affairs Division** 

Program is found in the following core budget(s): Insurance Operations

#### 7a. Provide an effectiveness measure.

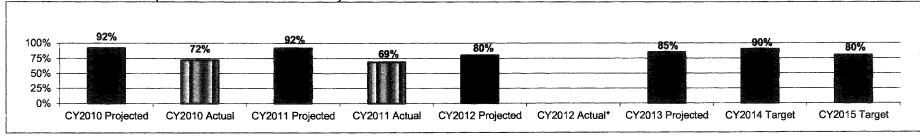
Percent of consumer complaints resulting in a positive outcome (required insurance companies and their agents to follow state statutes and regulations).



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

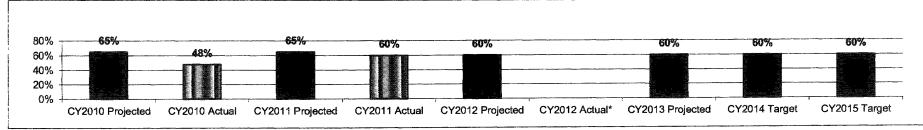
#### 7b. Provide an efficiency measure.

Percent of consumer complaints closed in less than 60 days.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

Percent of agent investigation complaints closed in less than 120 days.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# Department of Insurance, Financial Institutions and Professional Registration

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

## 7c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual***	Projected	Target	Target
Consumer Complaints	4,000	3,025	4,000	2,205	4,000		4,000	4,000	4,000
Agent Investigations	1,000	1,016	1,100	798	1,100		1,150	1,200	1,200
Consumer Phone Calls*	28,000	20,634	16,000	16,878	20,000		21,000	22,000	22,000
Written Inquiries**	3,800	3,459	3,800	8,570	3,800		3,800	3,800	3,800
Walk-ins	100	53	100	980	100		100	100	100

<sup>\*</sup> Decrease estimates for CY2011, CY2012 and CY2013 due to change in computer systems used to track call volume. Historically, every call including those related to a case was logged; now this figure reflects calls for new issues or complaints only.

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*\*</sup> Increase in written inquiries and walk-ins was due to the natural disasters which occurred in Missouri in 2011. Extensive consumer outreach was conducted in several communities across the state and the Joplin Resource and Recovery Center was opened and manned by DIFP Consumer Affairs staff.

<sup>\*\*\*</sup>Calendar year information will be provided with the Governor's Recommendations.

Department of Insurance, Financial Institutions and Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

FY 2013 PLANNED						
	Insurance Operations	Insurance Examinations	Total			
GR	0	0	0			
FEDERAL	0	0	0			
OTHER	2,665,910	2,246,566	4,912,476			
TOTAL	2,665,910	2,246,566	4,912,476			

#### 1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

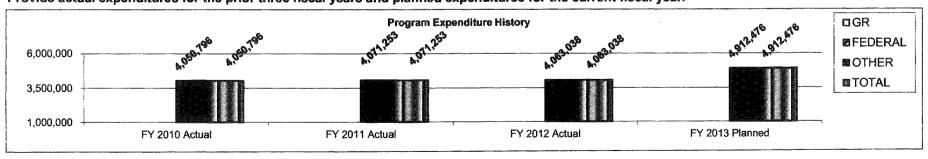
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

**Insurance Company Regulation Division** 

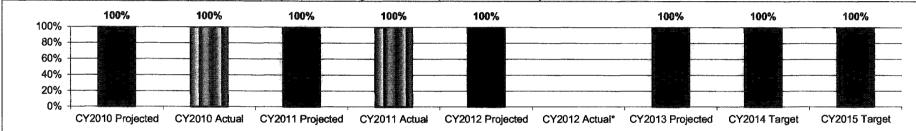
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

## 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

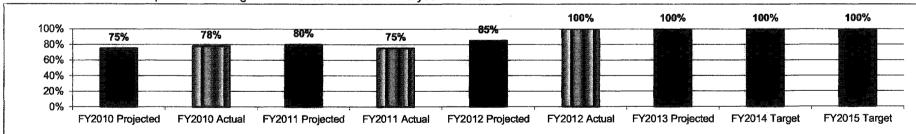
## 7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

Percent of domestic companies receiving a financial exam within a five-year timeframe.



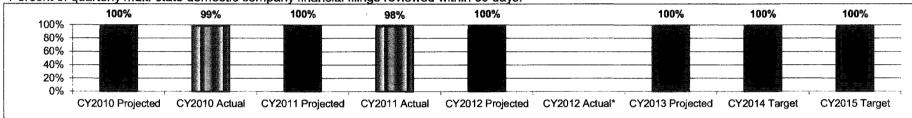
# Department of Insurance, Financial Institutions and Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

#### 7b. Provide an efficiency measure.

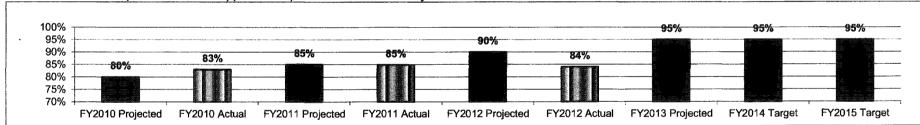
Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

#### 7b. Provide an efficiency measure. (cont.)

Percent of new complete admission applications processed within 90 days.



# 7c. Provide the number of clients/individuals served, if applicable.

	CY20	10	CY20	11	CY2	012	CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
Number of Domestic Companies	220	210	210	209	210		210	210	210
Number of Licensed Companies	1,850	1,837	1,830	1,900	1,830		1,830	1,830	1,900
Number of Surplus Lines Brokers	1,300	1,458	1,300	1,546	1,300		1,300	1,300	1,589
Surplus Lines Tax Collected	23 mil	23 mil	23 mil	22.4 mil	23 mil		23 mil	23 mil	23 mil
Premium Tax Collected	200 mil	235 mil	200 mil	203.6 mil	210 mil		210 mil	210 mil	210 mil

<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

#### 7d. Provide a customer satisfaction measure, if available.

None available.

Department of Insurance, Financial Institutions and Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	FY 20	13 PLANNED	
	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,047,995	1,770,866	3,818,861
TOTAL	2,047,995	1,770,866	3,818,861

#### 1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

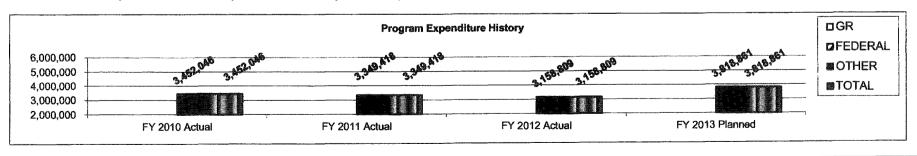
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



# Department of Insurance, Financial Institutions and Professional Registration

Insurance Market Regulation Division

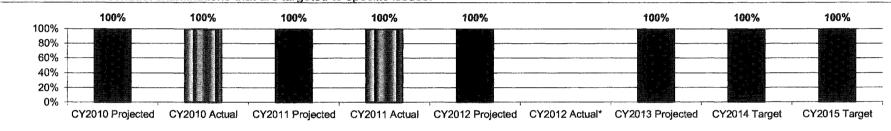
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

#### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

#### 7a. Provide an effectiveness measure.

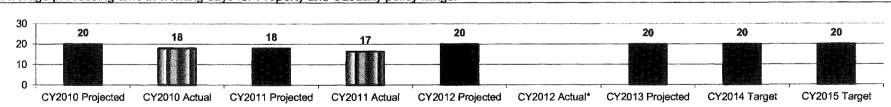
Percent of market conduct examinations that are targeted to specific issues.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

#### 7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.



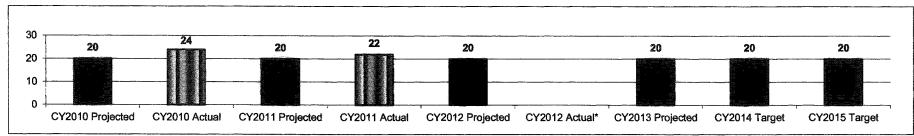
<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# Department of Insurance, Financial Institutions and Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

Average processing time in working days for Life and Health policy filings.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National Association of Insurance Commissioners (NAIC) "Speed to Market Initiative".

# 7c. Provide the number of clients/individuals served, if applicable.

	CY20	10	CY20	11	CY20	112	CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
P&C filings received	6,000	5,339	5,400	5,402	6,100		5,700	5,700	5,500
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# 7d. Provide a customer satisfaction measure, if available.

None available.

# Department of Insurance, Financial Institutions and Professional Registration

Administration Division

Program is found in the following core budget(s): Insurance Operations

#### 1. What does this program do?

The Administration Division is responsible for the general operation and support within the department. The division prepares the department's annual budget request, monitors department revenues and expenditures, handles personnel and training for department employees and is responsible for monitoring all accounting, procurement and grant management for the department. The division is also responsible for the coordination of department information technology needs with the Information Technology Services Division within the Office of Administration. In addition to support activities, the division is responsible for the licensing and renewals of all insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, motor vehicle service contract providers and producers, and portable electronics insurance providers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 325, 374, 375, 384 RSMo.

State Constitution: Article IX Section 7 (state school fund deposits).

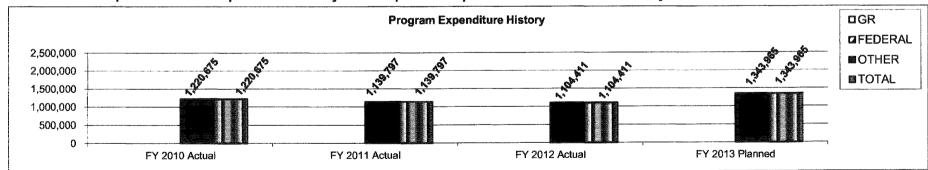
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

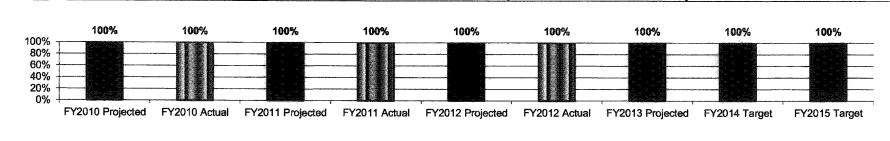
# Department of Insurance, Financial Institutions and Professional Registration

Administration Division

Program is found in the following core budget(s): Insurance Operations

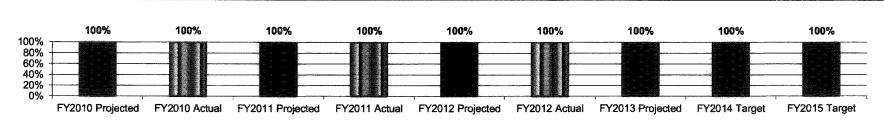
#### 7a. Provide an effectiveness measure.

Percent of producer licensing applications, renewal and certifications and clearance letters processed within 5 business days.

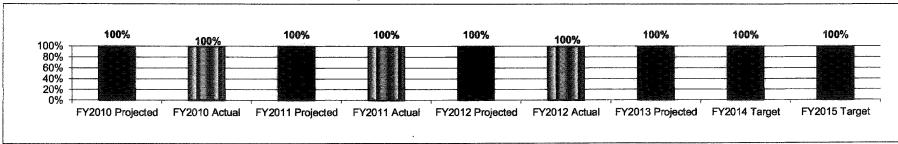


#### 7b. Provide an efficiency measure.

Percent of phone calls regarding licensing issues returned within 24 hours.



Percent of payment requests processed within 10 business days.



Department of Insurance, Financial Institutions and Professional Registration Administration Division

Program is found in the following core budget(s): Insurance Operations

# 7c. Provide the number of clients/individuals served, if applicable.

	FY20	10	FY2	D11	FY20	12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
New licensing applications	25,000	19,860	22,000	22,720	22,000	30,710	31,000	31,000	31,000
Renewal licensing applications	40,000	43,440	40,000	42,126	40,000	46,991	40,000	40,000	43,000
Certification/clearance letters	300	250	200	254	250	256	250	250	250
Inquiries to licensing	53,000	73,975	65,000	43,334	43,000	41,503	43,000	43,000	40,000
Number of checks processed*	55,000	45,977	45,500	32,296	32,000	31,924	32,000	32,000	30,000
Number of EFTs processed*		44,476	45,000	59,736	65,000	63,845	65,000	65,000	65,000
Number of payments processed	2,500	2,006	2,000	2,224	2,500	2,059	2,500	2,500	2,200

<sup>\*</sup>Reduction in checks processed in FY2010 is a result of SERFF EFT being implemented. EFTs were not estimated or accepted prior to FY2010.

# 7d. Provide a customer satisfaction measure, if available.

None available.

# Department of Insurance, Financial Institutions and Professional Registration

Consumer Restitution Fund

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Consumer Restitution Fund was established for the purpose of preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.048 RSMo.

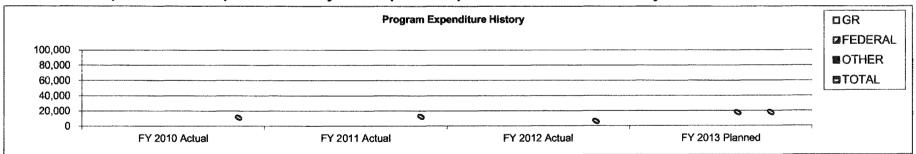
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Consumer Restitution Fund (0792)

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

None available.

Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

7c.

				RANK: 5	OF_	11			
Department of	Insurance, Financia	al Institution	s and Profes	sional Registration	Budget Unit 3	37501C			en austrial de la company de l
	surance Operations	***************************************		**************************************		<u>(411), 1997</u>			
	n of SB 749 (2012)		·····	DI# 1375001					
1. AMOUNT O	F REQUEST	PALASTANIA PERANGANAN PENANGAN		The state of the s					
	FY	2014 Budget	Request			FY 201	4 Governor's	Recommend	ation
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	153,712	153,712	PS	0	0	0	0
EE	0	0	63,380	63,380	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	217,092	217,092	Total	0	0	0	0
FTE	0.00	0.00	4.00	4.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	] 0]	0	79,023	79,023	Est. Fringe	0	0	0	0
Note: Fringes l	budgeted in House Bi	ll 5 except for	r certain fringe	98	Note: Fringes l	budgeted in l	House Bill 5 ex	xcept for certa	in fringes
budgeted direct	tly to MoDOT, Highwa	ay Patrol, and	l Conservatio	n.	budgeted direct	tly to MoDO7	, Highway Pa	trol, and Cons	ervation.
Other Funds:	Insurance Dedicate	d Fund (0566	3)		Other Funds:				
2. THIS REQUI	EST CAN BE CATE	ORIZED AS	5 8						
X	New Legislation			New Pr	ogram		F	Fund Switch	
	Federal Mandate			Progran	n Expansion		(	Cost to Contin	ue
	GR Pick-Up		0.000	Space I	Request	-		Equipment Re	placement
	Pay Plan			Other:		-			

11

RANK:

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	3/501C
Insurance - Insurance Operations		
Implementation of SB 749 (2012) DI# 1375001		
3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS (	CHECKED IN #2	2. INCLUDE THE FEDERAL OR STATE STATUTORY OR

# CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department is seeing substantially higher filing volumes from the health insurance industry. The number of Missourians covered under state regulated individual health insurance products has increased by 39% since 2001; premium volume has increased by 255%. Due to the passage of Senate Bill 749, there will be an additional increase of health insurance policy form filings. Currently, insurance companies can make filings with variable provisions, meaning the provisions can be included or excluded based upon an insurance consumer's choice. Any combination of variable provisions in an insurance form can produce hundreds of different policy forms. The passage of Senate Bill 749 contains provisions which allow for civil suits to be filed against "a government entity, public official, or entity acting in a governmental capacity by any person or entity or group of persons or entities", for any persons aggrieved by a violation of Section 191.724. Section 191.724 provides that no person, employer or employee may be compelled to have health insurance coverage for abortions, sterilization or contraception. Due to the liability provisions in Senate Bill 749 for government agencies and employees, the complexity of insurance policy forms, and the need to determine that policies comply with Missouri law, the Department will have to limit the amount of variability in policy form filings to ensure compliance with Senate Bill 749. The Department estimates an additional 2,260 filings in a year, for the roughly 452 companies with an active license to write Accident and Health Insurance Coverage.

The Department's authority and/or requirement to review and approve all policy forms for group and individual health insurance policies issued or delivered in the State of Missouri can be found in Section 376,405 and 376,777 RSMo

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are onetimes and how those amounts were calculated.)

In discussions with insurance companies, the Department has been advised any combination of variable provisions in a policy form can represent hundreds of different policies, in their final form. There are approximately 452 insurance companies with an active license to write Accident and Health Insurance. Only a portion of those companies would write policies subject to the provisions of Senate Bill 749. The Division anticipates an additional 2,260 filings each year. Each full-time analyst reviews approximately 500 policy form filings each year. This additional filing volume will necessitate the addition of at least three (3) new Insurance Product Analysts to fully implement the provisions of Senate Bill 749 and maintain the current workloads and review times. With the Department's exposure to civil liability, the Division anticipates the need for increased legal services necessitating the hiring of one additional attorney--a Senior Legal Counsel. Fiscal note response stated FTE and expenses could be needed.

RANK: 5 OF 11

Department of Insurance, Financial Institutions and Professional Registration Insurance - Insurance Operations Implementation of SB 749 (2012)

DI# 1375001 Budget Unit 37501C

	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Rec
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	<b>DOLLARS</b>	FTE	<b>DOLLARS</b>	FTE	DOLLARS	FTE	DOLLARS
100/007576 / Ins Product Analyst II					98,712	3.0	98,712	3.0	
100/009735 / Sr. Legal Counsel					55,000	1.0	55,000	1.0	
Total PS	0	0.0	0	0.0	153,712	4.0	153,712	4.0	
580/Office Equipment					31,780		31,780		31,78
340/Communication Expenses					27,296		27,296		
320/Professional Development					1,648		1,648		
190/Office Supplies					2,656		2,656		
Total EE	0		0		63,380		63,380		31,78
Program Distributions							0		
Total PSD	0		0	•	0	•	0	·	
Transfers						_			
Total TRF	0		0	•	0	·	0		
Grand Total	•••••••••••••••••••••••••••••••••••••••	0.0	0	0.0	217,092	4.0	217,092	4.0	31,78

RANK:	5	OF	11
STATE OF THE PARTY	Wystella marian marian		production in the state of the

Department of Insurance, Financial Inst Insurance - Insurance Operations	itutions and Profe	ssional Reg	istration	Budget Unit	37501C	***************************************			
Implementation of SB 749 (2012)		DI# 1375001	<u> </u>						
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
manuscon programma de la progr	ter de divinient en authorité d'Albert nouve de la commune de la commune de la commune quad de la décentificación de la commune		California con antiqua e proper per per de la constanta de la				0	0.0 0.0	
Total PS	O	0.0	0	0.0	· (	0.0	NAME OF THE OWNER OWNER.	0.0	
							0		
							0		
Total EE	0		0		(	•	0		(
Program Distributions Total PSD	0		0			<del>-</del>	<u>0</u>		
Transfers Total TRF	0		0		(	<del>-</del>	0		
Grand Total	COOK ALL AS ANALONG CONTROL OF THE C	0.0	0	0.0		0.0	0	0.0	

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OF 11

Budget Unit 37501C

Department of Insurance, Financial Institutions and Professional Registration

Insurance - Insurance Operations

Implementation of SB 749 (2012)

D# 1375001

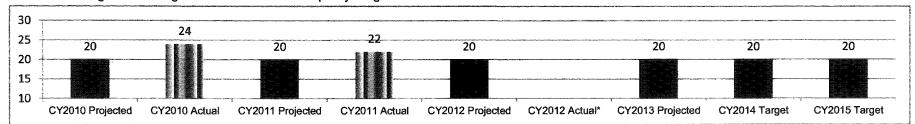
# 6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

#### 6a. Provide an effectiveness measure.

Not yet available.

# 6b. Provide an efficiency measure.

Average Processing time for Life and Health policy filings



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# 6c. Provide the number of clients/individuals served, if applicable.

	CY20	10	CY20	11	CY	2012	CY2013	CY2014	CY2015	
	Projected A	ctual	Projected	Actual	Projected	Actual*	Projected	Target	Target	
L&H filings received	3,500	3,443	3,500	5,357	3,500	)	7,500	7,500	000,8	)
*Calandar year information will be provided	Lwith the Covernor's	a Dagammand	ations							

Calendar year information will be provided with the Governor's Recommendations.

# 6d. Provide a customer satisfaction measure, if available.

Not yet available.

#### 7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The Life and Health Section strives to maintain a 20 day turnaround time for form filing reviews for insurance industry Speed to Market initiatives. This is 20 days from receipt of the filing to either the first objection generated to the filing insurance company or to the conclusion of the filing review. The Life and Health Section will treat these additional filings as other filings and will work to achieve and maintain a 20 day review turnaround time.

DIFP						
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 201
Decision Item	ACTUAL	ACTUAL	DUBGET	DUDGET	NEDT DEA	DEDT DE

DIFP						Į.	ECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
Implementation SB 749 (2012) - 1375001								
INSURANCE PRODUCT ANALYST II	C	0.00	0	0.00	98,712	3.00	0	0.00
SENIOR COUNSEL	C	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL - PS		0.00	0	0.00	153,712	4.00	0	0.00
SUPPLIES	(	0.00	O	0.00	2,656	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	(	0.00	C	0.00	1,648	0.00	0	0.00
COMMUNICATION SERV & SUPP	(	0.00	C	0.00	27,296	0.00	0	0.00
OFFICE EQUIPMENT	(	0.00	C	0.00	31,780	0.00	0	0.00
TOTAL - EE	(	0.00	0	0.00	63,380	0.00	0	0.00
GRAND TOTAL	\$(	0.00	\$0	0.00	\$217,092	4.00	\$0	0.00
GENERAL REVENUE	\$(	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$(	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$(	0.00	\$0	0.00	\$217,092	4.00		0.00

RANK: \_\_\_\_6\_\_\_

Department of	Insurance, Fi	nancial	Institutions	and Profes	sional Regis	stration Budget Unit 3	37501C				Andrews of the second
Insurance - Ins Implementatio			2014		14 4275002	-					
III Diei II e II e II e II	ii oi saiiata D	111 132 (4	<u> </u>	L	i# 1375002						
1. AMOUNT O	F REQUEST							ali:	·		
		FY 20	)14 Budget	Request			FY 2014 G	overnor's R	ecommenda	tion	
	GR		Federal	Other	Total		GR F	ederal	Other	Total	
PS		0	0	36,672	36,672	PS	0	0	0	0	
EE		0	0	15,845	15,845	E	0	0	0	0	
PSD		0	0	0	0	PSD	0	0	0	0	
TRF	***************************************	0	0	0	0	TRF	0	0	0	0	
Total	PLATE TO THE PLATE THE PLA	0	0	52,517	52,517	Total	0	0	0	0	
FTE		0.00	0.00	1.00	1.00	FTE	0.00	0.00	0.00	0.00	
Est. Fringe		0	0 1	18,853	18,853	Est. Fringe	0	0	0	0	
Note: Fringes t	oudgeted in Ho	use Bill	5 except for	certain fringe	s	Note: Fringes I	budgeted in Hou	ise Bill 5 exce	ept for certair	fringes	
budgeted direct	iy to MoDOT, I	Highway	Patrol, and	Conservation	7.	budgeted direct	tly to MoDOT, H	lighway Patro	ol, and Conse	rvation.	
Other Funds:	Insurance De	edicated	Fund (0566	)		Other Funds:					
2. THIS REQUE	ST CAN BE (	ATEGO	ORIZED AS:								
×	New Legislat	ion				New Program		Fu	nd Switch		
/	Federal Man			*******		Program Expansion	COMMISSION	Co	st to Continue	е	
	GR Pick-Up			Postage	The second secon	Space Request		Eq	uipment Repi	acement	
	Pay Plan			4900000		Other:		www.	gygnorrossonourossonouros translanda de la granda de la contraction de la contraction de la contraction de la c	····	
3. WHY IS THI CONSTITUTIO						R ITEMS CHECKED IN #2.	INCLUDE THE	FEDERAL C	OR STATE S	TATUTORY (	)R
manufacturers, may sell such of contracts/producontracts. App by the Investiga	lenders, and contracts. It reducts. The proplicants disclosinations Section.	other ent quires in osal estang or for Investiq tion, the	tities may sendividuals se ablishes the und to have gators have Investigatio	Il such contra Iling such con statutory rea a criminal his reviewed 148	acts. Busines ntracts to be sons for whice story or some applications	ded service contracts. The assentity producers or individuate come licensed with the department of the director may suspend to other event for which the dissince the enactment of SB re an additional Investigator	ual producers lice the state of	censed under selling, solicitionse to sell m seek refusal, 8 were referr	r the provision ing, or negoti notor vehicle of revocation, of red to legal. I	ns of this propating such extended servetc. will be revolue to the add	oosal vice viewed ditional

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Department of Insurance, Financial Institutions and Professional Registration Budget Unit 37501C Insurance - Insurance Operations

Implementation of Senate Bill 132 (2011) DI# 1375002

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Investigators currently employed by the department in the Investigations Section can maintain an average of 750 investigative files at any given time. Employees assigned greater than that average typically cannot devote the amount of time and effort to each file that is needed in order to fully conduct an effective investigation. To implement the new authority, of SB 132, the division is requesting One Investigator II to ensure the additional duties are completed in a timely manner for protection of Missouri consumers. This FTE will assist in the additional work mandated by this legislation. Fiscal note response stated FTE and expenses could be needed.

E DREAK DOWN THE DECLIEST BY DUBGET OF IECT OF ACC. FOR CLASS, AND SUND SOURCE IDENTIFY ONE TIME COSTS

5. BREAK DOWN THE REQUEST BY BUD								Donat Boar	Nont Box
	Dept Req	Dept Req TOTAL	Dept Req TOTAL	Dept Req					
Budget Object Class Lab Class	GR	GR	FED	FED	OTHER	OTHER FTE		FTE	One-Time DOLLARS
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	Calabana and a superior and a superi	DOLLARS	THE RESIDENCE OF THE PROPERTY	DULLARS
100/005297 / Investigator II					36,672	1.0	36,672	1.0	
							0	0.0	
Total PS	0	0.0	0	0.0	36,672	1.0	36,672	1.0	0
580/Office Equipment					7,945		7,945		7,945
340/Communication Expenses					6,824		6,824		
320/Professional Development					412		412		
190/Office Supplies					664		664		
Total EE	0		0		15,845		15,845		7,945
Program Distributions							0		
Total PSD	0		0		0		0	•	0
Transfers									
Total TRF	0		0		0		0	•	0
Grand Total	0	0.0	0	0.0	52,517	1.0	52,517	1.0	7,945

RANK: \_\_\_\_6 OF \_\_\_11

	Gov Rec	Gov Rec	Gov Rec						
)	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS 0	<b>FTE</b> 0.0	DOLLARS
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	**************************************
							0		
							0		
							0		
Total EE	0		0			•	0		
Program Distributions							0		
Total PSD	0		0		0	•	0		
ransfers									
Total TRF	0		0		0	•	0		
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	

RANK: 6

OF 11

Department of Insurance, Financial Institutions and Professional Registration

Budget Unit 37501C

Insurance - Insurance Operations

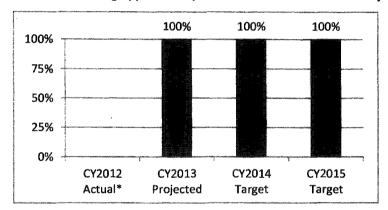
Implementation of Senate Bill 132 (2011)

DI# 1375002

# 6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

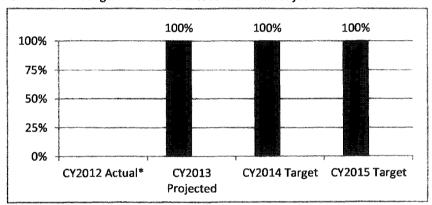
#### 6a. Provide an effectiveness measure.

Percent of all licensing applications processed within 10 business days.



# 6b. Provide an efficiency measure.

Percent of investigations closed in less than 120 days.



\*Calendar year information will be provided with the Governor's Recommendations.

\*Calendar year information will be provided with the Governor's Recommendations.

6c. Provide the number of clients/individuals served, if applicable.

1.100

60

6d. Provide a customer satisfaction measure, if available.

Individuals Licensed

Entities Licensed

Not yet available.

# 7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

To implement the new authority of SB 132, the division is requesting this FTE to ensure investigative duties are completed in a timely manner for protection of Missouri consumers.

m	H	_	RC38
	ĕ	F	2

**GENERAL REVENUE** 

FEDERAL FUNDS

OTHER FUNDS

\$0

\$0

\$0

0.00

0.00

0.00

#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 Decision Item ACTUAL ACTUAL BUDGET BUDGET **DEPT REQ DEPT REQ** SECURED **SECURED Budget Object Class DOLLAR** FTE **DOLLAR** FTE DOLLAR FTE COLUMN COLUMN **INSURANCE OPERATIONS** Implementation SB 132 (2011) - 1375002 INVESTIGATOR II 0.00 0.00 36,672 0.00 1.00 **TOTAL - PS** 0 0 0.00 0.00 0.00 36,672 1.00 **SUPPLIES** 0 0.00 664 0.00 0 0.00 0 0.00 PROFESSIONAL DEVELOPMENT 0 0 412 0.00 0 0.00 0.00 0.00 **COMMUNICATION SERV & SUPP** 0 0.00 0 0.00 6,824 0.00 0 0.00 OFFICE EQUIPMENT 0 0 0 0.00 0.00 0.00 7,945 0.00 TOTAL - EE 0 0.00 0 0.00 15,845 0.00 0 0.00 **GRAND TOTAL** \$0 0.00 \$0 0.00 \$0 0.00 \$52,517 1.00

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Department o	f Insurance Fi	nancial I	nstitutions	and Profess	sional Regis	stration	Budget U	nit 37	7501C		and control of the co	A PARTIE AND A PAR	NATIONAL PROPERTY OF THE PROPE
Insurance - In	surance Oper	ations				**************************************			**************************************				
Missouri Capt	ive Industry (	Growth			D# 1375003								
1. AMOUNT C	F REQUEST			THE PARTY OF THE P									
		FY 20	14 Budget	Request					FY 2014	Governor's	Recommend	ation	
	GR		ederal	Other	Total				GR	Federal	Other	Total	
PS	***************************************	0	0	43,356	43,356		PS		0	0	0	0	
EE		0	0	15,845	15,845		EE		0	0	0	0	
PSD		0	0	0	0		PSD		0	0	0	0	
TRF		0	0	0	0		TRF		0	0	0	0	
Total	Month of Comments	0	0	59,201	59,201		Total		0	0	0	0	
FTE		0.00	0.00	1.00	1.00		FTE		0.00	0.00	0.00	0.00	
Est. Fringe		0	0	22 289	22,289		Est. Fring	ie	0	0	0	0	
<b>Est. Fringe</b> Note: Fringes	budgeted in H	ouse Bill :	5 except for	certain fringe	25,200				udaeted in F	louse Bill 5 ex	cept for certa	in fringes	
budgeted direc	tly to MoDOT.	Highway	Patrol, and	Conservation	7.					, Highway Pat			
		and the same of th	***************************************		***************************************				Andrew Control of the	<del>lander de la constante de la cons</del>			
Other Funds:	Insurance D	edicated	Fund (0566	5)			Other Fun	ds:					
2. THIS REQU	EST CAN BE	CATEGO	RIZED AS:				Antist Townson						
	New Legisla	tion				New Progra	am				und Switch		
	Federal Mar	ndate			X	Program Ex	cpansion			C	cost to Continu	ue	
	GR Pick-Up					Space Req	uest			E	quipment Re	placement	
	Pay Plan			2000		Other:		de de la composiçõe de la	Mac				
3. WHY IS TH CONSTITUTION	NAL AUTHO	RIZATION	N FOR THIS	PROGRAM	¢			e/tailille <sub>lege</sub> ncom <del>in</del> co					
The number of number may e licensing and i industry. Adec	exceed 30. Ear regulating capt	ch new ca ive insura	aptive licens ance compa	sed brings po inies has exp	sitive revenu anded as we	ue generatio ell, an additi	on in the form onal FTE is	n of fe neede	es, taxes an ed to provide	id jobs for Mis: appropriate r	sourians. The	e work load r	elated to

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Department of Insurance Financial Institutions and Professional Registration

Budget Unit 37501C

Insurance - Insurance Operations

Missouri Captive Industry Growth

DI# 1375003

companies.

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Currently there is one financial analyst for the 23 licensed Missouri captives. It's expected that this number may exceed 30 by the end of 2012. Based on current work load the captive program manager has frequently been required to assist the financial analyst to complete all assignments timely. This takes away from the time available to the captive program manager to promote the captive program. Providing adequate timely regulation is important to continued growth of the captive program. Based on discussions with other states, most domiciles average one analyst per 25 captive insurance companies. This is a highly specialized professional level analyst position and it requires the qualifications of an insurance analyst specialist. The funding will come from the fees collected from captive insurance

E DEAK DOWN THE BEGIEST BY DUDGET OF LEGT OF AGO, LOD OF AGO, AND FUND COURSE. IDENTIFY ONE TIME COSTS

5. BREAK DOWN THE REQUEST BY BUDG								270.	
	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	<b>DOLLARS</b>	FTE	<b>DOLLARS</b>	FTE	DOLLARS	FTE	DOLLARS
100/007579/Ins. Financial Analyst Specialist	Control of the Contro				43,356	1.0	43,356	1.0	
							0_	0.0	
Total PS	0	0.0	0	0.0	43,356	1.0	43,356	1.0	C
580/Office Equipment					7,945		7,945		7,945
340/Communication Expenses					6,824		6,824		-
320/Professional Development					412		412		
190/Office Supplies					664		664		TO THE PROPERTY OF THE PROPERT
Total EE	0		0		15,845		15,845		7,945
Program Distributions					d De Normanno		0	,	
Total PSD	0		0		0		0		0
Transfers									<u> </u>
Total TRF	0		0		0		0		O
Grand Total	0	0.0	0	0.0	59,201	1.0	59,201	1.0	7,945

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Missouri Captive Industry Growth		D# 137500:		4.2000			3.0 Per Vicensible conscionary of a relating properties and a relating properties of the constitution of t	andre Will Park St. 1990 in constant and Park St. 1990 in Constant and Park St. 1990 in Constant and Park St.	
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0 0.0	
Total PS Computer with two monitors Phone	0	0.0	0	0.0	0	0.0		0.0	
10 key calculator							0 0 0		
Total EE	0		0		0		0		
Program Distributions Total PSD	0		0		0		<u>0</u>		
Transfers Total TRF	0		0		0		0		
Grand Total	0	0.0	0	0.0	) 0	0.0	0	0.0	(

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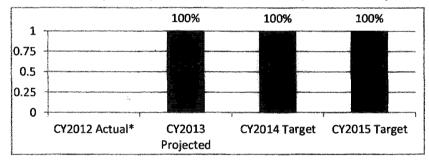
OF 11

Department of Insurance Financial Institutions and Professional Registration
Insurance - Insurance Operations
Missouri Captive Industry Growth
DI# 1375003

# 6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

#### 6a. Provide an effectiveness measure.

Percentage of Captive financial reviews completed in 90 days.



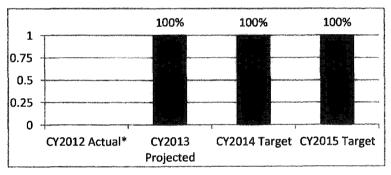
<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# 6c. Provide the number of clients/individuals served, if applicable.

Year	Number of Captives
2007	2
2008	3
2009	6
2010	11
2011	19
7/31/2012	23
12/31/2012	30

# 6b. Provide an efficiency measure.

Percentage of Captive application reviews completed in 30 days.



\*Calendar year information will be provided with the Governor's Recommendations.

# 6d. Provide a customer satisfaction measure, if available.

Not yet available.

	RANK:	7	OI	11	
Department of Insurance Financial Institutions and	Professional Registr	ation	Budget Unit	37501C	
Insurance - Insurance Operations					
Missouri Captive Industry Growth	DI# 1375003				
7. STRATEGIES TO ACHIEVE THE PERFORMANCE	MEASUREMENT TA	RGETS	3 s		
Providing adequate timely regulation is important to co analyst per 25 captive insurance companies.	entinued growth of the o	captive	program. Based	l on discus	sions with other states, most domiciles average one

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_	E	Ħ	₹_

# **DECISION ITEM DETAIL**

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	*****
Decision Item	ACTUAL	ACTUAL.	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS			4					
Captive Industry Growth - 1375003								
INSURANCE FINANCIAL ANAL SPEC	0	0.00	0	0.00	43,356	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	43,356	1.00	0	0.00
SUPPLIES	0	0.00	0	0.00	664	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	412	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	6,824	0.00	0	0.00
OFFICE EQUIPMENT		0.00	0	0.00	7,945	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	15,845	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$59,201	1.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$59,201	1.00		0.00

DIFP						DEC	ISION ITEM	SUMMARY
Budget Unit	ACCIDITION OF THE PROPERTY OF	A THEORY OF THE PARTY OF THE PA				30tg gg,		***************************************
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
<u>Fund</u>	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE EXAMINATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE EXAMINERS FUND	3,044,813	41.68	3,251,758	42.50	3,251,758	42.50	0	0.00
TOTAL - PS	3,044,813	41.68	3,251,758	42.50	3,251,758	42.50	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE EXAMINERS FUND	303,357	0.00	765,674	0.00	765,674	0.00	0	0.00
TOTAL - EE	303,357	0.00	765,674	0.00	765,674	0.00	0	0.00

4,017,432

\$4,017,432

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PERSONAL SERVICES

TOTAL - PS

Pay Plan FY13-Cost to Continue - 0000013

INSURANCE EXAMINERS FUND

TOTAL

TOTAL

**GRAND TOTAL** 

#### **CORE DECISION ITEM**

FY 2014 Budget Request						FY 2014	Governor's	Recommenda	ition
_	GR	Federal	Other	Total		GR	Fed	Other	Total
PS T	0	0	3,251,758	3,251,758	PS	0	0	0	0
EE	0	0	765,674	765,674	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	4,017,432	4,017,432	Total =	0	0	0	0
FTE	0.00	0.00	42.50	42.50	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	1,671,729	1,671,729	Est. Fringe	0	0	0	0
•	lgeted in House Bil	•	•	•	Note: Fringes	•		•	_
budgeted directly to MoDOT, Highway Patrol, and Conservation.					budgeted direc	tly to MoDOT,	Highway Par	trol, and Conse	rvation.

#### 2. CORE DESCRIPTION

The Insurance Examiners Fund serves as a revolving fund to collect fees assessed and to pay expenses of insurance company examinations. The department conducts both financial and market conduct examinations. Financial examinations ensure insurance companies have sufficient reserves to pay consumer claims and consumers have access to affordable insurance coverage. Market conduct examinations of insurance companies serve to verify that policyholders and beneficiaries receive the full benefits from the contracts they and the insurer have agreed to. Since many of the insurance companies examined have physical locations outside of the state, department examiners must go to these locations to examine company records. The expenses of these examinations are billed to the companies examined. The costs assessed to the companies for examinations can be taken as credits against the company's premium tax payment to the state of Missouri.

# 3. PROGRAM LISTING (list programs included in this core funding)

Insurance Company Regulation Division Insurance Market Regulation Division

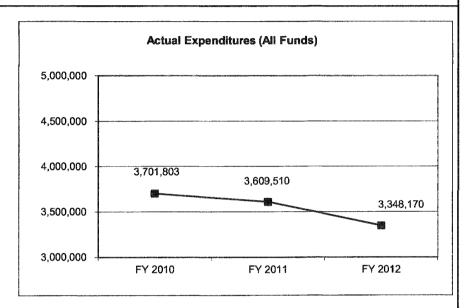
#### **CORE DECISION ITEM**

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 37510C Insurance

Core - Insurance Examinations

#### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	4,219,886	4,219,866	4,041,656	4,017,432
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	4,219,886	4,219,866	4,041,656	N/A
Actual Expenditures (All Funds)	3,701,803	3,609,510	3,348,170	N/A
Unexpended (All Funds)	518,083	610,356	693,486	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	518,083	610,356	693,486	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

# **CORE RECONCILIATION DETAIL**

# DIFP

# **INSURANCE EXAMINATIONS**

# 5. CORE RECONCILIATION DETAIL

•	Budget Class	FTE	GR	Federal	Other	Totai	
TAED AETED VETOCE		6 I bag	OI.	1 040161	Otho:	1000	B)
TAFP AFTER VETOES	PS	42.50	(	) 0	3,251,758	3,251,758	2
	EE	0.00	(		, ,	765,674	
	Total	42.50	(		· · · · · · · · · · · · · · · · · · ·	4,017,432	1000
DEPARTMENT CORE ADJUSTME	NTS						=
Core Reallocation 1500 0793	PS	0.00	(	0	0	(	)
NET DEPARTMENT	CHANGES	0.00	(	0	0	(	)
DEPARTMENT CORE REQUEST							
	PS	42.50	(	0	3,251,758	3,251,758	3
	EE	0.00	(	0	765,674	765,674	ŀ
	Total	42.50		) 0	4,017,432	4,017,432	2
GOVERNOR'S RECOMMENDED	CORE						
	PS	42.50	(	0	3,251,758	3,251,758	3
·	ÉE	0.00	(	0	765,674	765,674	Ļ
	Total	42.50		0	4,017,432	4,017,432	2

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A 16	器	iller:	58
11.0	8	<u>a</u>	-

# DECISION ITEM DETAIL

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE EXAMINATIONS								
CORE								
INSURANCE FINANCIAL ANAL SPEC	6,566	0.16	0	0.00	0	0.00	0	0.0
LEGAL COUNSEL	15,908	0.24	0	0.00	0	0.00	0	0.0
SENIOR COUNSEL	3,743	0.07	0	0.00	0	0.00	0	0.0
MISCELLANEOUS PROFESSIONAL	17,072	0.29	0	0.00	0	0.00	0	0.0
AUDIT MANAGER-FINANCIAL EXAM	24,668	0.26	0	0.00	23,711	0.25	0	0.0
CHIEF MARKET CONDUCT EXAM	1,093	0.01	0	0.00	0	0.00	0	0.0
M C EXAMINER II	261,552	4.78	464,783	6.68	0	0.00	0	0.0
M C EXAMINER III	707,210	10.07	513,940	6.77	1,229,382	16.90	0	0.0
EXAMINER-IN-CHARGE MC	414,150	4.88	483,275	5.55	410,000	5.55	0	0.0
AUDIT MANAGER-MARKET CONDUCT	917	0.01	0	0.00	0	0.00	0	0.0
FINANCIAL EXAMINER I	41,437	0.94	0	0.00	0	0.00	0	0.0
FINANCIAL EXAMINER II	47,516	0.90	166,801	3.00	0	0.00	0	0.0
FINANCIAL EXAMINER III	881,374	12.09	945,758	12.90	911,464	12.20	0	0.0
EXAMINER-IN-CHARGE FINANCIAL	621,607	6.98	677,201	7.60	677,201	7.60	0	0.0
TOTAL - PS	3,044,813	41.68	3,251,758	42.50	3,251,758	42.50	0	0.0
TRAVEL, IN-STATE	170,173	0.00	195,761	0.00	195,761	0.00	0	0.0
TRAVEL, OUT-OF-STATE	103,122	0.00	472,278	0.00	279,278	0.00	0	0.0
SUPPLIES	6,081	0.00	17,902	0.00	57,902	0.00	0	0.0
PROFESSIONAL DEVELOPMENT	0	0.00	1	0.00	1	0.00	0	0.0
COMMUNICATION SERV & SUPP	17,734	0.00	51,545	0.00	51,545	0.00	0	0.0
PROFESSIONAL SERVICES	1,758	0.00	19,987	0.00	119,987	0.00	0	0.0
M&R SERVICES	0	0.00	5,000	0.00	5,000	0.00	0	0.0
OFFICE EQUIPMENT	4,489	0.00	1,197	0.00	51,197	0.00	0	0.0
OTHER EQUIPMENT	0	0.00	1	0.00	1,001	0.00	0	0.0
PROPERTY & IMPROVEMENTS	0	0.00	1	0.00	1,001	0.00	0	0.0
<b>EQUIPMENT RENTALS &amp; LEASES</b>	0	0.00	1,000	0.00	1,000	0.00	0	0.0
MISCELLANEOUS EXPENSES	0	0.00	1,000	0.00	1,000	0.00	0	0.0

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DIFP						T.	DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*****
Decision Item	ACTUAL	<b>ACTUAL</b>	BUDGET	BUDGET	DEPT REQ	DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR			
INSURANCE EXAMINATIONS		·						Abdemiglog/MANA Arms are accounting to
CORE								
REBILLABLE EXPENSES	0	0.00	1	0.00	1,001	0.00	0	0.00
TOTAL - EE	303,357	0.00	765,674	0.00	765,674	0.00	0	0.00
GRAND TOTAL	\$3,348,170	41.68	\$4,017,432	42.50	\$4,017,432	42.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$3,348,170	41.68	\$4,017,432	42.50	\$4,017,432	42.50		0.00

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Department of Insurance, Financial Institutions and Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

PV 0040 BLANDER										
	FY 20	013 PLANNED								
Insurance Operations Insurance Examinations Total										
GR	0	0	0							
FEDERAL	0	0	0							
OTHER	2,665,910	2,246,566	4,912,476							
TOTAL	2,665,910	2,246,566	4,912,476							

#### 1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

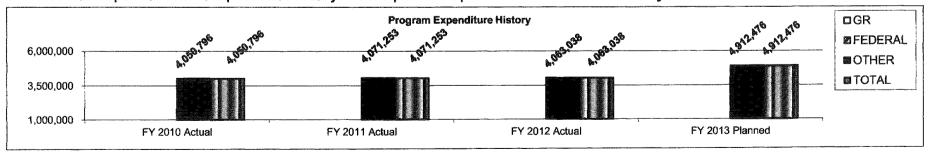
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



# Department of Insurance, Financial Institutions and Professional Registration

Insurance Company Regulation Division

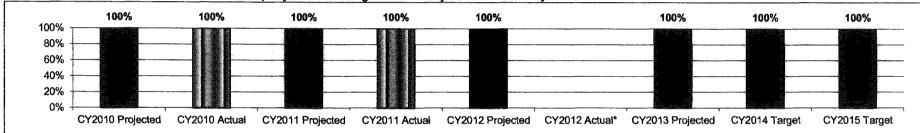
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

#### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

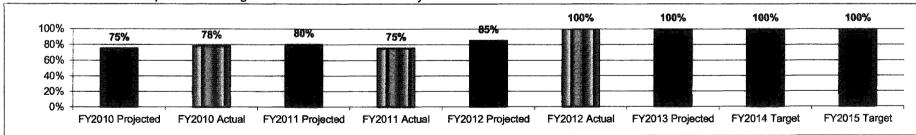
# 7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

Percent of domestic companies receiving a financial exam within a five-year timeframe.



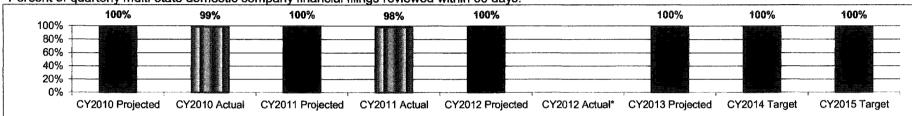
# Department of Insurance, Financial Institutions and Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

#### 7b. Provide an efficiency measure.

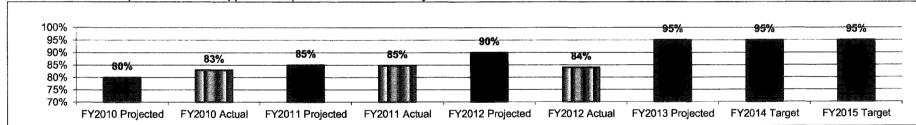
Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# 7b. Provide an efficiency measure. (cont.)

Percent of new complete admission applications processed within 90 days.



# 7c. Provide the number of clients/individuals served, if applicable.

	CY20	10	CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
Number of Domestic Companies	220	210	210	209	210		210	210	210
Number of Licensed Companies	1,850	1,837	1,830	1,900	1,830		1,830	1,830	1,900
Number of Surplus Lines Brokers	1,300	1,458	1,300	1,546	1,300		1,300	1,300	1,589
Surplus Lines Tax Collected	23 mil	23 mil	23 mil	22.4 mil	23 mil		23 mil	23 mil	23 mil
Premium Tax Collected	200 mil	235 mil	200 mil	203.6 mil	210 mil		210 mil	210 mil	210 mil

<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

#### 7d. Provide a customer satisfaction measure, if available.

None available.

Department of Insurance, Financial Institutions and Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	FY 2013 PLANNED										
Insurance Operations Insurance Exam Total											
GR	0	0	0								
FEDERAL	0	0	0								
OTHER	2,047,995	1,770,866	3,818,861								
TOTAL	2,047,995	1,770,866	3,818,861								

#### 1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

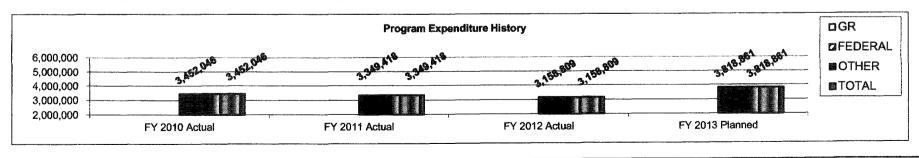
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



# Department of Insurance, Financial Institutions and Professional Registration

Insurance Market Regulation Division

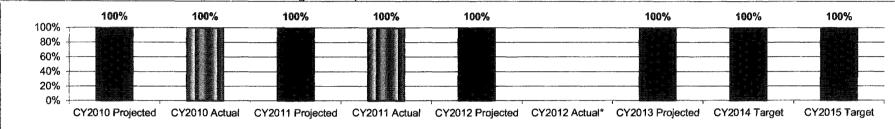
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

#### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

#### 7a. Provide an effectiveness measure.

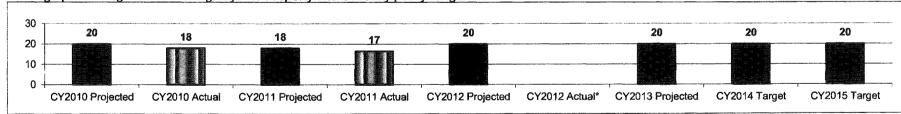
Percent of market conduct examinations that are targeted to specific issues.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

#### 7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.



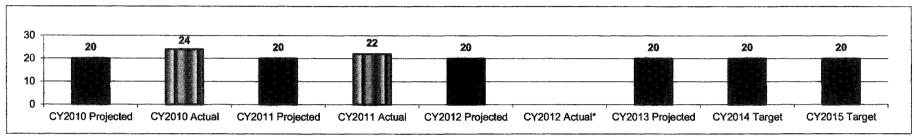
<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# Department of Insurance, Financial Institutions and Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

Average processing time in working days for Life and Health policy filings.



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National Association of Insurance Commissioners (NAIC) "Speed to Market Initiative".

# 7c. Provide the number of clients/individuals served, if applicable.

	CY20	10	CY20	2011 CY20 <sup>-</sup>		)12 CY2013		CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
P&C filings received	6,000	5,339	5,400	5,402	6,100		5,700	5,700	5,500
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# 7d. Provide a customer satisfaction measure, if available.

None available.

D	F	D
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# **DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE REFUNDS								
CORE								
PROGRAM-SPECIFIC								
INSURANCE EXAMINERS FUND	12	0.00	60,000	0.00	60,000	0.00	0	0.00
INSURANCE DEDICATED FUND	13,481	0.00	75,000	0.00	75,000	0.00	0	0.00
TOTAL - PD	13,493	0.00	135,000	0.00	135,000	0.00	0	0.00
TOTAL	13,493	0.00	135,000	0.00	135,000	0.00	0	0.00
GRAND TOTAL	\$13,493	0.00	\$135,000	0.00	\$135,000	0.00	\$0	0.00

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Insurance	nsurance, rinanci	at trioutedition	a and rivids	sivilai izegistiat	ion Buaget Unit				
Core - Insuranc	e Refunds								
1. CORE FINAN	CIAL SUMMARY			SOURCE CONTRACTOR OF THE CONTR	_	······································		Carrento restatado de mayor estado estado de la compuesta de la carrento de la carrento de la carrento de la c	erennen sistematika eren annaksistatika tilangan pata sistematika tilangan perinta
	FY	2014 Budge	t Request			FY 2014	Governor's	Recommenda	tion
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	. 0	0
	0	0	0	0		0	0	0	0
PSD	0	0	135,000	135,000	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	135,000	135,000	Total	0	0	0	0
(peri-day)se (film) peri-dayse (film) peri-dayse (film)	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	T 0 T	<i>0</i> T	0 1	0	Est. Fringe	0	ol	ol	0
	idgeted in House B					s budgeted in H			fringes
	to MoDOT, Highw				1 -	ectly to MoDOT,		•	- 1
Other Funds:	Insurance Exami Insurance Dedica	•	,		Other Funds:			essanduskije ogajio ogaju. Spilakolji oddoje oddoje oddose ovez protesti	
2. CORE DESCR	RIPTION			ingonomoongiga <u>an d</u> alikukongunoonga sa		<u>inia</u>			manusaria (de consissiones)
					es received from indi nce fund using this a		rance compa	nies. When ar	y incorrect or
3. PROGRAM L	ISTING (list progr	ams include	d in this core	fundina)		407	www.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.yarana.y		
The street was the street of the street	sa signatura files by All	arra clining				NATE OF THE PROPERTY OF THE PR	20000000000000000000000000000000000000	rrecono assesso o spoje se esperimenta anima medicina canada con canada se esperimenta de la constanta de la c	
Insurance Refun	ds								

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 37520C
Insurance	
Core - Insurance Refunds	

N/A

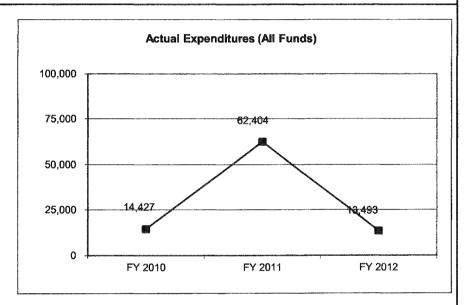
N/A

#### FY 2010 FY 2011 FY 2012 FY 2013 Current Yr. Actual Actual Actual Appropriation (All Funds) 75,001 75,001 75,001 135,000 Less Reverted (All Funds) N/A Budget Authority (All Funds) 75,001 75,001 75,001 N/A Actual Expenditures (All Funds) 14,427 62,404 13,493 N/A Unexpended (All Funds) 60,574 12,597 61,508 N/A Unexpended, by Fund: General Revenue 0 0 0 N/A

0

(1)

60,574



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

0

(2)

61,508

(3)

12,597

#### NOTES:

Federal

Other

4. FINANCIAL HISTORY

- (1) Unexpended amount due to less refunds processed than appropriation level.
- (2) Unexpended amount due to less refunds processed than appropriation level.
- (3) Unexpended amount due to less refunds processed than appropriation level.

# **CORE RECONCILIATION DETAIL**

# DIFP

**INSURANCE REFUNDS** 

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal		Other	Total	
TAFP AFTER VETOES	danyaya manana ayan ayan ayan ayan ayan a	COLUMN TO THE PARTY OF THE PART	102			THE REAL PROPERTY OF THE PROPE		VAN
	PD	0.00	(	)	0	135,000	135,000	
	Total	0.00			0	135,000	135,000	
DEPARTMENT CORE REQUEST								-
	PD	0.00	(	)	0	135,000	135,000	}
	Total	0.00			0	135,000	135,000	-
GOVERNOR'S RECOMMENDED	CORE							
	PD	0.00	(	)	0	135,000	135,000	)
	Total	0.00	(		0	135,000	135,000	)

DIFP							Ι	DECISION IT	em detail	
Budget Unit		FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*********	******	
Decision Item		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Budget Object Class		DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
INSURANCE REFUNDS										
CORE										
REFUNDS		13,493	0.00	135,000	0.00	135,000	0.00	0	0.00	
TOTAL - PD		13,493	0.00	135,000	0.00	135,000	0.00	0	0.00	
GRAND TOTAL		\$13,493	0.00	\$135,000	0.00	\$135,000	0.00	\$0	0.00	
	GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00	
	FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00	
	OTHER FUNDS	\$13,493	0.00	\$135,000	0.00	\$135,000	0.00		0.00	

# Department of Insurance, Financial Institutions & Professional Registration

Insurance Refunds

Program is found in the following core budget(s): Insurance Refunds

#### 1. What does this program do?

Individuals and companies sometimes submit incorrect insurance fee amounts to the department. According to Chapter 374.150 RSMo., all fees due to the state under the provisions of the insurance laws shall be deposited to the credit of department funds unless otherwise provided. The department deposits fees upon receipt, and if it is determined that an incorrect or overpayment has occurred then a refund is generated.

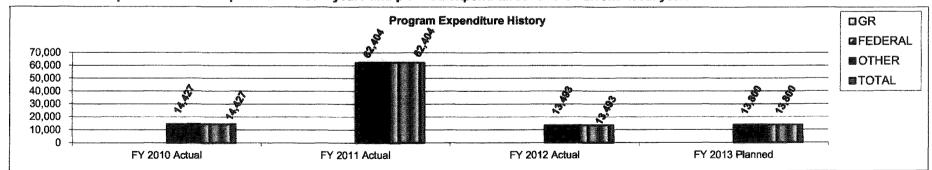
- 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)
  State Statute: Chapter 374.150 RSMo.
- 3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566) and Insurance Examiners Fund (0552)

# Department of Insurance, Financial Institutions & Professional Registration

Insurance Refunds

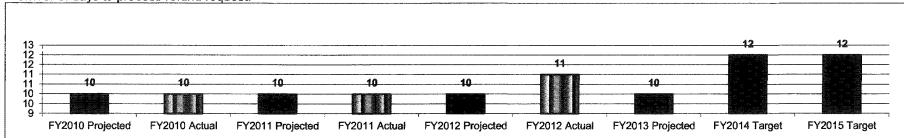
Program is found in the following core budget(s): Insurance Refunds

7a. Provide an effectiveness measure.

None available.

# 7b. Provide an efficiency measure.

Number of days to process refund request.



# 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Refunds processed	450	297	300	396	300	211	300	300	225

7d. Provide a customer satisfaction measure, if available.

None available.

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# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$1,500,713	0.00	\$1,450,000	0.00	\$1,450,000	0.00	\$0	0.00
TOTAL	1,500,713	0.00	1,450,000	0.00	1,450,000	0.00	0	0.00
TOTAL - PD	1,500,713	0.00	1,450,000	0.00	1,450,000	0.00	0	0.00
INSURANCE DEDICATED FUND	200,000	0.00	200,000	0.00	200,000	0.00	0	0.00
PROGRAM-SPECIFIC FEDERAL - MDI	1,300,713	0.00	1,250,000	0.00	1,250,000	0.00	0	0.00
CORE								
HEALTH INSURANCE COUNSELING								
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*****
Budget Unit								

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isurance	outaile, Fillalle	iai institution	s and Profe	ssional Registration	Budget Unit _	37540C			
Core - Health Insu	ırance Counseli	ing							
. CORE FINANC	IAL SUMMARY								
	Fì	/ 2014 Budge	t Request			FY 2014	Governor's	Recommenda	ition
_	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
and grown and design	0	0	0	0		0	0	0	0
PSD	0	1,250,000	200,000	1,450,000	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Γotal	0	1,250,000	200,000	1,450,000	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	Ō
Note: Fringes bud	lgeted in House E	3ill 5 except fo	r certain frin	ges	Note: Fringes	budgeted in H	ouse Bill 5 ex	cept for certair	n fringes
budgeted directly t	o MoDOT, Highw	vay Patrol, and	d Conservati	on.	budgeted direc	tly to MoDOT,	Highway Pat	trol, and Conse	rvation.
Other Funds:	Insurance Dedica	ated Fund (05	66)		Other Funds:				

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Centers for Medicare & Medicaid Services and the state with oversight by the department. The department contracts with Primaris to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits sponsors and volunteers, develops and conducts volunteer training, publicizes the program and maintains a toll-free number, 1-800-390-3330, and website, <a href="https://www.missouriclaim.org">www.missouriclaim.org</a>. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as cosponsors and provide space, supplies and local publicity for the program. CLAIM has more than 300 volunteer counselors and has approximately 160 counseling locations throughout the state where counseling is provided.

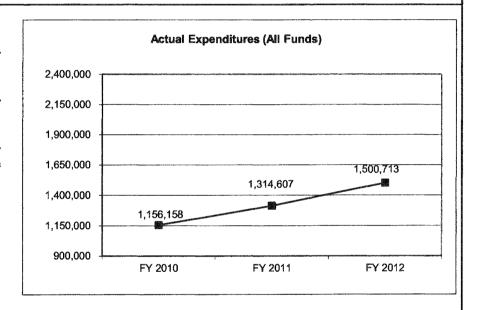
# 3. PROGRAM LISTING (list programs included in this core funding)

Health Insurance Counseling

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37540C
Insurance		
Core - Health Insurance Counseling		

# 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,196,160	1,373,686	1,500,713	1,450,000
Less Reverted (All Funds)	0	0	. ,	N/A
Budget Authority (All Funds)	1,196,160	1,373,686	1,500,713	N/A
Actual Expenditures (All Funds)	1,156,158	1,314,607	1,500,713	N/A
Unexpended (All Funds)	40,002	59,079	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	9,079	0	N/A
Other	40,002	50,000	0	N/A
Variation Colonia as and	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Original Federal appropriation of \$700,000 E was increased by \$296,160.
- (2) Original Federal appropriation of \$700,000 E was increased by \$473,686.
- (3) Original Federal appropriation of \$900,000 E was increased by \$600,713.

# **CORE RECONCILIATION DETAIL**

# DIFP

# **HEALTH INSURANCE COUNSELING**

# 5. CORE RECONCILIATION DETAIL

	Budget							
	Class	FTE	GR		Federal	Other	Total	E
TAFP AFTER VETOES								
	PD	0.00		0	1,250,000	200,000	1,450,000	}
	Total	0.00		0	1,250,000	200,000	1,450,000	 } -
DEPARTMENT CORE REQUEST	4.00 (1994 1994 1994 1994 1994 1994 1994 199							•
	PD	0.00		0	1,250,000	200,000	1,450,000	}
	Total	0.00		0	1,250,000	200,000	1,450,000	 ) =
GOVERNOR'S RECOMMENDED	CORE							
	PD	0.00		0	1,250,000	200,000	1,450,000	<u>)</u>
	Total	0.00		0	1,250,000	200,000	1,450,000	1

#### DIFP **DECISION ITEM DETAIL Budget Unit** FY 2014 FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 **Decision Item** ACTUAL **ACTUAL BUDGET** BUDGET **DEPT REQ DEPT REQ SECURED** SECURED DOLLAR **Budget Object Class** DOLLAR FTE FTE COLUMN COLUMN **DOLLAR** FTE **HEALTH INSURANCE COUNSELING** CORE PROGRAM DISTRIBUTIONS 1,500,713 0.00 1,450,000 0.00 1,450,000 0.00 0.00 TOTAL - PD 0 1,500,713 0.00 1,450,000 0.00 1,450,000 0.00 0.00 **GRAND TOTAL** \$0 \$1,500,713 0.00 \$1,450,000 0.00 \$1,450,000 0.00 0.00 **GENERAL REVENUE** \$0 0.00 \$0 0.00 \$0 0.00 0.00**FEDERAL FUNDS** \$1,300,713 0.00 \$1,250,000 0.00 \$1,250,000 0.000.00 0.00 OTHER FUNDS \$200,000 0.00 \$200,000 0.00 \$200,000 0.00

Department of Insurance, Financial Institutions and Professional Registration

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

#### 1. What does this program do?

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Centers for Medicare & Medicaid Services and the state with oversight by the department. The department contracts with Primaris to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, www.missouriclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM has approximately 300 volunteer counselors and has approximately 160 counseling locations throughout the state where counseling is provided.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal: State Health Insurance Assistance Program; Federal CFDA - 93.779.

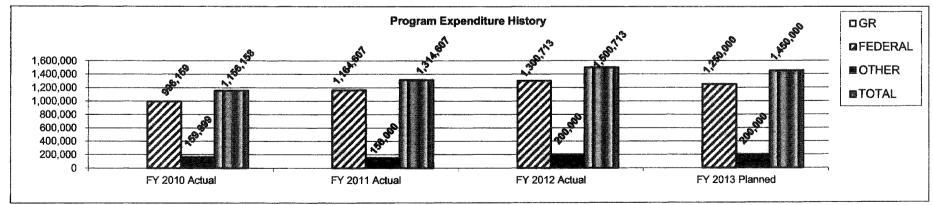
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

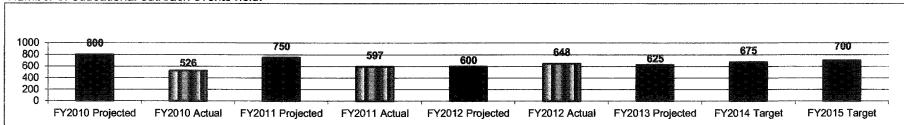
Insurance Dedicated Fund (0566)

# Department of Insurance, Financial Institutions and Professional Registration Health Insurance Counseling

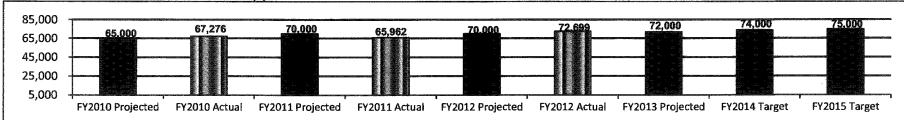
Program is found in the following core budget(s): Health Insurance Counseling

#### 7a. Provide an effectiveness measure.

Number of educational outreach events held.

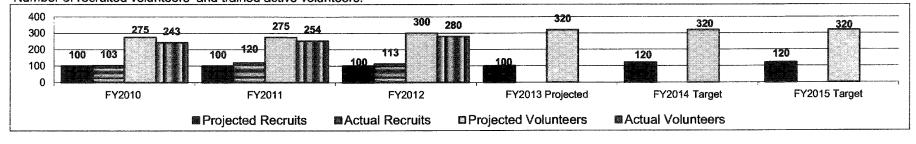






# 7b. Provide an efficiency measure.

Number of recruited volunteers and trained active volunteers.



Health Insurance Counseling			202000000000000000000000000000000000000		******				
Program is found in the follow	wing core bud	get(s): Hea	th Insurance C	Counseling					
7c. Provide the number of c	lients/individu	ıals served	, if applicable.						
	FY20	010	FY2	011	FY2	012	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Individuals counseled	20,500	18,065	20,500	34,387	36,510	42,025	40,161	44,221	45,000
				•	•	,	,	· · · yazzasi	,
7d. Provide a customer sati		•		with the cour	nseling process	ŕ	,	,	75,000
7d. Provide a customer sati CLAIM conducts random		sure custon			nseling process		FY2013	FY2014	FY2015
	surveys to mea	sure custon	ner satisfaction		• ,		ŕ	·	·

DIFP

David and Alleria		Mannya and a superior of the s		***************************************	STREET, STREET		TANDER OF SERVICE	
Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	**************************************	SECURED COLUMN
CREDIT UNIONS	~~b~~	E T East		S I tes		8 F Dan	JOE WILL	
CORE								
PERSONAL SERVICES DIVISION OF CREDIT UNIONS	944,089	15.38	1,135,603	15.50	1,135,603	15.50	0	0.00
TOTAL - PS	944,089	15.38	1,135,603	15.50	1,135,603	15.50	0	0.00
EXPENSE & EQUIPMENT DIVISION OF CREDIT UNIONS	95,763	0.00	119,084	0.00	119,084	0.00	0	0.00
TOTAL - EE	95,763	0.00	119,084	0.00	119,084	0.00	0	0.00
TOTAL	1,039,852	15.38	1,254,687	15. <b>50</b>	1,254,687	15.50	0	0.00
Pay Plan FY13-Cost to Continue - 0000013 PERSONAL SERVICES								
DIVISION OF CREDIT UNIONS	0	0.00	0	0.00	415	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	415	0.00	0	0.00
TOTAL	0	0.00	0	0.00	415	0.00	0	0.00
GRAND TOTAL	\$1,039,852	15.38	\$1,254,687	15.50	\$1,255,102	15.50	\$0	0.00

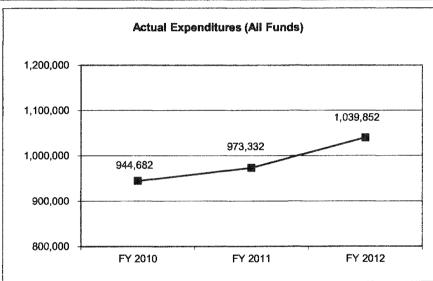
**DECISION ITEM SUMMARY** 

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	ICIAL SUMMARY FY	2014 Budge	et Request			FY 2014	Governor's	Recommenda	tion
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	1,135,603	1,135,603	PS	0	0	0	0
GA STORM CO STORM CO STORM	0	0	119,084	119,084	gross grown Same grown	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
ΓRF	0	0	0	0	TRF	0	0	0	0
Γotal	0	0	1,254,687	1,254,687	Total	0	0	0	0
FTE	0.00	0.00	15.50	15.50	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0 1	583,814	583,814	Est. Fringe	0	ol	ol	0
	udgeted in House B	ill 5 except fo		200	Note: Fringes b	udaeted in Hr	uso Bill 5 ov	cent for certain	fringes
TOLO, I IIII GOODL	augulou ni nouce D	a creative re		400 j	INDIG. LININGGO D	uugotou III i it	use Dili o ex	copi ici conuni	11111900
•	to MoDOT, Highwa	•	•	, ,	budgeted directi	•		•	-
~	to MoDOT, Highwa Division of Credit	ay Patrol, an	d Conservation	, ,		•		•	-
Other Funds:  2. CORE DESCE  The Division of unions. The divisional Associate agency of the feunions. Examinate division properational or in 121 credit unions.	Division of Credit RIPTION  Credit Unions is the rision also responds ation of State Credit deral government. Lations are conducted actively performs on compliance areas,	unions Fundamental Tregulatory at to consume tunion Super The division and to ensure ff-site monitor receive increding \$10.8 to	d Conservation d (0548) agency responser requests or ervisors (NAS) is statutorily the safety and oring on an oriensed attention	nsible for the exam complaints about of CUS), and all men required to conduct soundness of creaging basis to asson which may com	budgeted directi	artering, mergoperations. To by the Nationace every 18 e compliance ing risk. Credment actions.	er and liquidate division is nal Credit Uamonths on with applicate unions incomplete The Division	ation of all states an accredited nion Administrate ole rules, regularing problems of Credit Unio	e-chartered creagency througation (NCUA), a-chartered creations and state, whether final ans currently re

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42490C
Division of Credit Unions	
Core - Credit Unions	
4. FINANCIAL HISTORY	

FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
1.249.846	1.249.846	1.249.846	1,254,687
0	0	0	N/A
1,249,846	1,249,846	1,249,846	N/A
944,682	973,332	1,039,852	N/A
305,164	276,514	209,994	N/A
0	0	0	N/A
0	0	0	N/A
305,164	276,514	209,994	N/A
(1)	(2)	(3)	
	Actual  1,249,846 0 1,249,846 944,682 305,164  0 0 305,164	Actual         Actual           1,249,846         1,249,846           0         0           1,249,846         1,249,846           944,682         973,332           305,164         276,514           0         0           0         0           305,164         276,514	Actual         Actual         Actual           1,249,846         1,249,846         1,249,846           0         0         0           1,249,846         1,249,846         1,249,846           944,682         973,332         1,039,852           305,164         276,514         209,994           0         0         0           305,164         276,514         209,994



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

# NOTES:

- (1) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session).
- (2) Unexpended amount is primarily personal service appropriation due to staff turnover.
- (3) Unexpended amount is primarily personal service appropriation due to staff turnover.

# **CORE RECONCILIATION DETAIL**

DIFP

**CREDIT UNIONS** 

# 5. CORE RECONCILIATION DETAIL

	Budget	क्रमा महान हमन	00	gran . 3 6	04	**************************************	
	Class	FTE	GR	Federal	Other	Total	_
TAFP AFTER VETOES							
	PS	15.50	(	0 0	1,135,603	1,135,603	}
	EE	0.00	(	0 0	119,084	119,084	ŀ
	Total	15.50	1	0 0	1,254,687	1,254,687	 7 
DEPARTMENT CORE REQUEST							
	PS	15.50	(	0	1,135,603	1,135,603	}
	EE	0.00	(	0 0	119,084	119,084	ŀ
	Total	15.50		0	1,254,687	1,254,687	7
GOVERNOR'S RECOMMENDED	CORE						_
	PS	15.50	+	0 0	1,135,603	1,135,603	3
	EE	0.00		0 0	119,084	119,084	}
	Total	15.50		0	1,254,687	1,254,687	_ ,

DIFP						D	<b>ECISION ITI</b>	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
CREDIT UNIONS								
CORE								
COMMISSION MEMBER	0	0.00	18,891	0.00	18,891	0.00	0	0.00
ADMINISTRATIVE SECRETARY	32,819	1.00	0	0.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	14,426	0.69	21,343	0.50	21,343	0.50	0	0.00
EXECUTIVE II	47,041	1.00	48,318	1.00	48,318	1.00	0	0.00
FINANCIAL EXAM ASST !!	48,679	1.02	51,119	1.00	51,119	1.00	0	0.00
FINANCIAL EXAMINER	240,965	4.66	305,432	5.00	305,432	5.00	0	0.00
SENIOR FINANCIAL EXAMINER	60,638	1.00	61,786	1.00	61,786	1.00	0	0.00
FINANCIAL EXAMINER SPEC	226,468	3.00	356,764	4.00	356,764	4.00	0	0.00
CHIEF FINANCIAL EXAMINER	87,963	1.00	87,960	1.00	87,960	1.00	0	0.00
DIVISION DIRECTOR	95,990	1.00	95,990	1.00	95,990	1.00	0	0.00
COMMISSION MEMBER	1,100	0.01	0	0.00	0	0.00	0	0.00
GENERAL COUNSEL - DIVISION	88,000	1.00	88,000	1.00	88,000	1.00	0	0.00
TOTAL - PS	944,089	15.38	1,135,603	15.50	1,135,603	15.50	0	0.00
TRAVEL, IN-STATE	58,262	0.00	54,685	0.00	54,685	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,423	0.00	587	0.00	587	0.00	0	0.00
SUPPLIES	7,493	0.00	20,174	0.00	20,174	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	8,818	0.00	17,641	0.00	17,641	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	687	0.00	687	0.00	0	0.00
PROFESSIONAL SERVICES	896	0.00	100	0.00	100	0.00	0	0.00
M&R SERVICES	66	0.00	54	0.00	54	0.00	0	0.00
OFFICE EQUIPMENT	114	0.00	1,634	0.00	1,634	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	535	0.00	535	0.00	0	0.00
BUILDING LEASE PAYMENTS	416	0.00	1	0.00	1	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	144	0.00	1,534	0.00	1,534	0.00	0	0.00
REBILLABLE EXPENSES	18,131	0.00	21,352	0.00	21,352	0.00	0	0.00
TOTAL - EE	95,763	0.00	119,084	0.00	119,084	0.00	0	0.00
GRAND TOTAL	\$1,039,852	15.38	\$1,254,687	15.50	\$1,254,687	15.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	The state of the s	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,039,852	15.38	\$1,254,687	15.50	\$1,254,687	15.50		0.00

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Department of Insurance, Financial Institutions and Professional Registration

**Division of Credit Unions** 

Program is found in the following core budget(s): Credit Unions

#### 1. What does this program do?

The Division of Credit Unions is responsible for the regulation of state-chartered credit unions in Missouri to effectively safeguard the interests of depositors and shareholders of these organizations. The division performs examinations of credit unions to ensure compliance with applicable laws and regulations. The division also responds to consumer requests or complaints about credit union services and operations. The entire cost of the division is reimbursed to the state through fees and assessments paid by the credit unions. The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). All deposits in Missouri credit unions are insured up to \$250,000 by the National Credit Union Administration (NCUA), an agency of the federal government. The division currently regulates 121 credit unions with assets exceeding \$10.8 billion. Missouri is currently ranked eighth in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)
State Statute: Chapter 370 RSMo.

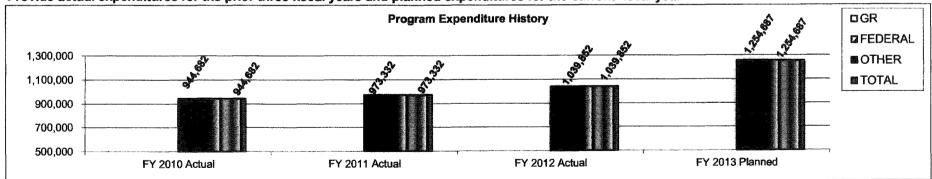
3. Are there federal matching requirements? If yes, please explain.

No.

4. is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Division of Credit Unions Fund (0548)

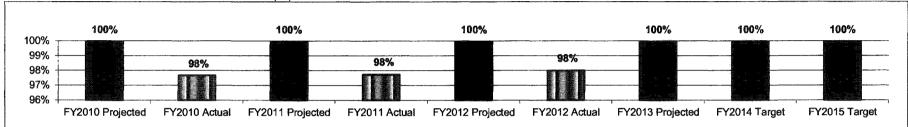
# Department of Insurance, Financial Institutions and Professional Registration

**Division of Credit Unions** 

Program is found in the following core budget(s): Credit Unions

#### 7a. Provide an effectiveness measure.

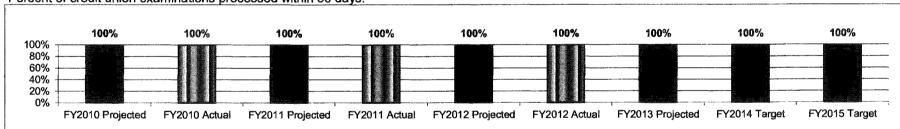
Percent of Missouri credit unions rated with a 1, 2, or 3\*.



\*A credit union's performance is measured by the CAMEL ratings. The CAMEL rating system (1 - 5) is based upon evaluation of critical elements of a credit union's operations. Credit unions rated at a CAMEL rate of 4 or 5 are considered to be performing poorly.

#### 7b. Provide an efficiency measure.

Percent of credit union examinations processed within 30 days.



#### 7c. Provide the number of clients/individuals served, if applicable.

ACTIVITY OF THE PROPERTY OF TH	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
Account	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Missouri Credit Union Members	1,200,000	1,245,164	1,200,000	1,246,779	1,210,000	1,215,913	1,216,849	1,217,940	1,218,746

### 7d. Provide a customer satisfaction measure, if available.

The Division of Credit Unions surveyed each credit union after completion of an examination to determine their overall satisfaction with the division until FY2010.

or residence of	FY2010		FY2	FY2011		FY2012		FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Percent reporting satisfaction	92%	93%	93%	N/A	N/A	N/A	N/A	N/A	N/A	

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# **DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE	a i i i i i i i i i i i i i i i i i i i	- LOTT					The state of the s	
CORE								
PERSONAL SERVICES								
DIVISION OF FINANCE	6,437,054	109.80	7,094,824	118.15	7,094,824	118.15	0	0.00
TOTAL - PS	6,437,054	109.80	7,094,824	118.15	7,094,824	118.15	0	0.00
EXPENSE & EQUIPMENT								
DIVISION OF FINANCE	732,834	0.00	927,491	0.00	927,491	0.00	0	0.00
TOTAL - EE	732,834	0.00	927,491	0.00	927,491	0.00	0	0.00
PROGRAM-SPECIFIC								
DIVISION OF FINANCE	1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL - PD	1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL	7,171,538	109.80	8,023,315	118.15	8,023,315	118.15	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
DIVISION OF FINANCE	0	0.00	0	0.00	2,934	0.00	0	0.00
TOTAL - PS	0	0.00		0.00	2,934	0.00	0	0.00
TOTAL	0	0.00	0	0.00	2,934	0.00	0	0.00
Personal Services Increase - 1375004								
PERSONAL SERVICES								
DIVISION OF FINANCE	0	0.00	0	0.00	496.825	0.00	0	0.00
TOTAL - PS	-0	0.00	0	0.00	496,825	0.00	0	0.00
TOTAL	0	0.00	0	0.00	496,825	0.00	0	0.00
GRAND TOTAL	\$7,171,538	109.80	\$8,023,315	118.15	\$8,523,074	118.15	\$0	0.00

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1. CORE FINAN	CIAL SUMMARY	7.0044.04	- A Danier	oon daarah d		F1/ 004 6			48.
	GR GR	' 2014 Budg Federal	et Request Other	Total		FY 2014 GR	Fed	Recommenda Other	tion Total
PS	0	0	7,094,824	7,094,824	PS	0	0	0	0
EE	0	0	928,491	928,491	EE	0	0	Õ	0
PSD	0	0	0	. 0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	8,023,315	8,023,315	Total	0	Ō	0	0
FTE	0.00	0.00	118.15	118.15	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	3,647,449	3,647,449	Est. Fringe	0	0	0	0
_	udgeted in House E to MoDOT, Highw	•	•	• •	Note: Fringes i budgeted direc	•		•	•

The Division of Finance is responsible for the regulation of state-chartered financial institutions in Missouri to ensure a sound banking system and protect depositor's funds. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. It is important that Missouri citizens have confidence that the money deposited in the state's financial institutions is safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies, residential mortgage brokers, and loan originators. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges, credit insurance fees, etc.

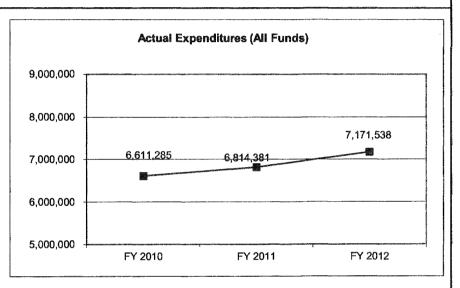
# 3. PROGRAM LISTING (list programs included in this core funding)

Bank and Trust Company Regulation
Consumer Credit Licensing and Regulation

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42510C
Division of Finance	
Core - Finance	
IA EINANCIAI LIETODY	·

#### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	7,202,886	7,086,544	8,055,162	8,023,315
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	7,202,886	7,086,544	8,055,162	N/A
Actual Expenditures (All Funds)	6,611,285	6,814,381	7,171,538	N/A
Unexpended (All Funds)	591,601	272,163	883,624	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	591,601	272,163	883,624	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount is primarily personal service appropriation due to gradual implementation of HB 378/SB 318 (2005).
- (2) Unexpended amount is primarily personal service appropriation due to gradual implementation of HB 378/SB 318 (2005).
- (3) Unexpended amount is due to lower than expected expenditures.

# **CORE RECONCILIATION DETAIL**

DIFP		 
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# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	CD.	Endoral	Oth	Total	
	VIASS	ric	GR	Federal	Other	Total	E
TAFP AFTER VETOES							
	PS	118.15	0	0	7,094,824	7,094,824	Ļ
	EE	0.00	0	0	927,491	927,491	
	PD	0.00	0	0	1,000	1,000	)
	Total	118.15	0	0	8,023,315	8,023,31	- }
DEPARTMENT CORE REQUEST							_
	PS	118.15	0	0	7,094,824	7,094,824	ļ
	EE	0.00	0	0	927,491	927,491	
	PD	0.00	0	0	1,000	1,000	)
	Total	118.15	0	0	8,023,315	8,023,315	5
GOVERNOR'S RECOMMENDED	CORE						_
	PS	118.15	0	0	7,094,824	7,094,824	ļ
	EE	0.00	0	0	927,491	927,491	
	PD	0.00	0	0	1,000	1,000	)
	Total	118.15	0	0	8,023,315	8,023,315	;

NIFP		
1311-6-7		

#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 **Decision Item** ACTUAL **ACTUAL** BUDGET BUDGET **DEPT REQ DEPT REQ SECURED SECURED Budget Object Class DOLLAR** COLUMN COLUMN DOLLAR FTE DOLLAR FTE FTE FINANCE CORE ADMIN OFFICE SUPPORT ASSISTANT 63,419 2.00 64,635 2.00 64.635 2.00 0 0.00 0 0.00 ADMINISTRATIVE SECRETARY 76.255 2.00 77.717 2.00 77,717 2.00 SR OFC SUPPORT ASST (KEYBRD) 74,144 2.95 76,139 3.00 76,139 3.00 0 0.00 0 0.00 ACCOUNTANT II 38,443 1.00 39.180 1.00 39,180 1.00 0 0.00 ASSISTANT BANK EXAMINER 343,906 8.90 535,545 13.00 535,545 13.00 SENIOR ASSISTANT BANK EXAMINER 252,524 293,520 6.00 343,000 7.00 0 0.00 5.38 **BANK EXAMINER** 347,288 6.11 244,600 4.00 780,000 13.00 0 0.00 0 SENIOR BANK EXAMINER I 400,681 6.23 429.954 6.00 464.898 7.00 0.00 5.00 0 0.00 REVIEW EXAMINER 360,699 4.71 381,925 5.00 384,307 0 0.00 ASSIST TRUST EXAMINER 28,742 0.73 41,196 1.00 82.392 2.00 0 75.920 0.00 TRUST SUPERVISOR 75.920 1.00 75,920 1.00 1.00 5.00 0 0.00 DISTRICT SUPERVISOR 421,280 5.06 433,200 5.00 433,200 0.00 39,115 1.00 39.115 1.00 0 REPORT ANALYST 32.978 1.00 0 0.00 ASSISTANT BANK EXAMINER II 326,823 7.71 433,900 10.00 222,500 5.00 0 0.00 0 0.00 ASSIST TRUST EXAMINER II 19,441 0.46 0 0.00 0.00 41.196 1.00 0 0.00 ASST CONS. CREDIT EXAMINER 0.00 0 0 0.00 SENIOR ASST CONS. CREDIT EXAM 42,664 1.00 45,863 1.00 45,863 1.00 0 2.00 0 0.00 0.00 CONSUMER CREDIT EXAMINER 72,279 1.33 122,300 0 0.00 143,318 2.00 143.318 2.00 SR CONS CREDIT EXAMINER I 136,133 2.00 O 0.00 1.00 34,649 45.221 1.00 45.221 CONSUMER CREDIT SPECIALIST 0.80 0 0.00 1.00 84,361 1.00 SUPERVISOR OF CONSUMER CREDIT 84,361 1.00 84,361 489.058 7.00 0 0.00 448.944 6.00 SENIOR BANK EXAMINER II 408,475 5.93 9.00 0 0.00 690.940 537,985 7.00 SENIOR BANK EXAMINER III 580,676 7.79 0 0.00 2.00 70,000 1.00 149,648 SENIOR TRUST EXAMINER II 123,675 1.77 0 0.00 224,472 3.00 154,472 2.00 207,054 3.00 SR CONS CREDIT EXAMINER II 0 0.00 74,165 1.00 74,165 1.00 SR CONS CREDIT EXAMINER III 74,165 1.00 0 0.00 1.00 75,920 1.00 75,920 1.00 75,920 SUPVSR OF MORTGAGE LICENSING 265.000 5.00 0 0.00 SENIOR ASSISTANT EXAMINER II 441,355 8.92 476.970 9.00 0 0.00 184,485 3.00 BANK EXAMINER II 391.888 6.50 587,040 9.00 0 0.00 45,221 1.00 45,221 1.00 MORTGAGE LICENSING SPECIALIST 36.222 0.84 0.00 0 1.00 50.406 1.00 50,406 SR ASST CONS CREDIT EXAM !! 49,458 1.00 0 0.00 3.00 61,445 1.00 184,485 99,699 1.65 CONSUMER CREDIT EXAMINER II

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# **DECISION ITEM DETAIL**

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	**********	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE						de la companya de la		
CORE								
MORTGAGE LICENSING SPEC II	60,289	1.00	61,445	1.00	61,445	1.00	0	0.00
SENIOR ASSISTANT MORTGAGE EXAM	3,354	0.07	0	0.00	46,920	1.00	0	0.00
SENIOR MORTGAGE EXAMINER II	0	0.00	0	0.00	70,000	1.00	0	0.00
DIVISION DIRECTOR	104,757	1.00	100,450	1.00	105,372	1.00	0	0.00
DEPUTY DIVISION DIRECTOR	96,000	1.00	96,000	1.00	98,880	1.00	0	0.00
CHIEF EXAMINER	100,199	1.08	92,700	1.00	92,700	1.00	0	0.00
SENIOR COUNSEL	73,500	1.00	73,500	1.00	73,500	1.00	0	0.00
CHIEF COUNSEL	87,375	1.00	85,000	1.00	88,000	1.00	0	0.00
FISCAL AND ADMINISTRATIVE MNGR	49,743	1.00	50,696	1.00	50,696	1.00	0	0.00
COMMISSION MEMBER	0	0.00	2,410	0.00	0	0.00	0	0.00
BOARD MEMBER	300	0.00	4,699	0.15	4,699	0.15	0	0.00
MISCELLANEOUS PROFESSIONAL	140,321	1.88	188,099	3.00	139,953	2.00	0	0.00
TOTAL - PS	6,437,054	109.80	7,094,824	118.15	7,094,824	118.15	0	0.00
TRAVEL, IN-STATE	400,972	0.00	470,825	0.00	470,825	0.00	0	0.00
TRAVEL, OUT-OF-STATE	93,239	0.00	117,369	0.00	117,369	0.00	0	0.00
SUPPLIES	55,726	0.00	56,733	0.00	56,733	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	96,436	0.00	92,786	0.00	92,786	0.00	0	0.00
COMMUNICATION SERV & SUPP	26,690	0.00	36,325	0.00	36,325	0.00	0	0.00
PROFESSIONAL SERVICES	28,672	0.00	105,938	0.00	105,938	0.00	0	0.00
M&R SERVICES	3,009	0.00	3,175	. 0.00	3,175	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	1	0.00	1	0.00	0	0.00
OFFICE EQUIPMENT	11,776	0.00	33,293	0.00	33,293	0.00	0	0.00
OTHER EQUIPMENT	11,024	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1	0.00	1	0.00	0	0.00
<b>EQUIPMENT RENTALS &amp; LEASES</b>	166	0.00	100	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	984	0.00	5,805	0.00	5,805	0.00	0	0.00
REBILLABLE EXPENSES	4,140	0.00	4,140	0.00	4,140	0.00	0	0.00
TOTAL - EE	732,834	0.00	927,491	0.00	927,491	0.00	0	0.00

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DIFP								DECISION ITI	EM DETAIL
Budget Unit		FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	******
Decision Item		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class		DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE						2/1/10/20/10/20/10/20/20/20/20/20/20/20/20/20/20/20/20/20		**************************************	
CORE									
REFUNDS		1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL - PD	•••	1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
GRAND TOTAL		\$7,171,538	109.80	\$8,023,315	118.15	\$8,023,315	118.15	\$0	0.00
	GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	OTHER FUNDS	\$7,171,538	109.80	\$8,023,315	118.15	\$8,023,315	118.15		0.00

# Department of Insurance, Financial Institutions and Professional Registration

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

#### 1. What does this program do?

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks and trust companies. As of March 31, 2012, Missouri ranked 5th in the nation in the number of state-chartered banks with 274 state-chartered banks, 6 non-deposit trust companies, and 6 savings and loan associations regulated by the division. Assets in Missouri state-chartered banks totaled \$94.4 billion on March 31, 2012. The 6 nondeposit trust companies held a combined total of \$13.0 billion in trust assets as of calendar year end 2011.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 361, 362, 369 and 443 RSMo.

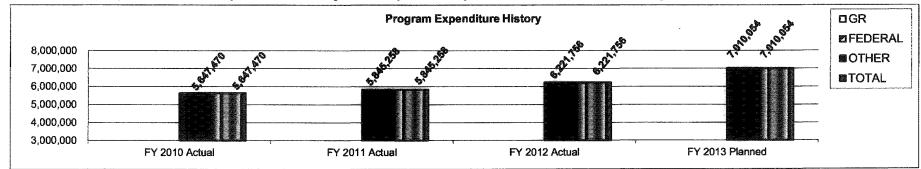
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

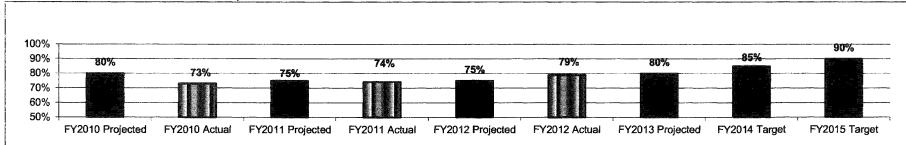
Department of Insurance, Financial Institutions and Professional Registration

**Bank and Trust Company Regulation** 

Program is found in the following core budget(s): Finance

7a. Provide an effectiveness measure.

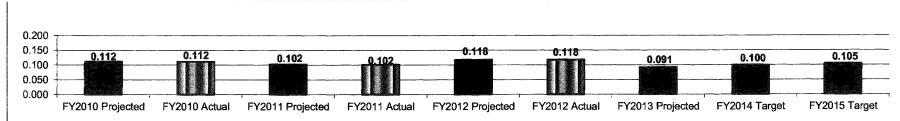
Percent of Missouri banks rated with a 1, or 2\*.



<sup>\*</sup>A bank's performance is measured by the CAMELS ratings. The CAMELS rating system (1 - 5) is based upon evaluation of critical elements of a bank's operations. Banks rated at a CAMELS rate of 3, 4 or 5 are considered to be problem institutions.

#### 7b. Provide an efficiency measure.

Average assessment rate per thousand in assets charged to Missouri institutions.



# 7c. Provide the number of clients/individuals served, if applicable.

	FY20	)10	FY20	<b>011</b>	FY20	)12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
State-chartered Banks	284	279	279	275	275	274	274	274	274

#### 7d. Provide a customer satisfaction measure, if available.

The Division of Finance has contracted with MU to conduct a post-examination survey of customer satisfaction on an overall rating scale of 1(poor) to 5 (excellent).

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
MU Survey Results	4.00	4.12	4.00	4.08	4.00	4.19	4.00	4.00	4.00	

# Department of Insurance, Financial Institutions and Professional Registration

**Consumer Credit Licensing and Regulation** 

Program is found in the following core budget(s): Finance

#### 1. What does this program do?

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies and title loan companies.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 364, 365, 367 and 408 RSMo.

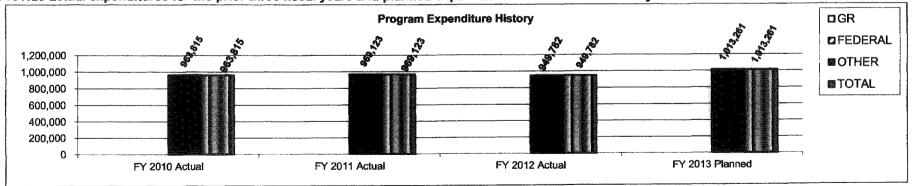
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

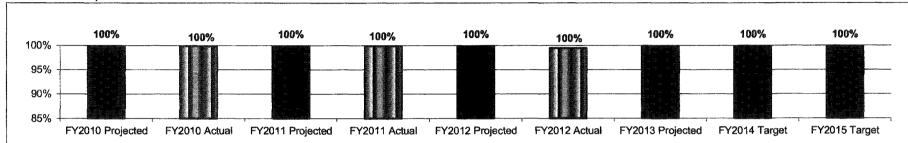
### Department of Insurance, Financial Institutions and Professional Registration

**Consumer Credit Licensing and Regulation** 

Program is found in the following core budget(s): Finance

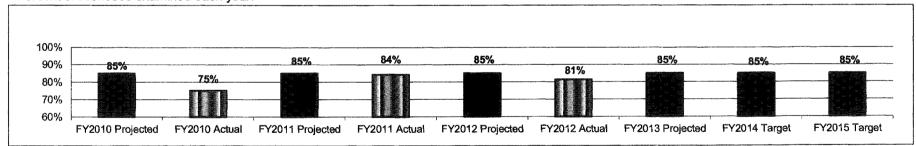
#### 7a. Provide an effectiveness measure.

Percent of compliant licensees.



### 7b. Provide an efficiency measure.

Percent of licensees examined each year.



# 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY20	011	FY20	012	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Licensees	2,800	2,724	2,750	2,783	2,800	2,916	2,925	2,950	2,975	

# 7d. Provide a customer satisfaction measure, if available.

None available.

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Department of	Insurance, I	inancia	l Institution:	& Profession	onal Regist	ration Budget Unit 4	42510C	and the second s	**************************************		MARID SANSKIED SANSKI
Division of Fin Personal Servi	ance	***************************************		-	) # 1375004						
reisonai Servi	Ce increase			<u></u>	/I# 13/30U <sup>4</sup>						
1. AMOUNT O	F REQUEST										
		FY 2	014 Budget	Request			FY 2014	4 Governor's	Recommend	ation	
COLUMN TO THE PARTY OF THE PART	GR		Federal	Other	Total		GR	Federal	Other	Total	
PS	**************************************	0	0	496,825	496,825	PS	0	0	0	0	
EE		0	0	0	0	EE	0	0	0	0	
PSD		0	0	0	0	PSD	0	0	0	0	
TRF		0	0	0	0	TRF	0	0	0_	00	
Total	Commission of the Commission o	0	0	496,825	496,825	Total	0	0	0	0	
FTE		0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00	
Est. Fringe		0	0	255,418	255,418	Est. Fringe	0	0	0	0	
Note: Fringes I	oudgeted in I-	louse Bil	5 except for		28	Note: Fringes	budgeted in F	House Bill 5 ex	cept for certa	in fringes	
budgeted direct	ly to MoDOT	, Highwa	y Patrol, and	Conservation	7.	budgeted direc	tly to MoDOT	, Highway Pa	troi, and Cons	ervation.	
Other Funds:	Division of	Finance	Fund (0550)			Other Funds:					
2. THIS REQUI	ST CAN BE	CATEG	ORIZED AS:								***************************************
50000000000000000000000000000000000000	New Legisla	ation				New Program		F	Fund Switch		
	Federal Ma			TO ME	X	Program Expansion	600	THE PERSON NAMED OF THE PE	Cost to Contin	ue	
	GR Pick-U			COLUMN CO	· · · · · · · · · · · · · · · · · · ·	Space Request	au.	CHARLES OF THE PARTY OF THE PAR	Equipment Re		
	_ Pay Plan			e/v/207		Other:					
The Division of requires the dir positions with scounterparts, s	Finance is rector of financial similar examination examination of anticipate	equesting ice to ma ner positi er position d promo	ON FOR THIS  g an increase aintain an equions at federa ons are comp	to Personal litable salary al bank regulations at a	Service app schedule fo atory agenci pproximatel	propriation to fund future example all Division employees. Such the statute allows of 50% of our federal counterplections indicate the division's	miner and pro ch schedule s division emplo parts. The div	fessional staff hall allow for a byees to be co rision's curren	f promotions. a comparison ompensated u t personal ser	Section 361 of state exar p to 90% of o vice appropr	.170 RSMo miner our federal iation will

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Department of Insurance, Financial Institutions & Professional Registration Budget Unit 42510C

Division of Finance
Personal Service Increase Di# 1375004

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Payroll projections were completed for FY2013, FY2014 and FY2015 assuming that all employees remained employed with the division and moved up the examiner career ladder on target. The division's current personal service appropriation will cover the costs of promotions in FY2013; however, payroll projections indicate the division's personal service appropriation is \$496,825 less than what is needed to fund promotions during FY2014 and FY2015. Therefore, the division is requesting to increase our personal service appropriation by \$496,825 to fund future promotions over the next two fiscal years.

5 RPEAK DOWN THE DECLIEST BY RUDGET OR JECT CLASS LOR CLASS AND ELIND SOLIDCE IDENTIFY ONE TIME COSTS

	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	<b>DOLLARS</b>	FTE	<b>DOLLARS</b>	FTE	DOLLARS	FTE	DOLLARS
100 / Personal Services				>	496,825		496,825	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	496,825	0.0	496,825	0.0	
							0		
							0		
					www.www.www.www.www.www.www.www.www.ww		0		
Total EE	0		0		0		0		
Program Distributions							0		
Total PSD	0	•	0		0		0		
Transfers									
Total TRF	0	•	0		0		0		
Grand Total	ATTHOUGH COMMON	0.0	0	0.0	496,825	0.0	496,825	0.0	

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ec	Ol# 1375004 Gov Rec GR	Gov Rec	Gov Rec	Gov Rec	Gov Rec			PARTITION OF THE PARTIT
ec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Pec	Cov. Doo		overnoon and the second of the
			Gov Rec	Gov Rec	Gov Rec	0 a v Daa	A	
RS	FTE	FED DOLLARS	FED FTE	OTHER DOLLARS	OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
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	0	0	0 0 0 0	0 0 0 0	0     0       0     0       0     0       0     0		0     0.0     0     0.0     0       0     0     0     0       0     0     0     0       0     0     0     0       0     0     0     0       0     0     0     0	0     0.0       0     0.0       0     0.0       0     0       0     0       0     0       0     0       0     0       0     0       0     0       0     0       0     0       0     0       0     0

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Division of Fi		hogga aya, ahaa ayaa aa aa aa aa baahaa ah ayaa ah a	DI# 1375004					S. Company of the Com
reisoliai Serv	/ICE IIICIGASE		VI# 13/3004					
6. PERFORM	ANCE MEASURES (If new dec	ision item has	an associated core, sepa	rately identil	fy projected	l performance witl	h & without ac	dditional funding.)
6a.	Provide an effectiveness	measure.			6b.	Provide an effi	iciency meas	sure.
	Sta	ff turnover rates	S		Average as Missouri in	sessment rate per stitutions.	thousand in as	sets charged to
	FY2010		7.58%		F	/2010		0.112
	FY2011		6.00%		F	/2011		0.102
	FY2012		6.67%		F١	/2012		0.118
	FY2013 Projected		6.00%		F	/2013		0.091
	FY2014 Projected		6.00%		FY201	4 Projected		0.091
	FY2015 Projected		6.00%		FY201	5 Projected		0.091
6c.	Provide the number of c	lients/individ	uals served, if applicat	ole.	6d.	Provide a cust available.	tomer satisfa	action measure, if
	State	-chartered Ban	ks		C	ustomer satisfaction	n scale of 1-5	
		# Banks	Total Assets					
	FY2010	279	\$77.0 billion			Current	4.19	
	FY2011	275	\$91.3 billion		FY:	2012 Projected	4.19	
	FY2012 Projected	274	\$95.7 billion		FY:	2013 Projected	4.25	
	FY2013 Projected	274	\$97.9 billion		FY	2014 Projected	4.25	
	FY2014 Projected	274	\$100.1 billion		FY	2015 Projected	4.25	
	FY2015 Projected	274	\$102.3 billion					
7. STRATEG	IES TO ACHIEVE THE PERFO	RMANCE MEA	SUREMENT TARGETS:					

Funding is necessary to continue promoting division of finance examiners consistent with the career ladder and maintaining a minimal turnover rate with overall professional staff. Maintaining a well trained qualified staff is of utmost importance in dealing with a complex and growing banking industry.

DIFP							DECISION IT	em detail
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE								
Personal Services Increase - 1375004								
SALARIES & WAGES	0	0.00	0	0.00	496,825	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	496,825	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$496,825	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$496,825	0.00		0.00

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# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$34,859	0.00	\$50,000	0.00	\$50,000	0.00	\$0	0.00
TOTAL	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
TOTAL - TRF	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
FUND TRANSFERS DIV SAVINGS & LOAN SUPERVISION	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
CORE								
S&L FUND TRANSFER								
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	存货的业务会会会会会会会会	********
Budget Unit								

im\_disummary

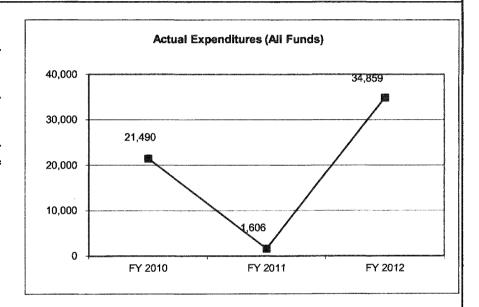
1. CORE FINANC		2014 Budge	t Reguest	en e	arrante (1975 property copyrga listed to many grammary grammary and the foreign construction and the construction of the const	FY 2014	Governor's	Recommenda	tion
		Federal	Other	Total		GR	Fed	Other	Total
PS .	0	0	0	0	PS	0	0	0	0
	0	0	0	0	EE	0	0	0	0
SD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	50,000	50,000	TRF	0	0	0	0
otal	0	0	50,000	50,000	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0 1	0	0	0	Est. Fringe	0	o	0	C
udgeted directly t	to MoDOT, Highwa	y Patrol, and	l Conservation	n.	budgeted dir	s budgeted in H ectly to MoDOT,		•	_
budgeted directly to	to MoDOT, Highwa	y Patrol, and	l Conservation	n.	, –	ectly to MoDOT,		•	_
Other Funds:  2. CORE DESCRI  This transfer prov	to MoDOT, Highwa Division of Savings	y Patrol, and	Conservation Supervision Fu	n. und (0549) rom the Savings a	budgeted dir	ectly to MoDOT,	Highway Pa	trol, and Conse	rvation.

-		, Financial Institutions and	Professional Registration	Budget Unit	
	Division of Finance				

Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund

# 4. FINANCIAL HISTORY

FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
39,400	39 400	39 400	50,000
0	0	0	N/A
39,400	39,400	39,400	N/A
21,490	1,606	34,859	N/A
17,910	37,794	4,541	N/A
0	0	0	N/A
0	0	0	N/A
17,910	37,794	4,541	N/A
(1)	(2)	(3)	
	39,400 0 39,400 21,490 17,910	Actual         Actual           39,400         39,400           0         0           39,400         39,400           21,490         1,606           17,910         37,794           0         0           0         0           17,910         37,794	Actual         Actual         Actual           39,400         39,400         39,400           0         0         0           39,400         39,400         39,400           21,490         1,606         34,859           17,910         37,794         4,541           0         0         0           0         0         0           17,910         37,794         4,541



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Required transfer amount less than appropriation.
- (2) Required transfer amount less than appropriation.
- (3) Required transfer amount less than appropriation.

# **CORE RECONCILIATION DETAIL**

### DIFP

# S&L FUND TRANSFER

# 5. CORE RECONCILIATION DETAIL

	Budget			٧				
	Class	FTE	GR	Federa	al	Other	Total	Ē
TAFP AFTER VETOES								
	TRF	0.00		0	0	50,000	50,000	)
	Total	0.00		D	0	50,000	50,000	)
DEPARTMENT CORE REQUEST								
	TRF	0.00		0	0	50,000	50,000	)
	Total	0.00		O	0	50,000	50,000	)
GOVERNOR'S RECOMMENDED	CORE							
	TRF	0.00		0	0	50,000	50,000	)
	Total	0.00		0	0	50,000	50,000	)

DIFP								DECISION IT	EM DETAIL
Budget Unit		FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	******
Decision Item		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class		DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER									
CORE									
TRANSFERS OUT		34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
TOTAL - TRF	***	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
GRAND TOTAL		\$34,859	0.00	\$50,000	0.00	\$50,000	0.00	\$0	0.00
6000 Maria Maria Cara Maria Maria Maria Maria Maria Maria Maria Maria Maria Mandala Maria Maria Maria Maria Ma	GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	OTHER FUNDS	\$34,859	0.00	\$50,000	0.00	\$50,000	0.00		0.00

Department of Insurance, Financial Institutions and Professional Registration

Division of Savings and Loan Supervision Fund Transfer to Finance Fund

Program is found in the following core budget(s): Division of Savings and Loan Supervision Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Division of Savings and Loan Supervision fund to meet salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 369 RSMo.

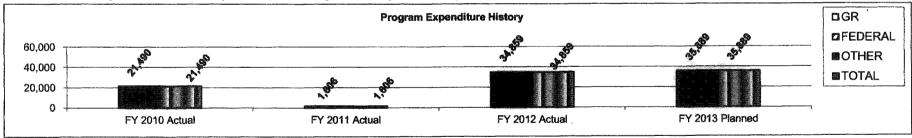
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

DIFP						DEC	ISION ITEM	SUMMARY
Budget Unit		and the state of t						
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
RESIDENTAL MORTGAGE FUND TRF				https://www.combleceftPlbWiFDerlF				
CORE								
FUND TRANSFERS								
RESIDENTIAL MORTGAGE LICENSING	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
TOTAL - TRF	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
TOTAL	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
GRAND TOTAL	\$410,151	0.00	\$700,000	0.00	\$700,000	0.00	\$0	0.00

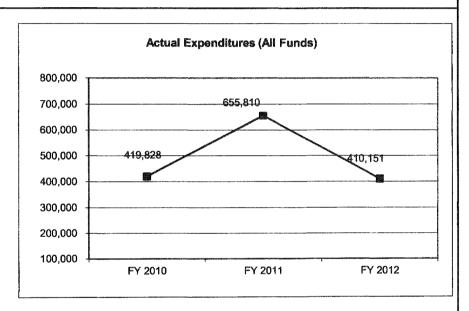
Department of Ins	surance, Financia	I Institution	s and Profes	sional Registration	Budget Unit	42550C				
Division of Finan	Ce			_	-					
Core - Residentia	l Mortgage Licen	sing Fund T	ransfer to Fi	nance Fund						
1. CORE FINANC	IAL SUMMARY									·
	FV	2014 Budge	t Panuaet			FY 2014	Governor's F	Recommendat	ion	
	GR	Federal	Other	Total		GR	Fed	Other	Total	
PS	0	0	0	0	PS -	0	0	0	0	
	0	0	0	0	EE	0	0	0	0	
PSD	Ō	0	0	0	PSD	0	0	0	0	
TRF	0	0	700,000	700,000	TRF	0	0	0	0	
Total	0	0	700,000	700,000	Total	0	0	0	0	
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00	
								A	The state of the s	
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0	
Note: Fringes bud					Note: Fringes	•		•	- 1	
budgeted directly	to MoDOT, Highwa	y Patrol, and	l Conservatio	n	budgeted direc	tly to MoDOT,	Highway Patr	ol, and Conser	vation.	
			- 1 (0004		0.1 F 1	·				
Other Funds:	Residential Mortga	age Licensin	g Fund (0261	)	Other Funds:					
Market and the second s							oonstate to to specify an armount of the specify and the specific and the specifi			Name of the last o
2. CORE DESCRI	PTION	Annual Control					ANNANA MARIEN SARAN MANANA			<b>M</b>
This transfer prov	ides funds to the l	Division of Fi	nance Fund f	rom the Residential Mo	rtgage Licensing	Fund to meet t	he salaries ar	nd expenses of	the Division of	
	istering the Reside									
	J									
						<u>numpiakou re-mongrapiako kaisang</u> muniokko				
3. PROGRAM LIS	STING (list progra	<u>ıms include</u>	<u>d in this core</u>	funding)			ooneen on the second of the se	day more against a said and a said and a said and a said a said and a said a said a said a said a said a said		ин <i>гонация</i> -та,
	–									
Residential Mortga	age Licensing Fund	ranster								

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42550C Division of Finance

Core - Residential Mortgage Licensing Fund Transfer to Finance Fund

### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	419,900	660,000	600,000	700,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	419,900	660,000	600,000	N/A
Actual Expenditures (All Funds)	419,828	655,810	410,151	N/A
Unexpended (All Funds)	72	4,190	189,849	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	72	4,190	189,849	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Original appropriation of \$150,000 E was increased by \$269,900.
- (2) Original appropriation of \$150,000 E was increased by \$510,000.
- (3) Required transfer amount less than appropriation.

### **CORE RECONCILIATION DETAIL**

# DIFP

# **RESIDENTAL MORTGAGE FUND TRF**

# 5. CORE RECONCILIATION DETAIL

	Budget Class		CD.	Foolonel	Othor	Total	1222
	Class	FTE	GR	Federal	Other	Total	E
TAFP AFTER VETOES							
	TRF	0.00	0	0	700,00	00 700,000	)
	Total	0.00	0	0	700,00	700,000	)
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	700,00	700,000	)
	Total	0.00	0	0	700,0	00 700,000	<u> </u>
GOVERNOR'S RECOMMENDED	CORE						
	TRF	0.00	0	0	700,00	00 700,000	2
	Total	0.00	0	0	700,00	00 700,000	)

DIFP							DECISION IT	<b>EM DETAIL</b>
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
RESIDENTAL MORTGAGE FUND TRF								
CORE								
TRANSFERS OUT	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
TOTAL - TRF	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
GRAND TOTAL	\$410,151	0.00	\$700,000	0.00	\$700,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$410.151	0.00	\$700.000	0.00	\$700.000	0.00		0.00

### Department of Insurance, Financial Institutions and Professional Registration

Residential Mortgage Licensing Fund Transfer

Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund

#### 1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 443.845 RSMo.

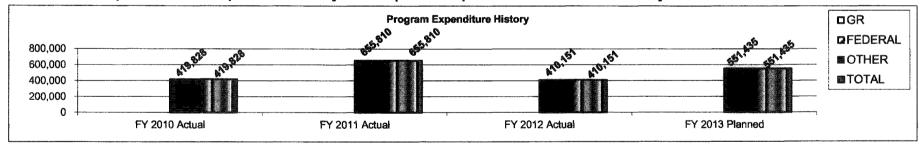
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Residential Mortgage Licensing Fund (0261)

7a. Provide an effectiveness measure.

None available.

None available.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

7d.

Provide a customer satisfaction measure, if available.

None available.

DIFP							DEC	ISION ITEM	SUMMARY
Budget Unit	and the second s	***************************************	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					o konstruente su su anticonomico del como de la como de	
Decision Item	FY 2012		FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	******
Budget Object Summary	ACTUAL		ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR		FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER TO GR									
CORE									
FUND TRANSFERS									
<b>DIV SAVINGS &amp; LOAN SUPERVISION</b>	*	0	0.00	25,000	0.00	25,000	0.00	0	0.00
TOTAL - TRF	***************************************	0	0.00	25,000	0.00	25,000	0.00	0	0.00
TOTAL		0	0.00	25,000	0.00	25,000	0.00	0	0.00
GRAND TOTAL		\$0	0.00	\$25,000	0.00	\$25,000	0.00	\$0	0.00

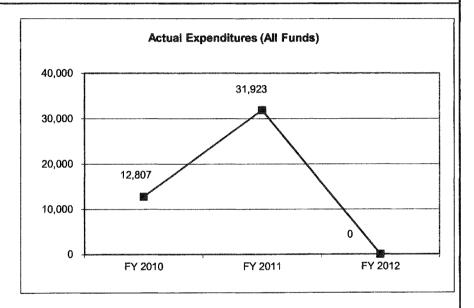
I. CORE FINANC	CIAL SUMMARY FY 2	)14 Budge	t Remiest			FY 2014	Governor's	Recommenda	flon
		ederal	Other	Total		GR	Fed	Other	Total
<b>PS</b>	0	0	0	0	PS	0	0	0	0
	0	0	0	0	EE	0	0	0	0
§D	0	0	0	0	PSD	0	0	0	0
RF	0	0	25,000	25,000	TRF	0	0	0	0
otal	0	0	25,000	25,000	Total	0	0	0	0
re	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
ote: Fringes bud udgeted directly	0   dgeted in House Bill to MoDOT, Highway	Patrol, and	l Conservation	<u>n.</u>	budgeted dire	0 s budgeted in Ho ectly to MoDOT,			
ote: Fringes bud udgeted directly	dgeted in House Bill	5 except for Patrol, and	r certain fringe I Conservation	9S 7.	Note: Fringe	s budgeted in Ho ectly to MoDOT,	ouse Bill 5 ex	cept for certain	
	dgeted in House Bill to MoDOT, Highway  Division of Savings	5 except for Patrol, and	r certain fringe I Conservation	9S 7.	Note: Fringe budgeted din	s budgeted in Ho ectly to MoDOT,	ouse Bill 5 ex	cept for certain	
ote: Fringes bud udgeted directly ther Funds: CORE DESCR	dgeted in House Bill to MoDOT, Highway  Division of Savings  LIPTION  ith State Statute Chaive percent of the am	5 except for Patrol, and & Loan Sup pter 369.32	r certain fringe d Conservation pervision Fund 24 RSMo., and	d (0549) y amount remaining	Note: Fringe budgeted din Other Funds:	s budgeted in Ho ectly to MoDOT,	ouse Bill 5 ex Highway Pat	cept for certain rol, and Conse	nd of the fiscal year

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42540C Division of Finance

Core - Division of Savings and Loan Supervision Fund Transfer to General Revenue

# 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	12,809	31,923	6.909	25,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	12,809	31,923	6,909	N/A
Actual Expenditures (All Funds)	12,807	31,923	0	N/A
Unexpended (All Funds)	2	0	6,909	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	2	0	6,909	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Original appropriation of \$6,909 E was increased by \$5,900.
- (2) Original appropriation of \$6,909 E was increased by \$25,014.
- (3) No transfer required for FY 2012.

### **CORE RECONCILIATION DETAIL**

DIFP

**S&L FUND TRANSFER TO GR** 

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal		Other	Total	
TAFP AFTER VETOES		V-5				,		
	TRF	0.00	C		0	25,000	25,000	)
	Total	0.00	0		0	25,000	25,000	
DEPARTMENT CORE REQUEST								-
	TRF	0.00	C	)	0	25,000	25,000	)
	Total	0.00	C		0	25,000	25,000	
GOVERNOR'S RECOMMENDED	CORE							
	TRF	0.00	C	1	0	25,000	25,000	1
	Total	0.00	(		0	25,000	25,000	)

DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER TO GR			Wood Mithers			and the second s		
CORE								
TRANSFERS OUT	(	0.00	25,000	0.00	25,000	0.00	0	0.00
TOTAL - TRF		0.00	25,000	0.00	25,000	0.00	0	0.00
GRAND TOTAL	\$(	0.00	\$25,000	0.00	\$25,000	0.00	\$0	0.00
GENERAL REVENUE	\$(	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$(	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$6	0.00	\$25,000	0.00	\$25,000	0.00		0.00

## Department of Insurance, Financial Institutions and Professional Registration

Division of Savings and Loan Supervision Fund Transfer to General Revenue

Program is found in the following core budget(s): Division of Savings and Loan Supervision Fund Transfer to General Revenue

#### 1. What does this program do?

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year that exceeds five percent of the amount assessed the saving and loan associations shall be transferred to General Revenue.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Chapter 369.324 RSMo.

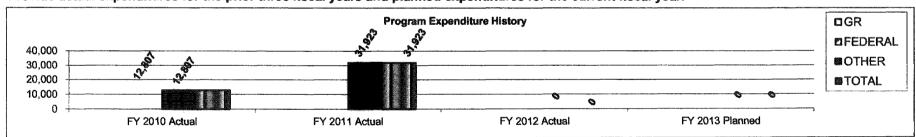
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



#### 6. What are the sources of the "Other" funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

Provide an efficiency measure. 7b.

None available.

Provide a customer satisfaction measure, if available. 7d.

None available.

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# **DECISION ITEM SUMMARY**

Budget Unit							T. T	
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION			-					
CORE								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	3,031,753	88.96	3,351,663	84.50	3,351,663	84.50	0	0.00
TOTAL - PS	3,031,753	88.96	3,351,663	84.50	3,351,663	84.50	0	0.00
EXPENSE & EQUIPMENT								
PROFESSIONAL REGISTRATION FEES	726,200	0.00	1,289,686	0.00	1,289,686	0.00	0	0.00
TOTAL - EE	726,200	0.00	1,289,686	0.00	1,289,686	0.00	0	0.00
PROGRAM-SPECIFIC								
PROFESSIONAL REGISTRATION FEES	48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
TOTAL - PD	48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
TOTAL	3,806,640	88.96	4,766,349	84.50	4,766,349	84.50	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	0	0.00	0	0.00	2,701	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	2,701	0.00	0	0.00
TOTAL	0	0.00	0	0.00	2,701	0.00	0	0.00
Real Estate Appraisers - 1375007								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	0	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL	0	0.00	0	0.00	55,000	1.00	0	0.00
GRAND TOTAL	\$3,806,640	88.96	\$4,766,349	84.50	\$4,824,050	85.50	\$0	0.00

im\_disummary

I. CUKE FINANC	IAL SUMMARY	Paramatan and San				COMMUNICATION CONTRACTOR CONTRACT			Martin Martin Strategy (Strategy Company)	
	FY	2014 Budg	et Request			FY 2014	Governor's	Recommend	lation	
	GR	Federal	Other	Total		GR	Fed	Other	Total	
PS '	0	0	3,351,663	3,351,663	PS	0	0	0	0	
	0	0	1,289,686	1,289,686	<u> </u>	0	0	0	0	
PSD	0	0	125,000	125,000	PSD	0	0	0	0	
TRF	0	0	0	0	TRF	0	0	0	0	
Total	0	0	4,766,349	4,766,349	Total	0	0	0	0	
FTE	0.00	0.00	84.50	84.50	FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	1,723,090	1,723,090	Est. Fringe	0	o o	0	0	
Note: Fringes bud	geted in House B	ll 5 except f	or certain frin	ges	Note: Fringe:	s budgeted in	House Bill 5	except for ce	ertain fringes i	
budgeted directly t	o MoDOT, Highwa	ay Patrol, ar	d Conservati	on.	directly to MoDOT, Highway Patrol, and Conservation.					

#### 2. CORE DESCRIPTION

The core program request is necessary to ensure that the Division of Professional Registration Administration can continue to provide the accounting, budgeting, cash receiving, building maintenance, and other various services to the boards and commissions which regulate professions within the State of Missouri. In addition, this core program request is necessary to ensure the continued high quality of service provided by boxers, wrestlers, martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, behavior analysts, dietitians, endowed care cemeteries, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, private fire investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders, and body piercers licensed in Missouri. Note: The core appropriation for Division/Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology and Barbers, Embalmers & Funeral Directors, Optometry, Podiatry, and Veterinary.

Professional Registration Administration Board of Missouri Acupuncture Advisory Committee Board of Office of Athletics

Office of Athletics

Office of Athlete Agents

State Board of Chiropractic Examiners (PS Only)

State Board of Cosmetology & Barbers (PS Only)

Committee for Dietitians

State Board of Embalmers & Funeral Directors (PS Only)

Endowed Care Cemeteries

3. PROGRAM LISTING (list programs included in this core funding)
Professional Registration Administration Board of Geologist Registration

**Board of Hearing Instrument Specialists** 

Interior Design Council

State Committee of Interpreters

Committee for Marital & Family Therapists

State Board of Therapeutic Massage Occupational Therapy

State Board of Optometry (PS Only)

State Board of Podiatric Medicine (PS Only)

Board of Private Investigator and Private

Fire Investigator Examiners

Committee for Professional Counselors

State Committee of Psychologists

Missouri Real Estate Appraisers Commission

**Board for Respiratory Care** 

State Committee for Social Workers

Office of Tattooing, Body Piercing & Branding

Board of Veterinary Medicine (PS Only)

Professional Registration Core - Professional Registration Administration 4. FINANCIAL HISTORY												
	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.		Actual Expe	enditures (All Funds)					
Appropriation (All Funds)	4,319,134	4,514,192	4,514,192	4,766,349	6,000,000 T							
Less Reverted (All Funds)	0	0	0	N/A								
Budget Authority (All Funds)	4,319,134	4,514,192	4,514,192	N/A	5,000,000							
Actual Expenditures (All Funds)	3,615,680	3,743,856	3,806,640	N/A	4,000,000	3,615,680	0.740.050	3,806,640				
Unexpended (All Funds)	703,454	770,336	707,552	N/A	4,000,000	0,010,000	3,743,856	reconstruction of the second o				
Unexpended, by Fund:					3,000,000							
General Revenue	0	0	0	N/A								
Federal	0	0	0	N/A	2,000,000							
Other	703,454	770,336	707,552	N/A								
	(1)	(2)	(3)		1,000,000		A market management of the control o					

Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

(1) Original appropriation of \$35,000 E for refunds was increased by \$5,349. Unexpended amount is due to staff turnover and less than anticipated expenditures.

FY 2011

FY 2010

FY 2012

- (2) Original appropriation of \$88,000 E for testing services was increased by \$190,000 and original appropriation of \$35,000 E for refunds was increased by \$12,000. Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Original appropriation of \$35,000 E for refunds was increased by \$15,500. Unexpended amount is due to staff turnover and less than anticipated expenditures.

### **CORE RECONCILIATION DETAIL**

## DIFP

PR ADMINISTRATION

# 5. CORE RECONCILIATION DETAIL

	Budget							
	Class	FTE	GR	Federal		Other	Total	E
TAFP AFTER VETOES								
	PS	84.50	C	(	0	3,351,663	3,351,663	
	EE	0.00	C	(	0	1,289,686	1,289,686	
	PD	0.00	C	(	0	125,000	125,000	
	Total	84.50	O	(	0	4,766,349	4,766,349	
DEPARTMENT CORE REQUEST								
	PS	84.50	C	) (	0	3,351,663	3,351,663	
	EE	0.00	C	) (	0	1,289,686	1,289,686	
	PD	0.00	C	) (	0	125,000	125,000	!
	Total	84.50	Q		0	4,766,349	4,766,349	
GOVERNOR'S RECOMMENDED	CORE							_
	PS	84.50	C	) (	0	3,351,663	3,351,663	i
	EE	0.00	C	) (	0	1,289,686	1,289,686	i
	PD	0.00	C	(	0	125,000	125,000	<u> </u>
	Total	84.50	C	(	0	4,766,349	4,766,349	- !

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# DECISION ITEM DETAIL

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*********	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION								•
CORE								
OFFICE SUPPORT ASST (CLERICAL)	14,873	0.63	24,252	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (CLERICAL)	35,055	1.38	25,866	1.00	52,866	2.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	122,072	4.09	218,738	7.00	30,008	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	94,694	4.03	137,286	6.00	99,286	4.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	60,679	2.20	52,578	2.00	33,000	1.00	0	0.00
ACCOUNT CLERK II	42,495	1.63	55,382	2.00	26,382	1.00	0	0.00
ACCOUNTANT I	11,286	0.38	0	0.00	32,000	1.00	0	0.00
ACCOUNTANT II	37,296	1.00	38,701	1.00	38,701	1.00	0	0.00
BUDGET ANAL II	36,612	1.00	37,518	1.00	37,518	1.00	0	0.00
PERSONNEL OFCR I	50,076	1.00	52,819	1.00	52,819	1.00	0	0.00
RESEARCH ANAL II	33,026	0.95	35,308	1.00	36,308	1.00	0	0.00
PUBLIC INFORMATION SPEC I	11,093	0.38	0	0.00	31,000	1.00	0	0.00
EXECUTIVE I	84,335	2.55	105,996	3.00	35,500	1.00	0	0.00
EXECUTIVE II	36,612	1.00	37,314	1.00	37,814	1.00	0	0.00
PERSONNEL CLERK	28,140	1.00	29,726	1.00	29,726	1.00	0	0.00
INVESTIGATOR I	1,632	0.05	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	115,856	3.10	156,756	4.00	114,756	3.00	0	0.00
INVESTIGATOR III	46,080	1.00	48,084	1.00	48,084	1.00	0	0.00
GRAPHIC ARTS SPEC II	17,003	0.63	26,706	1.00	0	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	45,060	1.00	45,924	1.00	46,024	1.00	0	0.00
INSURANCE FINANCIAL ANALYST II	80,424	2.00	82,455	2.00	157,455	3.00	0	0.00
COMBATIVE SPORTS ADMINISTRATOR	52,200	1.00	53,201	1.00	53,601	1.00	0	0.00
INSPECTOR (PROF REGISTRATION)	296,922	10.55	316,961	11.00	316,961	11.00	0	0.00
INSP SUPV (PROF REGISTRATION)	30,384	1.00	31,774	1.00	32,774	1.00	0	0.00
FUNERAL ESTABLISHMENT INSP	40,968	1.00	41,753	1.00	41,953	1.00	0	0.00
PROF REG LIC TECH I	72,984	3.15	168,163	7.00	0	0.00	0	0.00
PROF REG LIC TECH II	170,536	6.54	360,594	13.50	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	4,782	0.14	0	0.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	0	0.00	0	0.00	40,000	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	95,333	1.64	117,904	2.00	65,904	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	21,844	0.38	0	0.00	61,000	1.00	0	0.00
PROCESSING TECHNICIAN I	53,757	2.31	0	0.00	122,000	5.00	0	0.00

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Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION								
CORE								
PROCESSING TECHNICIAN II	212,900	7.99	0	0.00	498,319	19.50	0	0.00
PROCESSING TECHNICIAN III	8,355	0.25	0	0.00	40,000	1.00	0	0.00
PROCESSING TECHNICIAN SUPV	20,103	0.56	0	0.00	85,000	2.00	0	0.00
DIVISION DIRECTOR	94,760	1.00	94,760	1.00	94,760	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	46,535	0.82	127,974	0.00	97,974	3.00	0	0.00
LEGAL COUNSEL	141,460	2.46	186,995	3.00	136,995	2.00	0	0.00
CHIEF COUNSEL	37,375	0.54	0	0.00	80,000	1.00	0	0.00
BOARD MEMBER	63,235	4.50	102,359	0.00	102,359	0.00	0	0.00
STUDENT WORKER	6,316	0.29	8,785	0.00	8,785	0.00	0	0.00
CLERK	81,295	3.22	55,733	0.00	58,733	0.00	0	0.00
EXECUTIVE	398	0.01	0	0.00	0	0.00	0	0.00
INSPECTOR	35,150	1.35	44,670	0.00	44,670	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	439,762	7.26	428,628	7.00	430,628	7.00	0	0.00
TOTAL - PS	3,031,753	88.96	3,351,663	84.50	3,351,663	84.50	0	0.00
TRAVEL, IN-STATE	70,953	0.00	119,125	0.00	119,125	0.00	0	0.00
TRAVEL, OUT-OF-STATE	20,397	0.00	53,700	0.00	53,700	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	0	0.00
SUPPLIES	104,618	0.00	149,002	0.00	149,002	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	68,972	0.00	169,392	0.00	169,392	0.00	0	0.00
COMMUNICATION SERV & SUPP	52,721	0.00	53,525	0.00	53,525	0.00	0	0.00
PROFESSIONAL SERVICES	308,945	0.00	605,945	0.00	605,945	0.00	0	0.00
M&R SERVICES	15,738	0.00	38,445	0.00	38,445	0.00	0	0.00
MOTORIZED EQUIPMENT	18,769	0.00	1	0.00	1	0.00	0	0.00
OFFICE EQUIPMENT	9,532	0.00	22,225	0.00	22,225	0.00	0	0.00
OTHER EQUIPMENT	31,675	0.00	550	0.00	550	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	25,050	0.00	25,050	0.00	0	0.00
BUILDING LEASE PAYMENTS	10,514	0.00	18,250	0.00	18,250	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	1,741	0.00	2,800	0.00	2,800	0.00	0	0.00
MISCELLANEOUS EXPENSES	11,625	0.00	31,675	0.00	31,675	0.00	0	0.00
TOTAL - EE	726,200	0.00	1,289,686	0.00	1,289,686	0.00	0	0.00

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DECISION ITEM DETAIL

DIFP							[	DECISION IT	EM DETAIL
Budget Unit		FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	****
Decision Item		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class		DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION	A STATE OF THE STA								
CORE									
REFUNDS		48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
TOTAL - PD		48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
GRAND TOTAL		\$3,806,640	88.96	\$4,766,349	84.50	\$4,766,349	84.50	\$0	0.00
and the state of t	GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	OTHER FUNDS	\$3,806,640	88.96	\$4,766,349	84.50	\$4,766,349	84.50		0.00

# Department of Insurance, Financial Institutions and Professional Registration

**Professional Registration Administration** 

Program is found in the following core budget(s): Professional Registration Administration

#### 1. What does this program do?

Professional Registration Administration provides the accounting, budgeting, cash receiving, building maintenance and other various services to the agencies which regulate professions within the State of Missouri.

Note: The core appropriation for Professional Registration Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 620.105-620.154 RSMo.

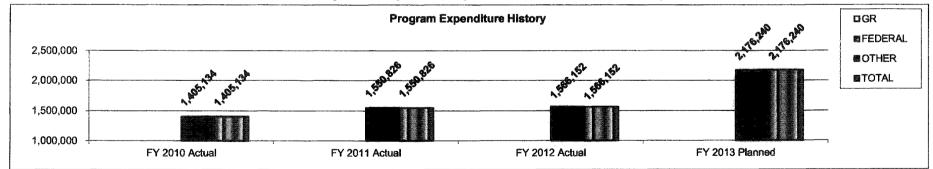
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Professional Registration Fee Fund (0689)

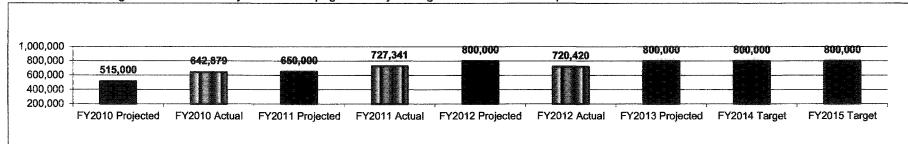
### Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Administration

## Program is found in the following core budget(s): Professional Registration Administration

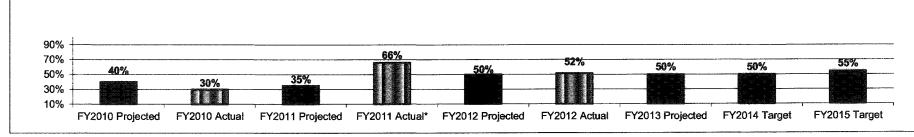
#### 7a. Provide an effectiveness measure.

Increase the average number of monthly division webpage visits by adding more information for professionals.



### 7b. Provide an efficiency measure.

Percent of renewals processed online.



<sup>\*</sup>Approximately 90,000 registered nurses had their biennial renewal period in FY2011, of which approximately 80 percent renewed online. The significant increase in online renewal percentages for FY2011 can be attributed to this.

# 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Licensed Professionals	402,097	423,729	423,729	426,015	426,015	434,597	434,597	434,597	434,597
Board Members	233	233	240	246	246	244	244	244	244
Division Employees	218	218	223	223	223	222	222	222	222
Renewals Processed	200,000	187,938	219,986	216,980	216,980	191,460	191,460	191,460	191,460

# 7d. Provide a customer satisfaction measure, if available.

None available.

# Department of Insurance, Financial Institutions and Professional Registration

Missouri Acupuncturist Advisory Committee

Program is found in the following core budget(s): Professional Registration Administration

#### 1. What does this program do?

The Missouri Acupuncturist Advisory Committee was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating acupuncturists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.475-324.635 RSMo.

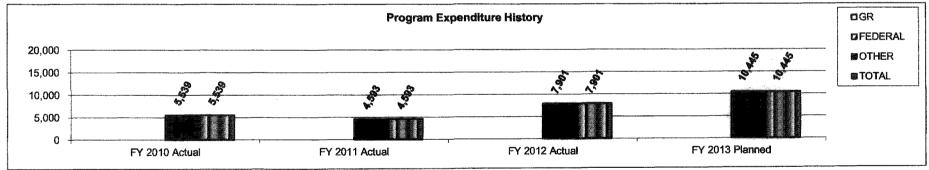
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Acupuncturist Fund (0882)

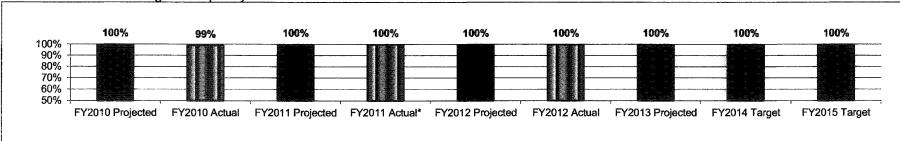
# Department of Insurance, Financial Institutions and Professional Registration

Missouri Acupuncturist Advisory Committee

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY20	10	FY20	011	FY20	12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	13	9	7	15	9	11	7	7	7
Licensed Professionals	96	94	94	107	102	114	104	104	107

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Uniform Athlete Agents Act was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating athlete agents in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 436.218-436.272 RSMo.

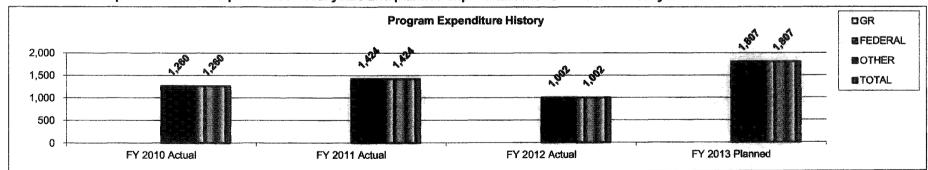
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Athlete Agent Fund (0774)

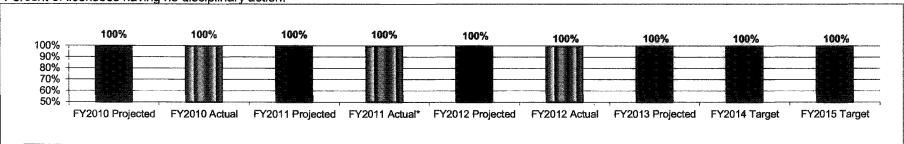
# Department of Insurance, Financial Institutions and Professional Registration

Office of Athlete Agents

# Program is found in the following core budget(s): Professional Registration Administration

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	FY2011		FY2012		FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	44	19	23	11	35	9	9	9	12
Licensed Professionals	65	65	65	79	84	65	23	23	26

# 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Athletics was established to protect the interests of the citizens of the State of Missouri by licensing and regulating contestants, seconds, promoters, referees, judges, announcers, timekeepers, and physicians involved in professional boxing, professional wrestling, professional kickboxing or professional full-contact karate contests in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 317.001-317.021 RSMo.

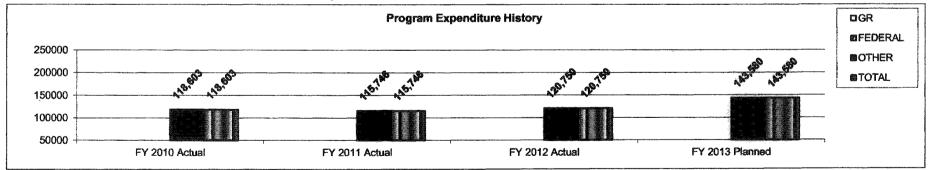
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

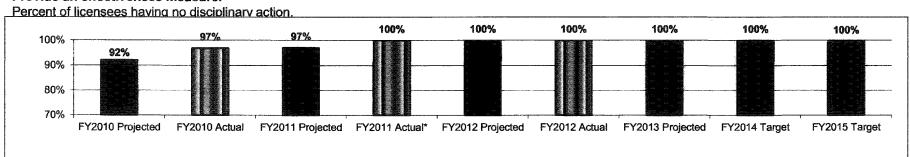
Office of Athletics (0693)

# Department of Insurance, Financial Institutions and Professional Registration

Office of Athletics

## Program is found in the following core budget(s): Professional Registration Administration

## 7a. Provide an effectiveness measure.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	011	FY2	012	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target 04.4	Target
Applications Received	600	550	874	648	1,200	814	814	814	850
Licensed Professionals	1,174	2,225	2,000	1,495	1,100	2,458	1,066	1,066	1,100

Note: FY10, FY11, and FY12 actual licensee counts are reflective of the renewal period ending on June 30, 2012. Typically athletic contestants do not renew their license until they actually participate in an event.

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

	FY 2013 PLANNED										
	Chiropractic	PR Admin	TOTAL								
GR	0	0	0								
FEDERAL	0	0	0								
OTHER	147,672	68,954	216,626								
TOTAL	147,672	68,954	216,626								

## 1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

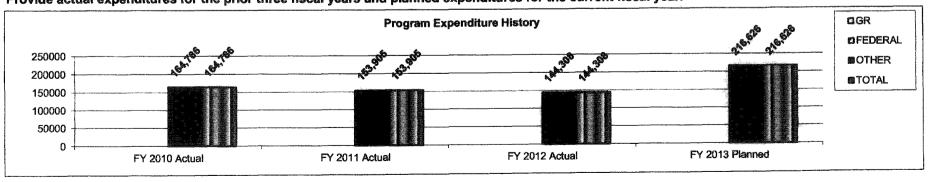
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

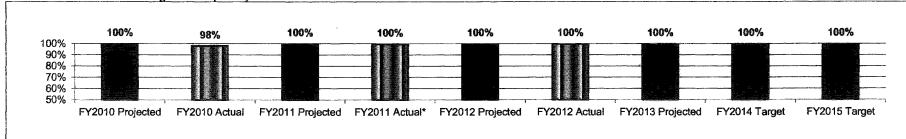
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

## 6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

# 7c. Provide the number of clients/individuals served, if applicable.

		FY20	)10	FY2011 FY2		FY2	FY2012 FY2013		FY2014	FY2015
		Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Appl	lications Received	157	151	140	138	125	128	120	120	120
Lice	nsed Professionals	2,068	2,225	2,007	2,209	2,205	2,348	2,200	2,200	2,200

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

**Board of Cosmetology and Barber Examiners** 

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

	FY 2013 PLANNED										
	Cosmetology Barber	PR Admin	TOTAL								
GR	0	0	0								
FEDERAL	0	0	0								
OTHER	286,409	665,307	951,716								
TOTAL	286,409	665,307	951,716								

## 1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

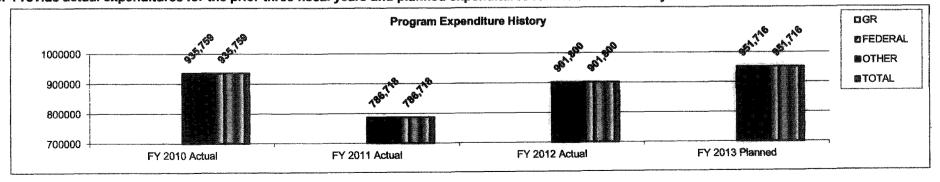
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

Board of Cosmetology and Barber Examiners

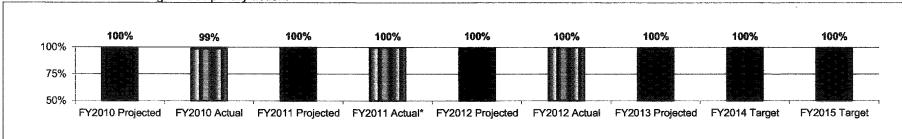
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

### 6. What are the sources of the "Other" funds?

Board of Cosmetology and Barber Examiners (0785)

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

\*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	D11	FY20	12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	10,603	10,980	11,000	10,362	11,979	11,381	15,100	15,100	15,100
Licensed Professionals	80,100	78,589	80,000	82,601	77,480	79,492	79,643	79,643	79,643

## 7d. Provide a customer satisfaction measure, if available.

# Department of Insurance, Financial Institutions and Professional Registration

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

## 1. What does this program do?

The State Committee of Dietitians was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating dietitians in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.200-324.228 RSMo.

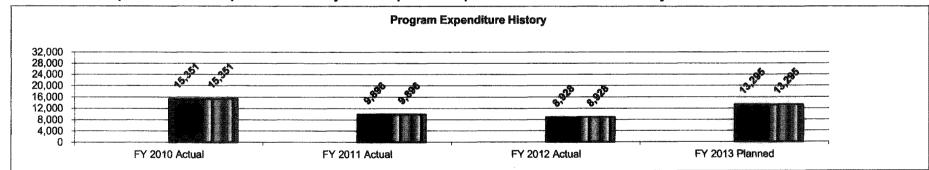
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dietitian Fund (0857)

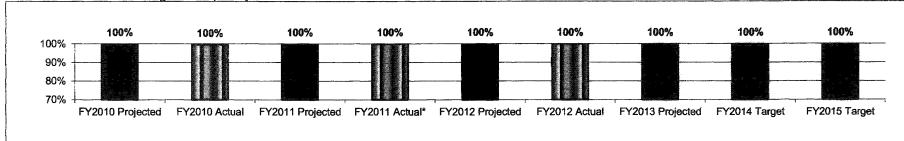
# Department of Insurance, Financial Institutions and Professional Registration

**Committee for Dietitians** 

# Program is found in the following core budget(s): Professional Registration Administration

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

		FY20	10	FY20	FY2011		FY2012		FY2014	FY2015
		Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	<u>Target</u>
	Applications Received	120	143	145	158	170	165	170	170	175
1	Licensed Professionals	1,588	1,549	1,550	1,742	1,550	1,700	1,750	1,750	1,800

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

FY 2013 PLANNED									
	Emb & FDs	PR Admin	TOTAL						
GR	0	0	0						
FEDERAL	0	0	0						
OTHER	204,033	405,917	609,950						
TOTAL	204,033	405,917	609,950						

## 1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

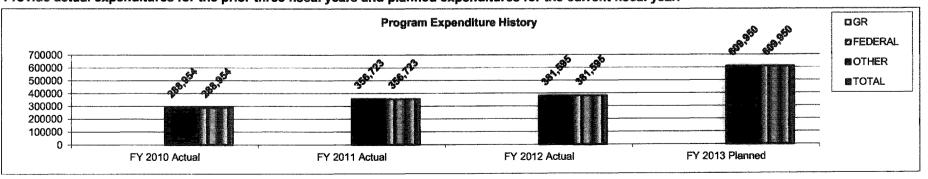
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

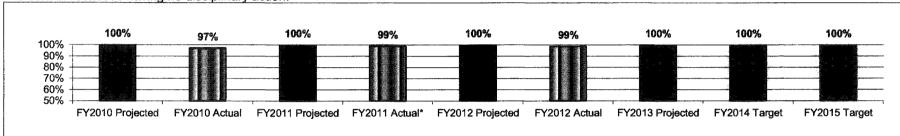
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

# 6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

# 7c. Provide the number of clients/individuals served, if applicable.

980	FY2	010	FY2	011	FY20	012	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	319	1,460	295	405	405	402	400	400	400	
Licensed Professionals	6,183	5,995	5,995	6,254	6,170	6,125	6,170	6,170	6,170	

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

## 1. What does this program do?

The Office of Endowed Care Cemeteries was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating endowed care cemeteries in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 214.270-214.516 RSMo.

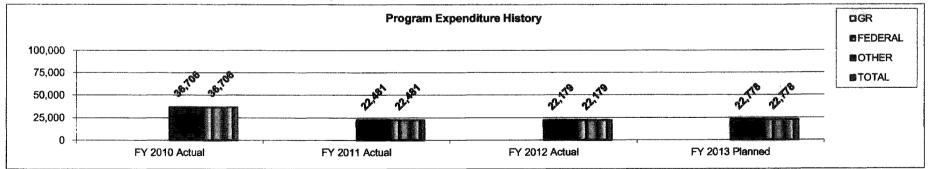
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Endowed Care Cemetery Audit Fund (0562)

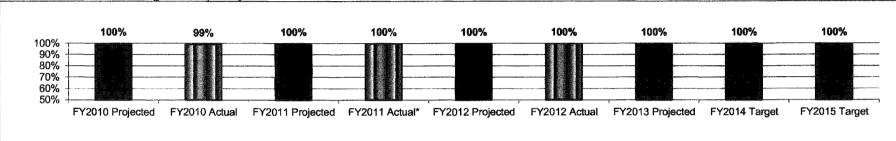
# Department of Insurance, Financial Institutions and Professional Registration

Office of Endowed Care Cemeteries

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	011	FY	2012	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	cted Target	<u> Target</u>
Applications Received	5	8	30	12	3	2	2	2	2
Licensed Professionals	154	143	172	98	98	133	133	133	135

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

**Board of Geologist Registration** 

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Geologists Registration was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating geologists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 256.010-256.453 RSMo.

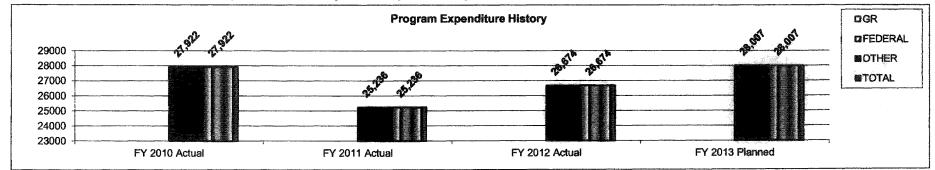
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

The Board of Geologists Registration Fund (0263)

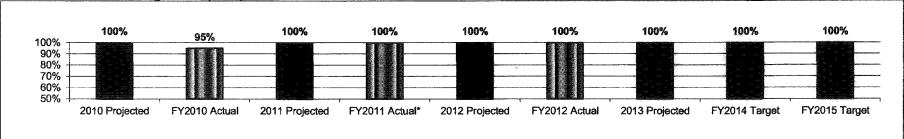
# Department of Insurance, Financial Institutions and Professional Registration

**Board of Geologist Registration** 

# Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2			<b>72012</b> FY2013		FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	30	40	35	28	28	26	25	25	25
Licensed Professionals	870	797	815	862	832	859	850	850	850

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

Board of Hearing Instrument Specialists

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The Board of Examiners for Hearing Instrument Specialists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating hearing instrument specialists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 346.007-346.250 RSMo.

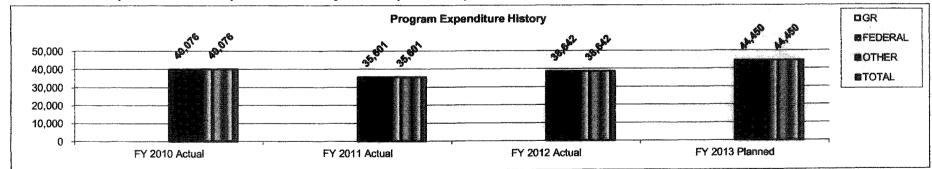
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Hearing Instrument Specialists Fund (0247)

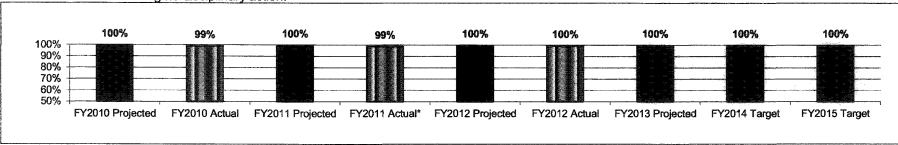
# Department of Insurance, Financial Institutions and Professional Registration

**Board of Hearing Instrument Specialists** 

## Program is found in the following core budget(s): Professional Registration Administration

# 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY20	)10	FY2	011	FY20	12*	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected_	Target	Target	
Applications Received	40	66	44	52	34	34	27	27	27	
Licensed Professionals	286	261	247	261	250	256	245	245	245	

<sup>\*</sup>Beginning in FY 2012 the manner in which applications received are counted has changed to eliminate any chance of duplications.

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Interior Design Council was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interior designers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.400-324.439 RSMo.

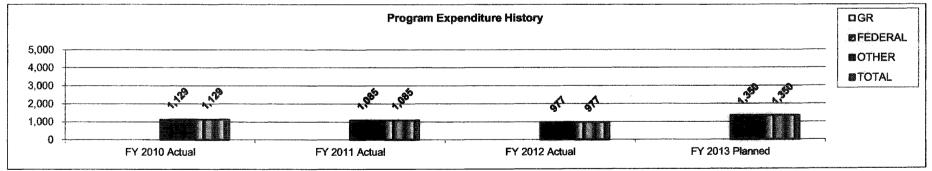
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Interior Design Council (0877)

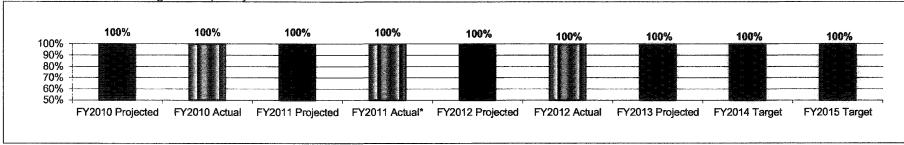
# Department of Insurance, Financial Institutions and Professional Registration

Interior Design Council

# Program is found in the following core budget(s): Professional Registration Administration

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

		FY20	010	FY2	011	FY20	)12	FY2013	FY2014	FY2015	
		Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
App	lications Received	8	5	6	10	6	9	9	9	9	
Lice	ensed Professionals	110	101	106	84	95	93	93	93	93	

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Interpreters was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interpreters in the State of Missouri.

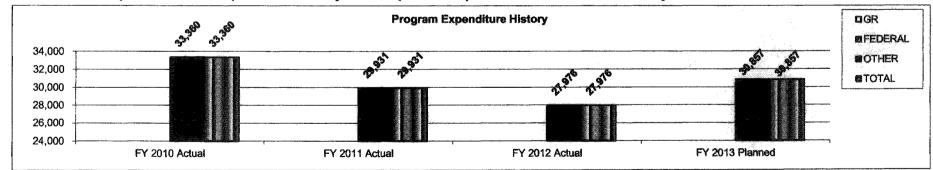
- 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)
  State Statute: Chapters 209.319-209.339 RSMo.
- 3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Committee of Interpreters Fund (0256)

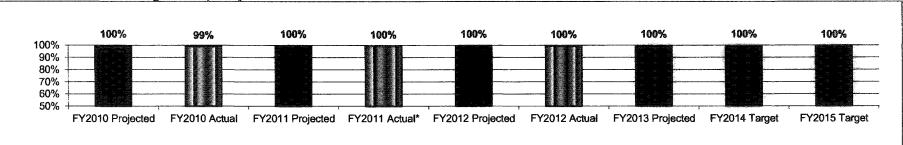
# Department of Insurance, Financial Institutions and Professional Registration

State Committee of Interpreters

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

a security of the security of	FY2	010	FY2	011	FY2	012	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	<u>Projected</u>	Target	Target	
Applications Received	75	88	85	88	90	74	70	70	70	
Licensed Professionals	600	658	700	691	736	711	734	754	774	

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

State Committee for Marital & Family Therapists

Program is found in the following core budget(s): Professional Registration Administration

# 1. What does this program do?

The State Committee for Marital and Family Therapists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating marital and family therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.700-337.750 RSMo.

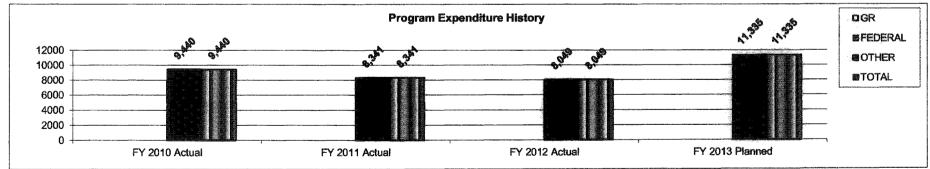
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Marital and Family Therapists Fund (0820)

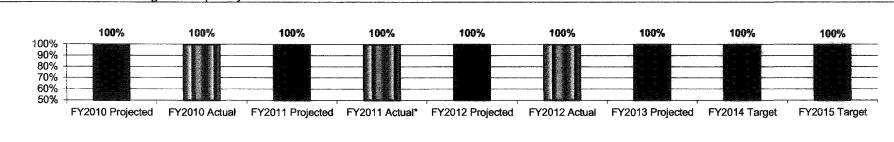
## Department of Insurance, Financial Institutions and Professional Registration

State Committee for Marital & Family Therapists

Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

# 7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	011	FY20	12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	10	30	13	34	10	41	33	33	33
Licensed Professionals	192	192	200	208	200	223	200	200	200

# 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

**Board of Occupational Therapy** 

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Occupational Therapy was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating occupational therapists and occupational therapy assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.050-324.089 RSMo.

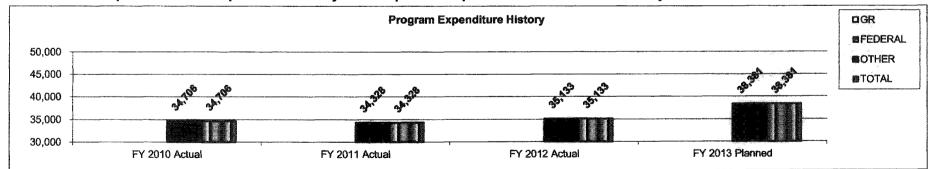
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Board of Occupational Therapy Fund (0845)

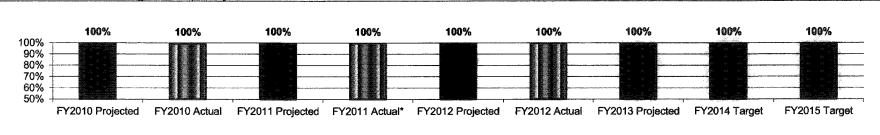
# Department of Insurance, Financial Institutions and Professional Registration

**Board of Occupational Therapy** 

## Program is found in the following core budget(s): Professional Registration Administration

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

poscopposo		FY20	FY2010 FY20		011	FY2012		FY2013 FY2014	FY2014	FY2015
CONCORPOR		Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
cognoping	Applications Received	392	427	405	529	420	531	531	531	531
Mascaniez	Licensed Professionals	3,450	3,960	4,000	4,316	3,850	4,344	4,500	4,500	4,700

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

	FY 20	013 PLANNED	
	Optometry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	41,110	57,098	98,208
TOTAL	41,110	57,098	98,208

# 1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

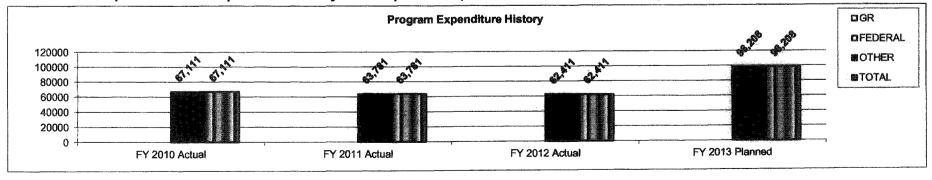
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



# Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

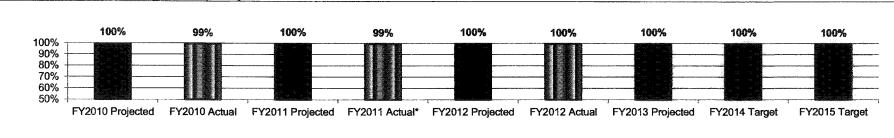
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

## 6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY20	10	FY20	)11	FY20	12	FY2013	FY2014	FY2015	
	<b>Projected</b>	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	51	80	50	57	45	72	70	70	70	
Licensed Professionals	1,271	1,333	1,310	1,282	1,290	1,336	1,350	1,350	1,350	

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	FY 2013 PLANNED										
	Podiatry	PR Admin	TOTAL								
GR	0	O	0								
FEDERAL	0	0	0								
OTHER	20,069	24,189	44,258								
TOTAL	20,069	24,189	44,258								

## 1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

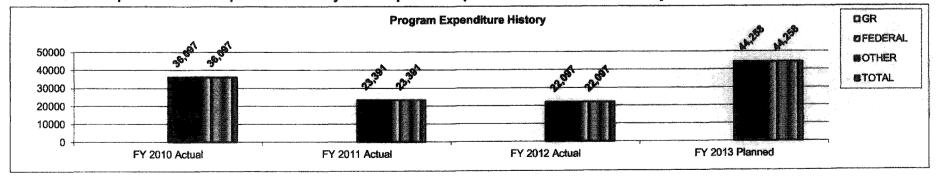
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

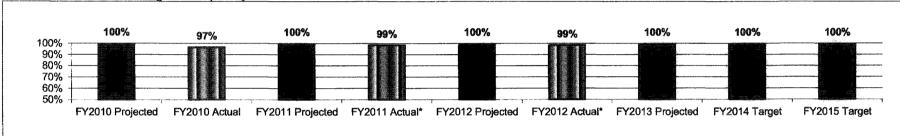
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

## 6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

\*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2		FY2	011	FY2	012	FY2013	FY2014	FY2015
economics.	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	21	28	21	31	31	34	31	31	31
Licensed Professionals	338	321	305	340	345	337	332	332	332

## 7d. Provide a customer satisfaction measure, if available.

# Department of Insurance, Financial Institutions and Professional Registration

Board of Private Investigator and Private Fire Investigator Examiners

Program is found in the following core budget(s): Professional Registration Administration

# 1. What does this program do?

The Board of Private Investigator and Private Fire Investigator Examiners was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating private investigators, private investigator businesses, private fire investigators, and private fire investigator businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.1100-324.1148 RSMo.

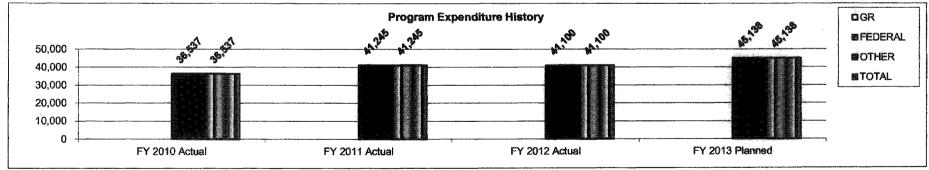
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Private Investigator and Private Fire Investigator Examiners Fund (0802)

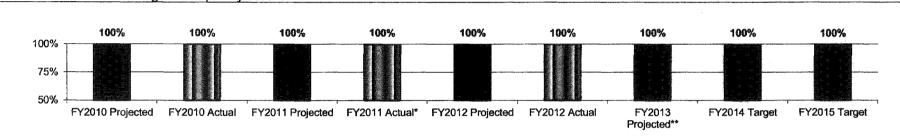
## Department of Insurance, Financial Institutions and Professional Registration

Board of Private Investigator and Private Fire Investigator Examiners

Program is found in the following core budget(s): Professional Registration Administration

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY20	10	FY20	)11	FY20	12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	400	478	305	329	205	230	200	200	200
Licensed Professionals	400	308	630	714	880	709	790	800	900

Note: HB 464 (2011) combined the Board of Private Fire Investigator Examiners with the Board of Private Investigator Examiners to form the Board of Private Investigator and Private Fire Investigator Examiners.

### 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

<sup>\*\*</sup>Licensure for private fire investigators is anticipated to begin in FY2013.

# Department of Insurance, Financial Institutions and Professional Registration

Committee for Professional Counselors

Program is found in the following core budget(s): Professional Registration Administration

## 1. What does this program do?

The Committee for Professional Counselors was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating professional counselors in the State of Missouri.

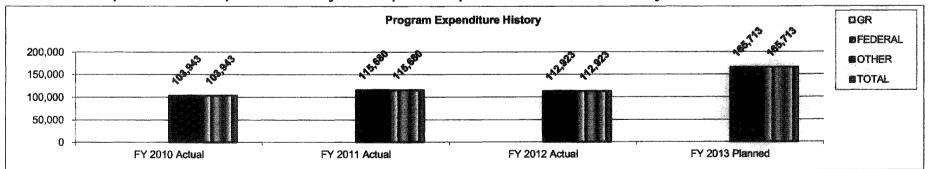
- 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)
  State Statute: Chapters 337.050-337.540 RSMo.
- 3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Committee for Professional Counselors Fund (0672)

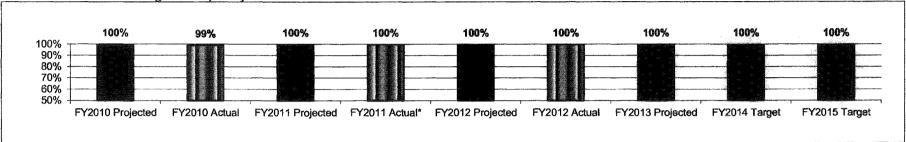
# Department of Insurance, Financial Institutions and Professional Registration

**Committee for Professional Counselors** 

Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

W. A.	FY2	010	FY2	2011	FY2	012	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	700	628	250	697	500	745	625	625	625
Licensed Professionals	3,480	4,568	3,300	4,918	3,850	4,999	4,000	4,000	4,000

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# Department of Insurance, Financial Institutions and Professional Registration

State Committee of Psychologists

Program is found in the following core budget(s): Professional Registration Administration

# 1. What does this program do?

The State Committee of Psychologists and the Behavioral Analyst Advisory Board were established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating psychologists and behavioral analysts in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.010-337.093 and 337.300-337.345 RSMo.

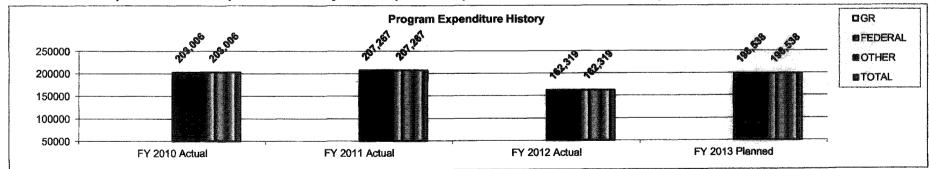
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Committee of Psychologists' Fund (0580)

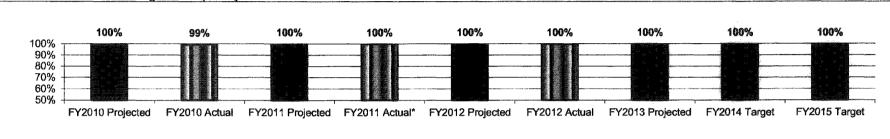
## Department of Insurance, Financial Institutions and Professional Registration

State Committee of Psychologists

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY20	010	FY2	2011	FY20	)12	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual*	Projected	Actual_	Projected	Target	Target	***
Applications Received	94	98	90	203	230	162	150	150	150	
Licensed Professionals	1,780	2,033	2,000	2,218	2,075	2,263	2,250	2,365	2,600	

<sup>\*</sup>The Behavioral Analyst Advisory Board began licensure in FY 2011 which created an initial spike in applications.

### 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

### Department of Insurance, Financial Institutions and Professional Registration

Real Estate Appraisers Commission

Program is found in the following core budget(s): Professional Registration Administration

#### 1. What does this program do?

The Missouri Real Estate Appraisers Commission was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate appraisers and appraisal management companies in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 339.500-339.549 RSMo.

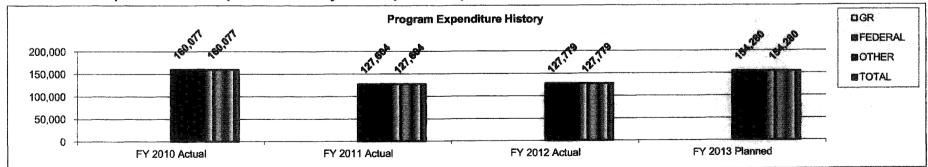
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Real Estate Appraisers Fund (0561)

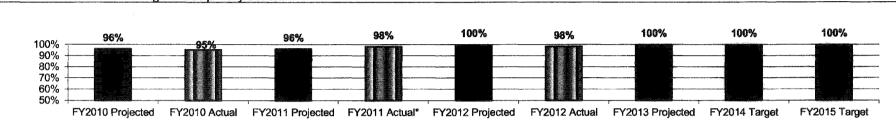
### Department of Insurance, Financial Institutions and Professional Registration

Real Estate Appraisers Commission

Program is found in the following core budget(s): Professional Registration Administration

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

	FY20	010	FY2	FY2011		FY2012		FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	286	246	190	307	270	326	300	300	300	
Licensed Professionals	2,800	2,746	2,800	2,564	2,400	2,630	2,400	2,300	2,300	

### 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

**Board for Respiratory Care** 

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The Missouri Board for Respiratory Care was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating respiratory therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 334.800-334.930 RSMo.

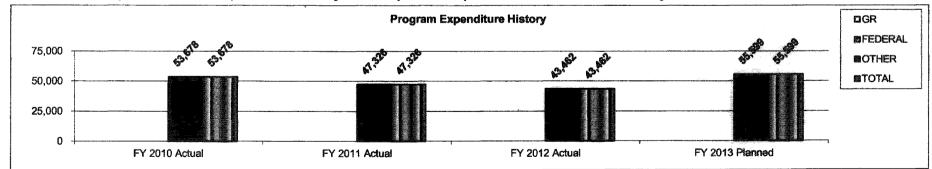
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Respiratory Care Practitioners Fund (0833)

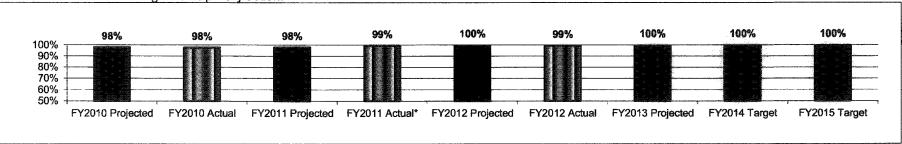
### Department of Insurance, Financial Institutions and Professional Registration

**Board for Respiratory Care** 

## Program is found in the following core budget(s): Professional Registration Administration

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	011	FY20	012	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	-
Applications Received	403	382	387	402	400	396	400	400	400	
Licensed Professionals	3,650	4,365	4,600	4,231	4,000	4,548	4,650	4,650	4,800	

### 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

State Committee for Social Workers

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee for Social Workers was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating social workers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.600-337.689 RSMo.

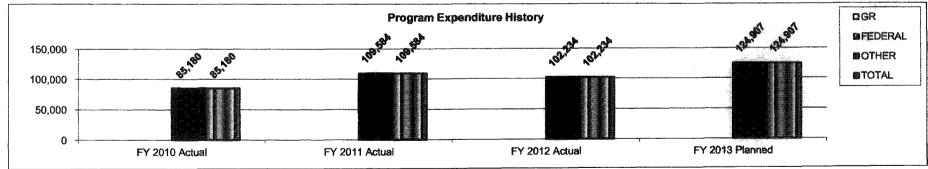
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Clinical Social Workers Fund (0574)

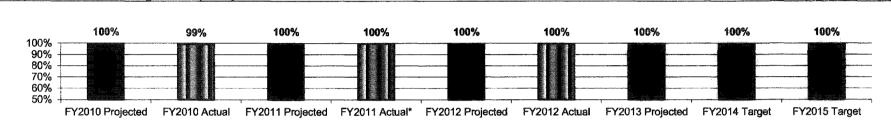
## Department of Insurance, Financial Institutions and Professional Registration

State Committee for Social Workers

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

		FY20	010	FY2	011	FY20	)12	FY2013	FY2014	FY2015	
		Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	zia.
Ap	plications Received	450	521	685	700	700	849	850	850	860	
Lic	censed Professionals	5,476	5,293	5,435	5,761	5,351	6,523	6,300	6,300	6,350	

### 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

Office of Tattoo, Body Piercing and Branding

Program is found in the following core budget(s): Professional Registration Administration

## 1. What does this program do?

The Office of Tattoo, Body Piercing and Branding was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating tattoo artists, body piercers, branding, and tattoo, body piercing and branding businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.520-324.524 RSMo.

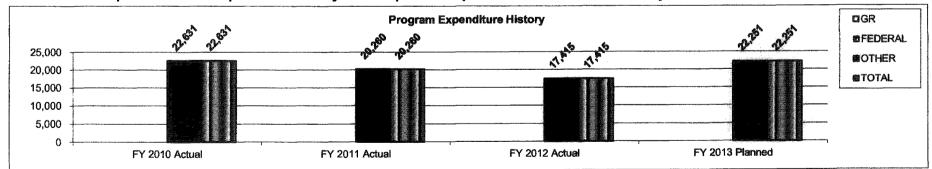
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Tattoo Fund (0883)

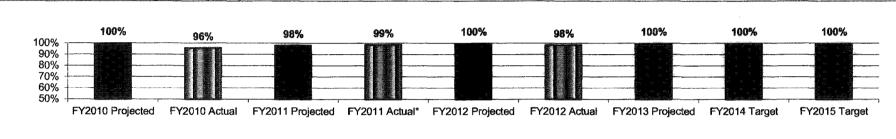
## Department of Insurance, Financial Institutions and Professional Registration

Office of Tattoo, Body Piercing and Branding

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	FY2011		012	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	320	300	318	233	240	248	250	250	250
Licensed Professionals	1,600	1,527	1,825	1,766	1,500	1,488	1,700	1,700	1,700

### 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## Department of Insurance, Financial Institutions and Professional Registration

**Board of Therapeutic Massage** 

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Therapeutic Massage was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating massage therapists and massage therapy businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 436.218-436.272 RSMo.

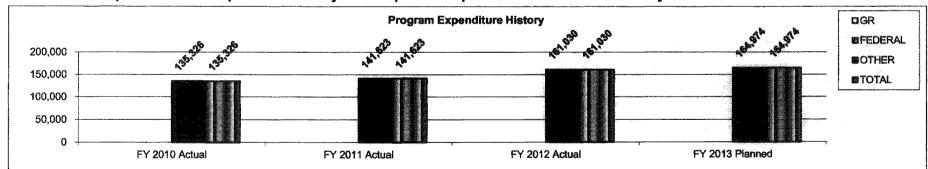
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Massage Therapy Fund (0884)

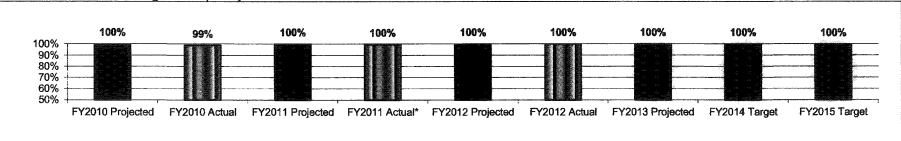
## Department of Insurance, Financial Institutions and Professional Registration

Board of Therapeutic Massage

## Program is found in the following core budget(s): Professional Registration Administration

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

\*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

egopological de la companya de la co	FY2	010	FY2	2011	FY2	012	FY2013	FY2014	FY2015	
N. C.	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	1,425	1,841	1,425	1,739	1,800	1,662	1,250	1,250	1,250	
Licensed Professionals	5,700	6,930	6,500	6,014	6,200	6,875	5,400	5,400	5,400	

### 7d. Provide a customer satisfaction measure, if available.

Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

	FY 2013 PLANNED											
	Veterinary	PR Admin	TOTAL									
GR	0	0	0									
FEDERAL	0	0	0									
OTHER	118,079	90,960	209,039									
TOTAL	118,079	90,960	209,039									

## 1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 340.200-340.350 RSMo.

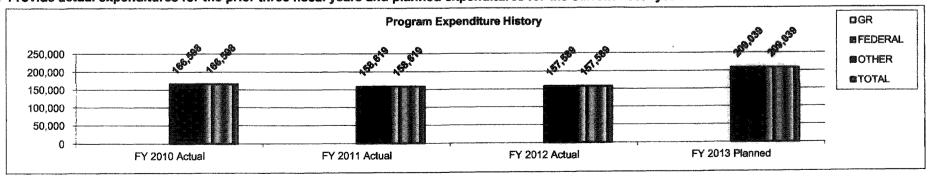
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

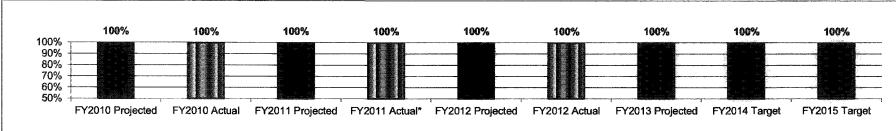
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

## 6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY20	)10	FY2	011	FY20	)12	FY2013	FY2014	FY2015	
	_Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	390	434	400	485	448	464	433	433	433	
Licensed Professionals	4,624	4,681	4,722	4,765	4,795	4,891	4,911	4,911	4,911	

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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Division of Pro	essional Registration	)N								
Real Estate Ap	oraisers Compliance		D	<b>#</b> 1375007						
1. AMOUNT OF	REQUEST									zarinnijaš di color-macioni internationali internat
	FY 2	014 Budget	Request				FY 2014	Governor's	Recommend	ation
	GR	Federal	Other	Total			GR	Federal	Other	Total
PS	0	0	55,000	55,000	•	PS _	0	Ö	0	0
Eric Sens	0	0	0	0			0	0	0	0
PSD	0	0	0	0		PSD	0	0	0	0
TRF	0	0	0	0		TRF	0	0	0	0
Total	0	0	55,000	55,000	· !	Total =	0	0	0	0
pro San pro San hore	0.00	0.00	1.00	1.00		FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	28,276	28,276		Est. Fringe	0	0	0	0
	udgeted in House Bill					Note: Fringes l	-			- 1
oudgeted directl	y to MoDOT, Highway	Patrol, and	Conservation	e		budgeted direct	tly to MoDOT, i	Highway Pa	trol, and Cons	ervation.
Other Funds:	Professional Registr	ation Fees F	und (0689)			Other Funds:				
2. THIS REQUE	ST CAN BE CATEG	ORIZED AS:								
	New Legislation				New Progran	1		F	Fund Switch	
	Federal Mandate		***************************************	X	Program Exp		***************************************	(	Cost to Contin	ue
	GR Pick-Up		6000000		Space Reque		annaments.	E	Equipment Re	placement
	Pay Plan		CANAL SA	***************************************	Other:		www.	//	-	

	RANK: 9	OF_	11		
Department of Insurance, Financial Institutions and Pro Division of Professional Registration	ofessional Registration	Budget Unit	42640C		
Real Estate Appraisers Compliance	DI# 1375007				
3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXP CONSTITUTIONAL AUTHORIZATION FOR THIS PROGR		CHECKED IN #2.	INCLUDE THE FED	ERAL OR STATE STATUTORY	OR
The Division of Professional Registration is requesting 1 F Appraisers Commission.	TE and personal service for	or a legal counsel	position that will be d	edicated to the Missouri Real Est	ate
The Appraisal Subcommittee of the Federal Financial Institutions Reform, Recovery and Enforcement Act of 198 transactions in Title XI.					
In the past the Missouri Real Estate Appraisers Commission that "States should resolve all complaints filed against apparent of 1118 (a), 12 U.S.C. 3347; ASC Policy Statement 1	raisers within one year, ex				
The department and the Missouri Real Estate Appraisers 0 believe an in-house counsel dedicated to Missouri Real Estate would be responsible for litigation and general counsel dut Estate Appraisers Commission being compliant with the Appraisers Commission being compliant with the Appraisers	state Appraisers Commissi ies primarily for the Misso	ion representation un Real Estate Ap	will facilitate meeting praisers Commission	those requirements. This new p	osition
4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO of FTE were appropriate? From what source or standa automation considered? If based on new legislation, d times and how those amounts were calculated.)	rd did you derive the rec	uested levels of	funding? Were alte	rnatives such as outsourcing o	OF .
The Appraisal Subcommittee has the authority to withdraw related transactions", which is defined broadly and would a Subcommittee assessed the Missouri Real Estate Appraisa Subcommittee representative has indicated that due to Mi earlier potential decertification. Missouri Real Estate Approximation within the one year deadline. Therefore, the department is	encompass nearly all Miss ers Commission's complia issouri's noncompliance w aisers Commission may t	ouri real estate loa ince, and will issue ith the one year do be able to avoid de	in transactions. In A e a preliminary report eadline, they may acc	ugust 2012 representatives of the around the end of 2012. The Ap selerate the evaluation periods les	Appraisal praisal ading to

RANK:	9	OF	11
***************************************	AND THE PROPERTY OF THE PROPER		eropeanthin make and the second

Department of Insurance, Financial Institution of Professional Registration	itions and Profe	ssional Reg	istration	Budget Unit	42640C				
Real Estate Appraisers Compliance		DI# 1375007	, ,						
5. BREAK DOWN THE REQUEST BY BUD					CE. IDENTIF				
	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS
100/009734/Legal Counsel					55,000	1.0	55,000	1.0	
							0	0.0	
Total PS	0	0.0	0	0.0	55,000	1.0	55,000	1.0	
	emmonthornabeled adducted a majorith of the second						0		
Total EE	0		0		0		U		•
Program Distributions							0		
Total PSD	0		0		0	•	0		
Transfers	600 SVM Mc200000000000000000000000000000000000						\$2400, p. 1000		<u> </u>
Total TRF	0		0		0		0		•
Grand Total	0	0.0	0	0.0	55,000	1.0	55,000	1.0	

RANK: 9 OF 11

Department of Insurance, Financial Instit	utions and Profe	ssional Reg	stration	Budget Unit	42640C				
Division of Professional Registration		······································							
Real Estate Appraisers Compliance		D# 1375007							
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
			Co Torres		0	0.0		0.0 0.0	
Total PS	0	0.0	O	0.0	0	0.0	0	0.0	
							0 0 0		
Total EE	0			<u> </u>	0		0		mpatakanja pagata akida era kitaka parabania ara manadaka at
Program Distributions  Total PSD	0			<del>,</del>	0		0		### Transport School Sc
Transfers Total TRF	0		(	<del>,</del>	0		0		
Grand Total	0	0.0	(	0.0	0	0.0	0	0.0	

			RANK:	9	- Symioto	OF_	11	
	of Insurance, Financ Professional Registra	ial Institutions and Pro	ofessional Regist	ration	Budget	Unit 4	12640C	
Real Estate	Appraisers Complian	Ce	DI# 1375007					
6. PERFORI	MANCE MEASURES	If new decision item h	as an associated	core, s	eparately id	dentify	projecte	d performance with & without additional funding.)
6a.	Provide an effe	ctiveness measure.					6b.	Provide an efficiency measure.
PROPERTY CONTRACTOR OF THE PROPERTY CONTRACTOR O	Referrals Not Mee Requirement (as o	-						·
	Number of Yrs.	Number of Cases						Missouri Real Estate Appraisers Commission will monitor the number of disciplinary cases filed and
Desc.	Over 4 Years	8						the length of time to resolve the case to ensure
Teres	Over 3 Years	9						compliance with the Appraisal Subcommittee.
***	Over 2 Years	7						
	Over 1 Year	7						
Andreas control for the contro		ases Not In Compliance mittee One Year Requir						
6c.	Provide the nur	mber of clients/indivi	iduals served, i	f applic	cable.		6d.	Provide a customer satisfaction measure, if available.
Ziona manda il Diploto (No. 1940).	Not yet available.							Not Yet Available.
7. STRATEG	SIES TO ACHIEVE TH	E PERFORMANCE ME	ASUREMENT TA	RGETS	=			
This new pos	sition would be respon Appraisers Commissio	sible for litigation and ge	eneral counsel dut	ties for N	/lissouri Rea	I Estat minatio	e Apprais on Council	ers Commission . This should result in the Missouri Appraisal Subcommittee's 1-year requirement as

DIFP							ECISION ITI	EM DETAIL	
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	******	
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Budget Object Class	DOLLAR	FTE	FTE DOLLAR FTE		FTE DOLLAR		COLUMN	COLUMN	
PR ADMINISTRATION		<u> </u>							
Real Estate Appraisers - 1375007									
LEGAL COUNSEL	0	0.00	0	0.00	55,000	1.00	0	0.00	
TOTAL - PS	0	0.00	0	0.00	55,000	1.00	0	0.00	
GRAND TOTAL	\$0	0.00	\$0	0.00	\$55,000	1.00	\$0	0.00	
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00	
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00	
OTHER FUNDS	\$0	0.00	\$0	0.00	\$55,000	1.00		0.00	

# **DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*********
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR LICENSURE REPLACEMENT SYSTM								
PR Licensure System Replacemnt - 1375006								
EXPENSE & EQUIPMENT								
HEARING INSTRUMENT SPECIALIST	(	0.00		0.0	0 600	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	(	0.00		0.0	0 1,600	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	(	0.00		0.0	2,000	0.00	0	0.00
MO RE APPRS AND APPRMGMT COMPS	(	0.00		0.0	6,300	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	(	0.00		0.0		0.00	0	0.00
LICENSED SOCIAL WORKERS	(	0.00		0.0	0 12,800	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	(	0.00		0.0	5,000	0.00	0	0.00
BOARD OF ACCOUNTANCY	(	0.00		0.0	0 47,700	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	(	0.00		0.0	0 800	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	(	0.00		0.0	5,100	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	(	0.00		0.0	13,700	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	(	0.00		0.0	91,100	0.00	0	0.00
BOARD OF NURSING	(	0.00		0.0	286,000	0.00	0	0.00
BOARD OF OPTOMETRY	(	0.00		0.0	0 3,100	0.00	0	0.00
BOARD OF PHARMACY	(	0.00		0.0	72,200	0.00	0	0.00
MO REAL ESTATE COMMISSION	(	0.00		0.0	110,400	0.00	0	0.00
VETERINARY MEDICAL BOARD	(	0.00		0.0	0 11,100	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	(	0.00		0.0	0 11,000	0.00	0	0.00
DENTAL BOARD FUND	(	0.00		0.0	18,700	0.00	0	0.00
BRD OF ARCH, ENG, LND SUR, LND AR	(	0.00		0.0	62,000	0.00	0	0.00
ATHLETIC FUND	(	0.00		0.0	0 4,200	0.00	0	0.00
ATHLETIC AGENT	(	0.00		0.0	0 200	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	(	0.00		0.0	190,300	0.00	0	0.00
BOARD OF PI&PI FIRE EXAMINERS	(	0.00		0.0	0 800	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	(	0.00		0.0	500	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS		0.00		0.0	0 10,000	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	(	0.00		0.0	9,600	0.00	0	0.00
DIETITIAN	(	0.00		0.0	3,900	0.00	0	0.00
INTERIOR DESIGNER COUNCIL	(	0.00		0.0		0.00	0	0.00
ACUPUNCTURIST	(	0.00		0.0	0 200	0.00	0	0.00
TATTOO	(	0.00		0.0	0 3,700	0.00	0	0.00

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DIFP								DEC	ISION IT	EM S	<u>SUMMARY</u>
Budget Unit											
Decision Item	FY 2012	FY 201	12	FY 2013		FY 2013	FY 2014	FY 2014	*******	•	*****
Budget Object Summary	ACTUAL	ACTU/	AT.	BUDGET		BUDGET	DEPT REQ	DEPT REQ	SECURED	1.	SECURED
Fund	DOLLAR	FTE		DOLLAR		FTE	DOLLAR	FTE	COLUMN		COLUMN
PR LICENSURE REPLACEMENT SYSTM											
PR Licensure System Replacemnt - 1375006											
EXPENSE & EQUIPMENT											
MASSAGE THERAPY		0	0.00		0	0.00	14,900	0.00	*	0	0.00
TOTAL - EE		0	0.00		0	0.00	1,000,000	0.00		0	0.00
TOTAL	4373-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-	0	0.00	Craesia transferinsish Managarana	0	0.00	1,000,000	0.00		0	0.00
GRAND TOTAL		\$0	0.00		\$0	0.00	\$1,000,000	0.00	· · · · · · · · · · · · · · · · · · ·	\$0	0.00

OF 11

RANK: \_\_\_\_10\_\_\_

Department of	Insurance, Financ	ial Institution	s and Profe	ssional Rec	istration Budget Unit	42645C		idiayyaanay qaaray ay qaaraa ahaa issaa	
	fessional Registra					All Abrilders and All All All All All All All All All Al			
COMPANY OF THE PROPERTY OF THE	egistration Licens	THE RESIDENCE OF THE PERSON OF	Replacement		DI# 1375006				
1. AMOUNT O	FREQUEST		and the second s				kartuurinen et taassa ja järjäysäjään musin dara maksidan et kulipud		
		2014 Budge	t Request			FY 2014	f Governor's	Recommend	ation
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	0	0	- PS	0	0	0	0
South Steps	0	0	1,000,000	1,000,000	Error Error Error Error	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	1,000,000	1,000,000	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0 ]	0	0	Est. Fringe	0	0	. 0	0
Note: Fringes b	oudgeted in House E	3ill 5 except fo	r certain fring	ges	Note: Fringes	•		•	
budgeted direct	ly to MoDOT, Highw	vay Patrol, and	d Conservatio	on.	budgeted dire	ctly to MoDOT	, Highway Pa	trol, and Cons	ervation.
Other Funds:	Various Profession	nal Registratio	on Board Fun	ds	Other Funds:				
2. THIS REQUE	ST CAN BE CATE	GORIZED AS	•						
	New Legislation				New Program		F	Fund Switch	
	Federal Mandate		•		Program Expansion		(	Cost to Contin	ue
	GR Pick-Up		•	· · · · · · · · · · · · · · · · · · ·	Space Request		E	Equipment Re	placement
	Pay Plan		•	Х	Other: System Repla	cement			
	-		•		Management of the spirit of th		to have a second and a second a		

RANK: 10 OF 11
Department of Insurance, Financial Institutions and Professional Registration  Division of Professional Registration  Professional Registration Licensure System Replacement  DI# 1375006
Professional Registration Licensure System Replacement Dl# 1375006
3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.
The existing PR licensure system (PROMO) is now approximately 12 years old. The age of the system, the lack of support for the system, the lack of customization options and the substantial timeframes required for adding new and necessary functionality has proven to be a hindrance to the implementation of required business requirements for the Division of Professional Registration.
The goal of this new decision item is to replace the existing licensure system (PROMO) with a purchased software package that allows for customization while allowing for the implementation of new features to meet the business requirements of the Division of Professional Registration.
The purpose of the new licensure system is to allow for greater efficiencies for the Missouri Division of Professional Registration, including all the respective boards, in providing licensing and regulatory functions while enabling all interested parties to use current technologies with self-service functionality for improved services.
4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one times and how those amounts were calculated.)
The high level cost estimate for the project is \$1,900,000 over a two year period. Funding for this project will be provided solely by the Missouri Division of Professional Registration. This is based upon an estimate provided by ITSD when reviewing business requirements including but not limited to:
<ul> <li>The replacement licensure system will primarily be a purchased existing product that may or may not require additional customization in order to meet the business requirements for the Missouri Division of Professional Registration.</li> </ul>
<ul> <li>The replacement licensure system will perform at least at the same level as the existing licensure system with anticipated enhancements using new technologies available that are not available with the existing license system.</li> <li>All existing system interactions will be maintained with minimal interruption and migrated into the new licensure system.</li> </ul>

RANK: 10 OF 11

Department of Insurance, Financial Institution	ns and Profe	ssional Reg	istration	Budget Unit	42645C				
Division of Professional Registration									
Professional Registration Licensure System	Replacement		DI# 1375006						
5. BREAK DOWN THE REQUEST BY BUDGE	T OBJECT C	LASS JOB	CLASS AND	FUND SOUR	CE. IDENTIF	Y ONE-TIME	COSTS	MONOTOR, coper para primir describente de la coperciona de la compansión de la coperciona del coperciona de la coperciona de la coperciona del coperciona del coperciona de la coperciona del coperc	
o. Ditentibolin the negotor of boods									german de la company de la
	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req	Dept Req
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS
	ennound to be compared to the company of the compan						0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
400/Professional Services					1,000,000		1,000,000		
400/Ff0fessional Services					1,000,000		1,000,000		
Total EE	0		0		1,000,000		1,000,000	,	Λ
TOTAL	· ·		Ū		1,000,000		1,000,000		ŭ
							0		
Total PSD	0		0		0	•	0		0
	_								
Total TRF	0		0		0	•	0		0
	Walled Commencer of the Company of t								and the second s
Grand Total	0	0.0	0	0.0	1,000,000	0.0	1,000,000	0.0	0
	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS_	<b>FTE</b> 0.0	DOLLARS
Total DC	0	0.0	0	0.0	0	0.0	0	0.0 <b>0.0</b>	Λ
Total PS	U	0.0	U	<b>U.</b> U	U	0.0	U	0.0	Ū
					0		0		
Total EE	a		O		Ō	,	0		0
	·		Ū		•				
							0		
Total PSD	0		0		0		0		0
Total TRF	0		0		0		0		0
	Marcon Van Derson Van		December (1988)	380vvvvvvvvvvvvvvvvvvvvvvvvvvvvvvvvvvvv			cyrrosogramma.		
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

	RANK:	10	_ 0	F 11	
Department of	f Insurance, Financial Institutions and Professional Registr	ation	Budget Uni	42645C	
Division of Pro	ofessional Registration		···		
Professional f	Registration Licensure System Replacement DIF	<u># 13750</u>	006		
6. PERFORM	ANCE MEASURES (If new decision item has an associated	core, s	eparately iden	ify projecte	d performance with & without additional funding.)
6a.	Provide an effectiveness measure. (cont.)			6b.	Provide an efficiency measure. (cont.)
	Not yet available.				Not yet available.
6c.	Provide the number of clients/individuals served, if	F		6d.	Provide a customer satisfaction measure, if
	applicable.				available.
	Not yet available.				Not yet available.
7. STRATEGI	ES TO ACHIEVE THE PERFORMANCE MEASUREMENT TAI	RGETS		CONTRACTOR OF THE PROPERTY OF	
<ul> <li>Purchase</li> <li>Implemen</li> <li>Convert a</li> <li>Maintain e</li> <li>Modify ex</li> </ul>	icensure system within an estimated 18 month implementation is software. It existing defined business requirements within the purchased and migrate existing board specific data from the existing system existing licensure system (PROMO) while implementing new system data sharing and web applications to utilize both the exist ly implemented.	softwaren to the stem.	e. new system.	system and t	he new licensure system until the new

DIFP							DECISION ITI	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR LICENSURE REPLACEMENT SYSTM								
PR Licensure System Replacemnt - 1375006								
PROFESSIONAL SERVICES	C	0.00	0	0.00	1,000,000	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	1,000,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$1,000,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$1,000,000	0.00		0.00

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# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$436,679	8.04	<b>\$4</b> 60,905	7.00	\$563,139	8.00	\$0	0.00
TOTAL	0	0.00	0	0.00	102,060	1.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	61,800	0.00	0	0.00
EXPENSE & EQUIPMENT BOARD OF ACCOUNTANCY	0	0.00	0	0.00	61,800	0.00	0	
TOTAL - PS	0	0.00	0	0.00	40,260	1.00	0	0.00
PERSONAL SERVICES BOARD OF ACCOUNTANCY	0	0.00	0	0.00	40,260	1.00	0	0.00
Compliance and Training - 1375005								
TOTAL	0	0.00	0	0.00	174	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	174	0.00	0	0.00
Pay Plan FY13-Cost to Continue - 0000013 PERSONAL SERVICES BOARD OF ACCOUNTANCY	0	0.00	0	0.00	174	0.00	0	0.00
TOTAL	436,679	8.04	460,905	7.00	460,905	7.00	0	0.00
TOTAL - EE	162,617	0.00	177,972	0.00	177,972	0.00	0	0.00
EXPENSE & EQUIPMENT BOARD OF ACCOUNTANCY	162,617	0.00	177,972	0.00	177,972	0.00	0	0.00
TOTAL - PS	274,062	8.04	282,933	7.00	282,933	7.00	0	0.00
PERSONAL SERVICES BOARD OF ACCOUNTANCY	274,062	8.04	282,933	7.00	282,933	7.00	0	0.00
STATE BOARD OF ACCOUNTANCY								
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Unit Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*****

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## **CORE DECISION ITEM**

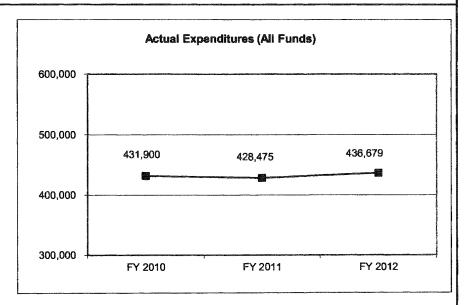
Core Description   Core   Co
EE         0         0         177,972         177,972         EE         0         0         0           PSD         0         0         0         0         0         0         0         0         0           Total         0         0         460,905         460,905         Total         0         0         0           FTE         0.00         0.00         7.00         FTE         0.00         0.00         0.00           Est. Fringe         0         0         145,456         145,456         145,456         Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.         Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.         Other Funds:
PSD
TRF
Total 0 0 460,905 460,905  FTE 0.00 0.00 7.00 7.00  FTE 0.00 0.00 0.00  Est. Fringe 0 0 0 145,456 145,456 Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: State Board of Accountancy Fund (0627)  Total 0 0 0  Est. Fringe 0 0 0 0  Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: Other Funds:
FTE 0.00 0.00 7.00 FTE 0.00 0.00 0.00  Est. Fringe 0 0 145,456 145,456 Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: State Board of Accountancy Fund (0627)  FTE 0.00 0.00 0.00  Est. Fringe 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
Est. Fringe 0 0 145,456 145,456 Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: State Board of Accountancy Fund (0627)  Est. Fringe 0 0 0 0 Note: Fringes budgeted in House Bill 5 except for certain fringe budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: Other Funds:
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: State Board of Accountancy Fund (0627)  Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: Other Funds:
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds: State Board of Accountancy Fund (0627)  Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation  Other Funds:
Dudgeted directly to MoDOT, Highway Patrol, and Conservation.  Dither Funds: State Board of Accountancy Fund (0627)  Discreption budgeted directly to MoDOT, Highway Patrol, and Conservation.  Other Funds:
Other Funds: State Board of Accountancy Fund (0627) Other Funds:
2. CORE DESCRIPTION
The Missouri State Board of Accountancy was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The Board is a state a
members are appointed by the Governor and confirmed by the Senate. The Board is a fee funded agency, supported entirely by CPA candidates' as
The Public Accountancy Act is the set of statutes that govern the ability of the Board to regulate certified public accountants, public accountants, limit
companies, partnerships, and professional corporations in Missouri.

### **CORE DECISION ITEM**

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42650C
Professional Registration		
Core - State Board of Accountancy		

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	459,600	459,600	459,600	460,905
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	459,600	459,600	459,600	N/A
Actual Expenditures (All Funds)	431,900	428,475	436,679	N/A
Unexpended (All Funds)	27,700	31,125	22,921	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	27,700	31,125	22,921	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

## **CORE RECONCILIATION DETAIL**

## DIFP

STATE BOARD OF ACCOUNTANCY

# 5. CORE RECONCILIATION DETAIL

	Budget							
	Class	FTE	GR	Federal		Other	Total	***
TAFP AFTER VETOES								
	PS	7.00		0 (	0	282,933	282,933	
	EE	0.00		0 (	0	177,972	177,972	
	Total	7.00		0	0	460,905	460,905	- ) =
DEPARTMENT CORE REQUEST								
	PS	7.00		0 (	0	282,933	282,933	,
	EE	0.00		0 (	0	177,972	177,972	•
	Total	7.00		0	0	460,905	460,905	- }
GOVERNOR'S RECOMMENDED	CORE							
	PS	7.00		0 (	0	282,933	282,933	}
	EE	0.00		0	0	177,972	177,972	2
	Total	7.00	orden production and the second	0	0	460,905	460,90	•

n	l	***	P
Bend	R		8

#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 **Decision Item** ACTUAL ACTUAL BUDGET BUDGET **DEPT REQ DEPT REQ** SECURED **SECURED Budget Object Class DOLLAR** FTE **DOLLAR** FTE DOLLAR FTE COLUMN COLUMN STATE BOARD OF ACCOUNTANCY CORE ADMIN OFFICE SUPPORT ASSISTANT 14,762 0.54 28.927 1.00 0 0.00 0 0.00 SR OFC SUPPORT ASST (KEYBRD) 12,737 0.46 n 0.00 28.927 1.00 Ð 0.00 ACCOUNT CLERK II 0 13.312 25.863 1.00 0.00 0.00 0.54 SENIOR AUDITOR 45.060 47.088 48.669 0.00 1.00 1.00 1.00 **EXECUTIVE I** 17,180 0.54 33,435 1.00 n 0.00 0 0.00 PROFIREG LICITECH I 25,200 1.08 48,563 2.00 0.00 0 0.00 PROCESSING TECHNICIAN I 10,369 0.45 0 0.00 24.282 1.00 0.00 PROCESSING TECHNICIAN II 0.92 0 48,563 2.00 O 0.00 23.265 0.00 PROCESSING TECHNICIAN SUPV 0 12,772 0.00 33,435 1.00 0.00 0.40 BOARD MEMBER 6.930 6.747 6.747 0.00 0.00 0.38 0.00 CLERK 22,532 0.73 21,015 0.00 21,015 0.00 0 0.00 PRINCIPAL ASST BOARD/COMMISSON 0 69.943 1.00 71.295 1.00 71.295 1.00 0.00 TOTAL - PS 274.062 282,933 7.00 282,933 7.00 0 0.00 8.04 TRAVEL, IN-STATE 14.250 14.250 0.00 0 0.00 13.200 0.00 0.00 6,650 0 0.00 TRAVEL, OUT-OF-STATE 1.719 6,650 0.00 0.00 0.00 21,375 0.00 0 0.00 SUPPLIES 17,193 0.00 21,375 0.00 PROFESSIONAL DEVELOPMENT 8.886 0.00 8,550 0.00 8,550 0.00 0.00 5,000 0 0.00 COMMUNICATION SERV & SUPP 3.787 0.00 5.000 0.00 0.00 0.00 109,907 0.00 0 0.00 PROFESSIONAL SERVICES 113.756 0.00 109,907 M&R SERVICES 317 0.00 3,000 0.00 3,000 0.00 0 0.00 0.00 OFFICE EQUIPMENT 476 0.00 3,000 0.00 3.000 0.00 0 0 0.00 620 0.00 **BUILDING LEASE PAYMENTS** 531 0.00 620 0.00 0 0.00 620 0.00 620 0.00 **EQUIPMENT RENTALS & LEASES** 0 0.00 5,000 0.00 0 0.00 MISCELLANEOUS EXPENSES 5.000 0.00 2,752 0.00 177.972 0.00 0.00 TOTAL - EE 177,972 0.00 162,617 0.00 \$0 0.00 \$460,905 7.00 **GRAND TOTAL** \$436,679 8.04 \$460,905 7.00 0.00 **GENERAL REVENUE** \$0 0.00 \$0 0.00 \$0 0.00 \$0 0.000.00 **FEDERAL FUNDS** \$0 0.00 \$0 0.00 \$460,905 7.00 0.00 OTHER FUNDS \$436.679 8.04 \$460,905 7.00

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## Department of Insurance, Financial Institutions and Professional Registration

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

### 1. What does this program do?

The Board of Accountancy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 326.250-326.331 RSMo.

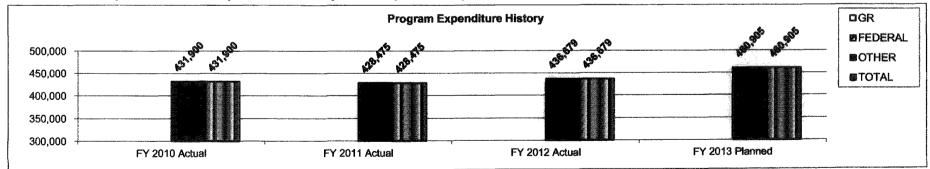
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

State Board of Accountancy Fund (0627)

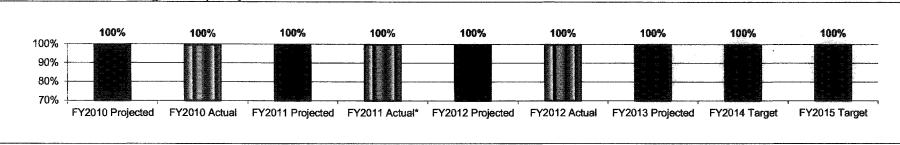
## Department of Insurance, Financial Institutions and Professional Registration

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	)10 FY2		FY2011 FY2		012	FY2013*	FY2014	FY2015
X Company	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	880	860	780	955	950	884	732	732	750
Licensed Professionals	20,100	20,187	20,400	20,450	20,450	20,812	20,850	20,850	20,800

<sup>\*</sup> Due to increases in cost of post graduate credit hours the number of applicants are expected to decrease beginning in FY 2013.

## 7d. Provide a customer satisfaction measure, if available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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Department of	Insurance, Fin	ancial	Institutions	and Profes	sional Reg	istration Budget Unit	42650C				
Division of Pro	ofessional Regi	stratio	n - State Bo	oard of Acco	untancy	affaith that the first section of the control of th					
Compliance ar	nd Training Enh	nancer	nents		H# 1375005						
1. AMOUNT O	F REQUEST								eri		<u></u>
11. 74310011110		EV 21	)14 Budget	Doguaci		TWACOTO SAN IN 1889 AND	EV 204	4 Governor's	Dagammand		
	GR		Federal	Other	Total		GR	Federal	Other	Total	
PS	50000000000000000000000000000000000000	0	0	40,260	40,260	PS	0	0	0	1201	
Marie Series		Ō	Ö	61,800	61,800	EE	0	ő	Ô	Ö	
PSD		0	Ō	0	0	PSD	Ō	0	Ō	0	
TRF		0	0	0	0	TRF	0	0	0	0	
Total		0	0	102,060	102,060	Total	0	0	0	0	
FTE	0	.00	0.00	1.00	1.00	FTE	0.00	0.00	0.00	0.00	
Est. Fringe		0	0	20,698	20,698	Est. Fringe	T 0	0	0	0	
Note: Fringes l	budgeted in Hou	se Bill	5 except for	certain fringe	S	Note: Fringe:	s budgeted in I	House Bill 5 ex	cept for certa	in fringes	
budgeted direct	tly to MoDOT, Hi	ighway	Patrol, and	Conservation	7.	budgeted dire	ectly to MoDOT	, Highway Pat	trol, and Cons	ervation.	
Other Funds:	State Board of	f Accou	untancy Fund	d (0627)		Other Funds:					
2. THIS REQUI	EST CAN BE CA	ATEGO	ORIZED AS:								
	New Legislatio	on				New Program		F	und Switch		
	Federal Manda	ate			Х	Program Expansion		**************************************	Cost to Continu		
	GR Pick-Up					Space Request			quipment Re	placement	
	_Pay Plan					Other:				and a philipide in committee of the comm	
3. WHY IS THE	S FUNDING NE	EDED	? PROVIDE	AN EXPLA	NATION FO	OR ITEMS CHECKED IN #2	. INCLUDE T	HE FEDERAL	OR STATE S	STATUTORY	OR
	NAL AUTHORIZ										
The Missouri	State Board of	Ассоні	ntancy is red	uestina 1 FT	F as author	ized by Chapters 326.286(6	S), 326,310(18)	and (19), RSI	Mo and 20 CS	SR 2010-	
	Auditor II position					. <b></b>	.,,	,			
. Thoro has h	soon on ingrass	s in wa	rkland dama	anda of the of	fina which h	ave been a result of many t	factors includin	a but not limit	ted to: increas	hes	
	ncreased audits,						iaciois micidum	.g, 561 1101 111111	to to morea	,,,,,	
						increased by more than 100	)% since 2006				
	monne, Plana Mana Mana Mana Mana Mana				900 miles and 1000 miles and 1000 miles			The state of the s		Santa Lanca Caraca Cara	

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Department of Insurance, Financial Institutions and Professional Registration  Division of Professional Registration - State Board of Accountancy  Compliance and Training Enhancements  DI# 1375005
Pursuant to Chapter 326.271, RSMo the Missouri State Board of Accountancy requires the completion of continuing professional education (CPE) to renew a certified public accountant (CPA) license and 20 CSR 2010-4.010 gives the minimum amount and type of CPE that must be completed by the CPA. The board requires 2 hours of ethics to be completed each year.
<ul> <li>In order to provide a service to state licensees and to improve CPE compliance, the Missouri State Board of Accountancy will provide 2 hour jurisprudence ethics courses in St. Louis, Kansas City, Columbia, Cape Girardeau, and Springfield each year at no cost to licensees.</li> <li>Based on costs observed from other overnight travel and training, it is estimated that the cost would be approximately \$6,000 per training session for travel, meeting rooms, and published materials for the jurisprudence ethics training at each location.</li> <li>Consequently, the board's E&amp;E appropriation will need to be increased by \$30,000 to accommodate the training costs.</li> </ul>
Pursuant to Chapter 326.265.2, RSMo the Missouri State Board of Accountancy may employ legal counsel and incur such expense as in its judgment shall be necessary to the effective administration of Chapter 326, RSMo.
<ul> <li>There has been an increase in the number (increased by 50 complaints to legal counsel), type(CPA cases are highly complex involving complex accounting standards and tax laws) and complexity (complex financial and entity transactions) of the board's investigative complaints against certified public accountant (CPA) licensees, CPA certificate holders, and CPA firms.</li> <li>The total legal expense's has increase from \$58,064 in FY 2009 to \$92,544 in FY 2011.</li> <li>Consequently, the boards E&amp;E appropriation now needs to be increased by \$30,000 to accommodate the expected legal expense increase.</li> </ul>
4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one times and how those amounts were calculated.)

• The board has utilized a temporary employee for compliance audits and complaint investigations since March 2007 for 1,000 hours each year. In 2007, there were 100 compliance audits and 77 complaints opened. The compliance audits and complaints have increased by more than 100% in this same timeframe. As a result of the increased workload, there are times that investigations have taken 6 months to 3 years due to the scope of the investigation coupled with a lack of board resources. The board has tried various solutions to reduce the length of time it takes to complete an investigation. Based on some of the telephone calls the board has received from the complainants indicating that they are discouraged with the length of the investigative process,

it would improve public perception to have sufficient staff to improve the process.

RANK: 11 OF 11

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42650C

Division of Professional Registration - State Board of Accountancy

Compliance and Training Enhancements DI# 1375005

- Based on costs observed from other overnight travel and training we estimated it would cost approximately \$6,000 for travel, meeting rooms and published materials for the jurisprudence ethics program at each location
- The estimate was based on current legal expenditures and estimated future outlays. Legal Costs

FY2008 \$64,576

FY2009 \$58,064

FY2010 \$82,707

FY2011 \$92,544

FY2012 \$106,967

# 5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/000304/Auditor II					40,260	1.0		1.0	
Total PS	0	0.0	0	0.0	40,260	1.0	40,260	1.0	0
400/Professional Services					60,000		60,000		
340/Communication Service and Supplies					1,800		1,800		
Total EE	0		0		61,800		61,800		o
							0		
Total PSD	0		0		0		0		0
Total TRF	0		0		0		0	•	0
		,			uquyaran uu aana uu uu aana uu aana aa a				
Grand Total	0	0.0	0	0.0	102,060	1.0	102,060	1.0	0

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Department of Insurance, Financial Institut			istration	Budget Unit	42650C	oonaa saaraa ka k	off and a second se		95050 CSG SAG CARLO CARLO Berniphy of CARLO STATE (CARLO BERNING STATE OF CARLO BERNING STATE OF CARLO BERNING
Division of Professional Registration - Stat Compliance and Training Enhancements		ountancy Dl# 137500	5						
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
Total PS	0	0.0	0	0.0	0	0.0	0 <b>0</b>	0.0 <b>0.0</b>	participation and a second sec
Total EE	0	•	0		0		0		
Total PSD	0		0		0		0		
Total TRF	0		0		0		0		
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	
6. PERFORMANCE MEASURES (If new dec 6a. Provide an effectiveness Non-Comp	s measure. (c		ted core, sep	arately identi	<b>6</b> b.	performance Provide an Not yet avail	efficiency i	eut addition: neasure. (d	al funding.) cont.)
Current		30.00%							
FY2013 Projected		25.00%							
FY2014 Projected		15.00%							
FY2015 Projected		15.00%							

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Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42650C

Division of Professional Registration - State Board of Accountancy

Compliance and Training Enhancements DI# 1375005

# 6c. Provide the number of clients/individuals served, if applicable.

				i orkoko ireo or	De 1 40 0				
	FY2	010	FY20	011	FY20	)12	FY2013*	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	880	860	780	955	950	884	732	732	750
Licensed Professionals	20,100	20,187	20,400	20,450	20,450	20,812	20,850	20,850	20,800

<sup>\*</sup> Due to changes in licensure rules the number of applicants are expected to decrease in FY2013.

#### 6d. Provide a customer satisfaction measure, if available.

The training attendees will be surveyed to ascertain their satisfaction with the jurisprudence CPE.

#### 7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

- The additional appropriation for legal expense will be used to timely pay our ever increasing legal costs due to increased numbers of cases needing legal action, the increased complexity of accounting regulations, standards and tax laws needing legal analysis and pursuing the legal protection of the public via properly administering Chapter 326 and the boards regulatory responsibilities.
- The additional appropriation for CPE training will be used to provide free ethics jurisprudence CPE 2 hour training sessions in St. Louis, Kansas City, Columbia, Cape Girardeau and Springfield. As ethics CPE is mandatory for renewal of licenses, the board believes that a free ethics program will be well received. The program will allow the board to make sure that the licensees understand Chapter 326 and the accountancy rules that they must comply with to maintain their CPA license, certificate, and/or firm permit.
- The additional appropriation for the new Auditor II FTE would allow the office to be restructured and the workload redistributed. The new position would assist with the CPE compliance audit, complaint investigation, work closely with the senior auditor and participate in complaint committee meetings by presenting investigations to the board for decisions. In addition, they would provide support to other staff answering license questions regarding complaints and more complex licensing issues. The restructuring of the office and various work activities of the Auditor II would allow the office to be more efficient and effective in its regulatory responsibilities pursuant to Chapter 326.

DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
STATE BOARD OF ACCOUNTANCY								
Compliance and Training - 1375005								
AUDITOR II	0	0.00	0	0.00	40,260	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	40,260	1.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	1,800	0.00	0	0.00
PROFESSIONAL SERVICES	0	0.00	0	0.00	60,000	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	61,800	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$102,060	1.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00

\$0

\$0

0.00

0.00

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\$0

\$102,060

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FEDERAL FUNDS

OTHER FUNDS

\$0

\$0

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# **DECISION ITEM SUMMARY**

Budget Unit		A STATE OF THE STA						
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ARCHITECTS, P.E. & LAND SURV.								
CORE								
PERSONAL SERVICES								
BRD OF ARCH,ENG,LND SUR,LND AR	293,167	9.34	381,662	10.00	381,662	10.00	0	0.00
TOTAL - PS	293,167	9.34	381,662	10.00	381,662	10.00	0	0.00
EXPENSE & EQUIPMENT								
BRD OF ARCH,ENG,LND SUR,LND AR	198,552	0.00	324,596	0.00	324,596	0.00	0	0.00
TOTAL - EE	198,552	0.00	324,596	0.00	324,596	0.00	0	0.00
TOTAL	491,719	9.34	706,258	10.00	706,258	10.00	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BRD OF ARCH, ENG, LND SUR, LND AR	0	0.00	0	0.00	253	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	253	0.00	. 0	0.00
TOTAL	0	0.00	0	0.00	253	0.00	0	0.00
GRAND TOTAL	\$491,719	9.34	\$706,258	10.00	\$706,511	10.00	\$0	0.00

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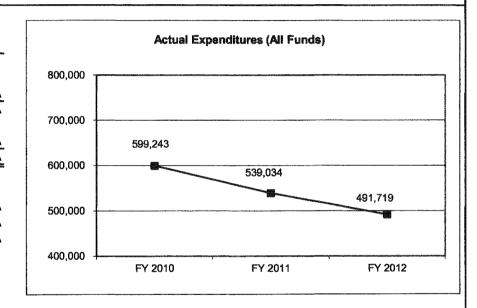
	FY 20	14 Budge	t Request			FY 2014	Governor's	Recommenda	tion
thusana.	GR F	ederal	Other	Total	_	GR	Fed	Other	Total
	0	0	381,662	381,662	PS	0	0	0	0
	0	0	324,596	324,596		0	0	0	0
	0	0	0	0	PSD	0	0	0	0
	0	0	0	0	TRF	0	0	0	0
Link state of production for the state of th	0	0	706,258	706,258	Total	0	0	0	0
	0.00	0.00	10.00	10.00	FTE	0.00	0.00	0.00	0.00
Fringe	0	0	196,212	196,212	Est. Fringe	ol	0	<u>o</u> l	0
: Fringes budgete	ed in House Bill 5	except for			Note: Fringes l	budgeted in H	ouse Bill 5 ex	cept for certain	fringes
eted directly to Me	oDOT, Highway i	Patrol, and	l Conservation	n.	budgeted direct	tly to MoDOT,	Highway Par	trol, and Conse	rvation.
r Funds: Stat	ite Board for Arch veyors & Landsc				Other Funds:		Outberren Browner er eller bilde det gelekte bestelle gelekte bestelle gelekte bestelle gelekte bestelle gelekt		
Sur DRE DESCRIPTION	ON							A STATE OF THE STA	
Sur DRE DESCRIPTION	ON quest is necessar		e the continue	ed high quality of s	service provided by arc	hitects, profes	ssional engin	eers, professio	nal land su
Sur DRE DESCRIPTIO core program red	ON quest is necessar		e the continue	ed high quality o	fs	f service provided by arc	f service provided by architects, profes	f service provided by architects, professional engin	f service provided by architects, professional engineers, professio

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42660C Professional Registration

Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

#### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	707.443	707 442	707,443	706 250
1	101,443	707,443	· -	706,258
Less Reverted (All Funds)	V	U	0	N/A
Budget Authority (All Funds)	707,443	707,443	707,443	N/A
Actual Expenditures (All Funds)	599,243	539,034	491,719	N/A
Unexpended (All Funds)	108,200	168,409	215,724	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	108,200	168,409	215,724	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.
- (2) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.
- (3) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.

# **CORE RECONCILIATION DETAIL**

# DIFP

ARCHITECTS, P.E. & LAND SURV.

# 5. CORE RECONCILIATION DETAIL

	Budget						
	Class	FTE	GR	Federal	Other	Total	E
TAFP AFTER VETOES							
	PS	10.00	(	0	381,662	381,662	,
	EE	0.00	(	0	324,596	324,596	ļ
	Total	10.00		0	706,258	706,258	-
DEPARTMENT CORE REQUEST							
	PS	10.00	(	0	381,662	381,662	
	EE	0.00	(	0	324,596	324,596	,
	Total	10.00		0	706,258	706,258	- }
GOVERNOR'S RECOMMENDED	CORE						
	PS	10.00	(	0	381,662	381,662	)
	EE	0.00	(	0	324,596	324,596	;
	Total	10.00		0	706,258	706,258	_

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#### **DECISION ITEM DETAIL** FY 2012 FY 2013 FY 2013 FY 2014 **Budget Unit** FY 2012 FY 2014 **Decision Item** ACTUAL **ACTUAL** BUDGET BUDGET **DEPT REQ DEPT REQ SECURED** SECURED DOLLAR COLUMN COLUMN **Budget Object Class DOLLAR** FTE **DOLLAR** FTE FTE ARCHITECTS, P.E. & LAND SURV. CORE OFFICE SUPPORT ASST (KEYBRD) 1.718 0.08 26,285 1.00 0 0.00 0 0.00 SR OFC SUPPORT ASST (KEYBRD) 14.190 28.091 1.00 0 0.00 0 0.00 0.54 0 ACCOUNT CLERK II 14,893 0.59 27.649 1.00 0 0.00 0.00 0.00 **EXECUTIVE I** 17.797 36,635 1.00 0 0.00 O 0.54 INVESTIGATOR II 0 34,552 40,975 38,724 1.00 0.00 0.93 1.00 PROF REG LIC TECH I 0.00 0 0.00 11,814 0.51 25,854 1.00 0 PROF REG LIC TECH II 40.539 94,111 3.00 0 0.00 0 0.00 1.41 0 PROCESSING TECHNICIAN I 10,284 0.45 n 0.00 52,274 2.00 0.00 PROCESSING TECHNICIAN II 0 0.00 43.646 1.59 0 0.00 118,480 4.00 0 0.00 PROCESSING TECHNICIAN III 0 0.00 33.487 1.00 14.036 0.46 PROCESSING TECHNICIAN SUPV 0.00 36,635 1.00 0 0.00 15.318 0.46 Ð **BOARD MEMBER** 10,102 0.78 29,165 0.00 29,165 0.00 0 0.00 n 0.00 PRINCIPAL ASST BOARD/COMMISSON 64,278 1.00 72.897 1.00 72,897 1.00 TOTAL - PS 293,167 9.34 381,662 10.00 381,662 10.00 0 0.00 TRAVEL, IN-STATE 32,221 0.00 32.221 0.00 0 0.00 15.069 0.00 0 TRAVEL, OUT-OF-STATE 4,450 0.00 10,497 0.00 10,497 0.00 0.00 0 0.00 **FUEL & UTILITIES** 0 0.00 100 0.00 100 0.00 0 0.00 0.00 49,400 0.00 SUPPLIES 33,253 0.00 49,400 40,707 0.00 0 0.00 28.538 40,707 0.00 PROFESSIONAL DEVELOPMENT 0.00 0 0.00 6.984 0.00 6,984 0.00 **COMMUNICATION SERV & SUPP** 3,764 0.00 0.00 147.886 0.00 0 104.673 147,886 0.00 PROFESSIONAL SERVICES 0.00 0 0.00 0.00 HOUSEKEEPING & JANITORIAL SERV Ω 0.00 100 0.00 100 5.608 0.00 0 0.00 5.608 0.00 M&R SERVICES 461 0.00 0.00 5,419 0.00 0 0.00 5.419 OFFICE EQUIPMENT 490 0.00

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OTHER EQUIPMENT

**PROPERTY & IMPROVEMENTS** 

**EQUIPMENT RENTALS & LEASES** 

**BUILDING LEASE PAYMENTS** 

Page 43 of 70

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DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	********
Decision Item Budget Object Class	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ARCHITECTS, P.E. & LAND SURV.								
CORE								
MISCELLANEOUS EXPENSES	5,921	0.00	12,568	0.00	12,568	0.00	0	0.00
TOTAL - EE	198,552	0.00	324,596	0.00	324,596	0.00	. 0	0.00
GRAND TOTAL	\$491,719	9.34	\$706,258	10.00	\$706,258	10.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$491,719	9.34	\$706,258	10.00	\$706,258	10.00		0.00

#### PROGRAM DESCRIPTION

# Department of Insurance, Financial Institutions and Professional Registration

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

# 1. What does this program do?

The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating architects, professional engineers, professional land surveyors and landscape architects in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 327.011-327.635 RSMo.

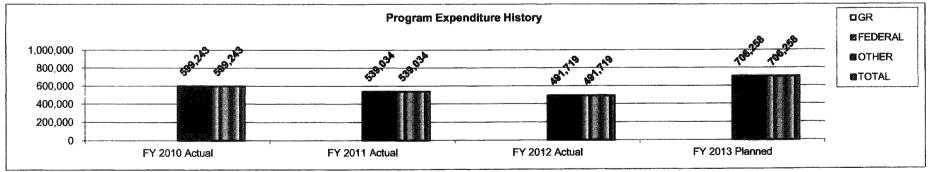
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects (0678)

#### PROGRAM DESCRIPTION

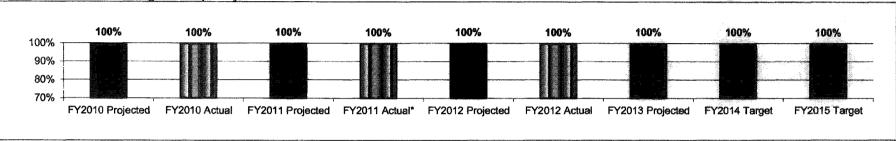
# Department of Insurance, Financial Institutions and Professional Registration

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year

# 7b. Provide an efficiency measure.

None available.

# 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	2,372	2,137	2,572	2,012	2,266	2,147	2,601	2,601	2,627
Licensed Professionals	23,215	26,269	23,587	26,780	27,048	27,126	27,243	27,243	27,515

#### 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

DIFP		

# **DECISION ITEM SUMMARY**

TOTAL	81,299	0.00	147,672	0.00	147,672	0.00	0	0.00
TOTAL - EE	81,299	0.00	147,672	0.00	147,672	0.00	0	0.00
EXPENSE & EQUIPMENT BOARD OF CHIROPRACTIC EXAMINER	81,299	0.00	147,672	0.00	147,672	0.00	0	0.00
BD OF CHIROPRACTIC EXAMINERS CORE								
Budget Object Summary Fund	ACTUAL DOLLAR	ACTUAL FTE	BUDGET DOLLAR	BUDGET FTE	DEPT REQ DOLLAR	DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
Budget Unit Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	****

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	FY 2	014 Budge	t Request			FY 2014 G	overnor's	Recommenda	ation
	GR I	ederal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
E <b>E</b>	0	0	147,672	147,672	E	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	147,672	147,672	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	<b>0.00</b> ×	0.00	0.00	0.00
	0.00	0.00	0.00	0.00		0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe		0	0	Ō
Est. Fringe Note: Fringes budgeted	0   in House Bill	0   5 except fo	0   or certain fring	0 es	Est. Fringe Note: Fringes I	0	0   ise Bill 5 e	0   ccept for certa	0 in fringes
Est. Fringe Note: Fringes budgeted budgeted directly to MoL	0   in House Bill DOT, Highway	0   5 except fo Patrol, and	0   r certain fring d Conservatio	es n.	Est. Fringe Note: Fringes I budgeted direct	0] budgeted in Hou	0   ise Bill 5 e	0   ccept for certa	0 in fringes
Est. Fringe Note: Fringes budgeted budgeted directly to MoL Other Funds: State	0   in House Bill DOT, Highway Board of Chir	0   5 except fo Patrol, and	0   r certain fring d Conservatio	es n.	Est. Fringe Note: Fringes I	0] budgeted in Hou	0   ise Bill 5 e	0   ccept for certa	0 in fringes
Est. Fringe Note: Fringes budgeted budgeted directly to MoLOther Funds: State  2. CORE DESCRIPTION	0   in House Bill DOT, Highway Board of Chir	0   5 except for Patrol, and	0   r certain fring d Conservatio aminers Fund	0 es n. 1 (0630)	Est. Fringe   Note: Fringes I budgeted direct Other Funds:	0   budgeted in Hou tiy to MoDOT, H	0   ise Bill 5 ei lighway Pa	0   ccept for certa trol, and Cons	0 in fringes
Est. Fringe Note: Fringes budgeted budgeted directly to MoL	0   in House Bill DOT, Highway Board of Chir	0   5 except for Patrol, and	0   r certain fring d Conservatio aminers Fund	0 es n. 1 (0630)	Est. Fringe   Note: Fringes I budgeted direct Other Funds:	0   budgeted in Hou tiy to MoDOT, H	0   ise Bill 5 ei lighway Pa	0   ccept for certa trol, and Cons	0 in fringes

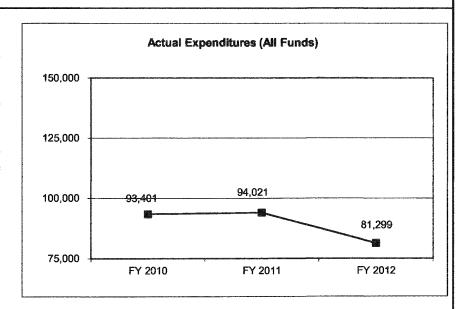
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42680C

Professional Registration

Core - State Board of Chiropractic Examiners

#### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	149,567	149,567	149,567	147,672
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	149,567	149,567	149,567	N/A
Actual Expenditures (All Funds)	93,401	94,021	81,299	N/A
Unexpended (All Funds)	56,166	55,546	68,268	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	56,166	55,546	68,268	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
- (2) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
- (3) Unexpended amount due to less than anticipated expenditures.

# **CORE RECONCILIATION DETAIL**

# DIFP

# **BD OF CHIROPRACTIC EXAMINERS**

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal		Other	Totai	
	A1039	FIE	GK	rederal	·~~	Other	IUI	
TAFP AFTER VETOES								
	EE	0.00	0	(	)	147,672	147,672	
	Total	0.00	C		)	147,672	147,672	)
DEPARTMENT CORE REQUEST								
	EE	0.00	C	•	)	147,672	147,672	2
	Total	0.00	C		D	147,672	147,672	- ) -
GOVERNOR'S RECOMMENDED	CORE							
	EE	0.00	C		0	147,672	147,672	2
	Total	0.00	0		D	147,672	147,672	<u> </u>

D	F	P

#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 **Decision Item** ACTUAL ACTUAL BUDGET BUDGET **DEPT REQ DEPT REQ SECURED SECURED Budget Object Class** DOLLAR DOLLAR FTE FTE **DOLLAR** FTE COLUMN COLUMN **BD OF CHIROPRACTIC EXAMINERS** CORE TRAVEL, IN-STATE 5,048 0.00 11,400 0.00 11,400 0.00 0 0.00 TRAVEL, OUT-OF-STATE 0 0.00 9,500 0.00 9,500 0.00 0 0.00 **FUEL & UTILITIES** 0 0.00 60 0.00 60 0.00 0 0.00 **SUPPLIES** 3.769 9,030 0.00 0.00 7,030 0.00 0 0.00 PROFESSIONAL DEVELOPMENT 1.625 0.00 6.080 0.00 4.980 0.00 0 0.00 **COMMUNICATION SERV & SUPP** 958 0.00 2,000 0.00 2,000 0.00 0 0.00 PROFESSIONAL SERVICES 68,516 0.00 97,000 0.00 99,000 0.00 0 0.00 M&R SERVICES 0 0.00 4,502 0.00 4,502 0.00 0 0.00 OFFICE EQUIPMENT 259 0.00 4.000 0.00 4.600 0.00 0 0.00 OTHER EQUIPMENT 0 0.00 2,000 0.00 2,000 0.00 0 0.00 **BUILDING LEASE PAYMENTS** 300 0.00 100 0.00 600 0.00 0 0.00 MISCELLANEOUS EXPENSES 824 2,000 2,000 0.00 0 0.00 0.00 0.00 TOTAL - EE 81,299 0.00 147,672 0.00 147,672 0.00 0 0.00 **GRAND TOTAL** \$0 \$81,299 \$147,672 \$147,672 0.00 0.00 0.00 0.00 0.00 **GENERAL REVENUE** \$0 0.00 \$0 0.00 \$0 0.00 **FEDERAL FUNDS** 0.00 0.00\$0 0.00 \$0 0.00 \$0 **OTHER FUNDS** \$81,299 \$147,672 0.00 \$147,672 0.000.00 0.00

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#### PROGRAM DESCRIPTION

#### Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

FY 2013 PLANNED									
Chiropractic PR Admin TOTAL									
GR	0	0	0						
FEDERAL	0	0	0						
OTHER	147,672	68,954	216,626						
TOTAL	147,672	68,954	216,626						

## 1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

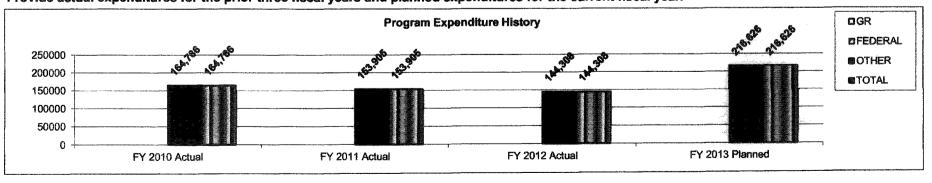
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



#### PROGRAM DESCRIPTION

# Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

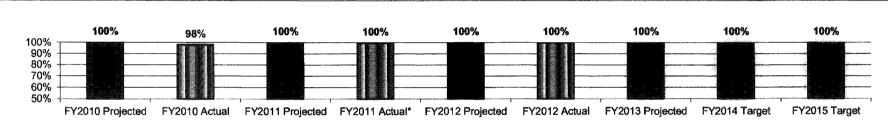
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

#### 6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

#### 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2	FY2011		FY2012		FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	157	151	140	138	125	128	120	120	120
Licensed Professionals	2,068	2,225	2,007	2,209	2,205	2,348	2,200	2,200	2,200

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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DIFP DECISION ITEM SUMMAR									
Budget Unit		2777 - 1 - 2777 - 1 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777 - 2777	14000000000000000000000000000000000000						
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	*******	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
BD COSMETOLOGY & BARBERS					, , , , , , , , , , , , , , , , , , ,			**************************************	
CORE									
EXPENSE & EQUIPMENT									
BRD OF COSMETOLOGY & BARBER EX	262,290	0.00	286,409	0.00	286,409	0.00	0	0.00	
TOTAL - EE	262,290	0.00	286,409	0.00	286,409	0.00	O	0.00	
TOTAL	262,290	0.00	286,409	0.00	286,409	0.00	0	0.00	
GRAND TOTAL	\$262,290	0.00	\$286,409	0.00	\$286,409	0.00	\$0	0.00	

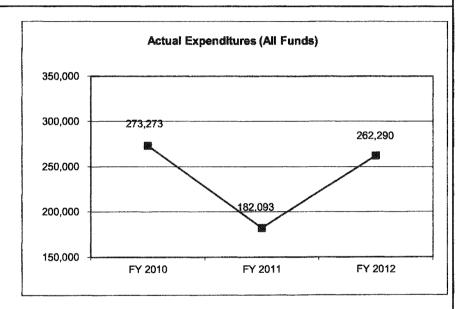
	FY 20	14 Budge	t Request			FY 2014 (	overnor's l	Recommend	ation
GI	R F	ederal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS -	0	0	0	0
oor gest- oor Gest oor Gest	0	0	286,409	286,409	ente gana pero jano tama dana	0	0	0	0
para geren Tana Dinas Tanas Almes	0	0	0	0		0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	286,409	286,409	Total	0	0	0	0
TE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0 1	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in	House Bill 5	except for	r certain fringe		Note: Fringes b	oudgeted in Ho	use Bill 5 ex	cept for certe	In fringes
budgeted directly to MoDO	T. Highway i	Patrol, and	l Conservation	n.	budgeted direct	ly to MoDOT	Highway Pai	rol and Cons	ervation
Other Funds: Board of	Cosmetolo	gv and Bar			Other Funds:				
Other Funds: Board of (0785)  2. CORE DESCRIPTION	Cosmetolo	gy and Bar	ber Examine		Basser reputation over Ellings of a self-photon and the administration of the self-photon	y (0 Mas 0 )			

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42695C
Professional Registration	water-construction and groups

Core - State Board of Cosmetology and Barber Examiners

# 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	292,273	292,273	292,273	286,409
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	292,273	292,273	292,273	N/A
Actual Expenditures (All Funds)	273,273	182,093	262,290	N/A
Unexpended (All Funds)	19,000	110,180	29,983	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	19,000	110,180	29,983	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.
- (2) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.
- (3) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.

# **CORE RECONCILIATION DETAIL**

# DIFP

# **BD COSMETOLOGY & BARBERS**

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federa	.I	Other	Total	
	<u> </u>		GK	reuera		Other	IUIAI	
TAFP AFTER VETOES								
	EE	0.00	(	)	0	286,409	286,409	)
	Total	0.00		)	0	286,409	286,409	
DEPARTMENT CORE REQUEST				-				
	EE	0.00	(	)	0	286,409	286,409	)
	Total	0.00		)	0	286,409	286,409	- ) =
GOVERNOR'S RECOMMENDED	CORE							
	EE	0.00	(	)	0	286,409	286,409	)
	Total	0.00		)	0	286,409	286,409	)

DIFP							ECISION IT	EM DETAII
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FŢE	DOLLAR	FTE	COLUMN	COLUMN
BD COSMETOLOGY & BARBERS								
CORE								
TRAVEL, IN-STATE	10,205	0.00	20,550	0.00	20,550	0.00	0	0.00
TRAVEL, OUT-OF-STATE	3,335	0.00	5,500	0.00	5,500	0.00	0	0.00
SUPPLIES	109,113	0.00	70,898	0.00	70,898	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	4,248	0.00	4,761	0.00	4,761	0.00	0	0.00
COMMUNICATION SERV & SUPP	17,890	0.00	17,000	0.00	17,000	0.00	0	0.00
PROFESSIONAL SERVICES	68,550	0.00	100,000	0.00	100,000	0.00	0	0.00
M&R SERVICES	11,507	0.00	13,000	0.00	13,000	0.00	0	0.00
MOTORIZED EQUIPMENT	33,099	0.00	45,000	0.00	45,000	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	200	0.00	200	0.00	0	0.00
BUILDING LEASE PAYMENTS	300	0.00	1,000	0.00	1,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	50	0.00	50	0.00	0	0.00
MISCELLANEOUS EXPENSES	4,043	0.00	8,450	0.00	8,450	0.00	0	0.00
TOTAL - EE	262,290	0.00	286,409	0.00	286,409	0.00	0	0.00
GRAND TOTAL	\$262,290	0.00	\$286,409	0.00	\$286,409	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$262,290	0.00	\$286,409	0.00	\$286,409	0.00		0.00

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#### **PROGRAM DESCRIPTION**

## Department of Insurance, Financial Institutions and Professional Registration

**Board of Cosmetology and Barber Examiners** 

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

FY 2013 PLANNED									
	Cosmetology Barber	PR Admin	TOTAL						
GR	0	0	0						
FEDERAL	0	O	0						
OTHER	286,409	665,307	951,716						
TOTAL	286,409	665,307	951,716						

#### 1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

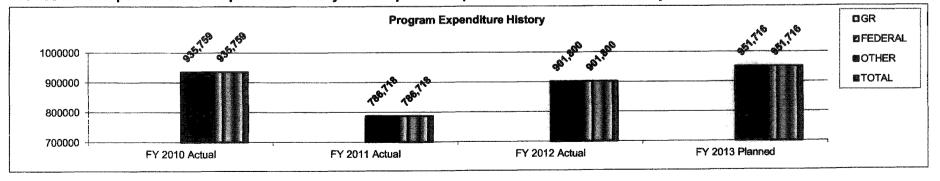
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



#### PROGRAM DESCRIPTION

#### Department of Insurance, Financial Institutions and Professional Registration

Board of Cosmetology and Barber Examiners

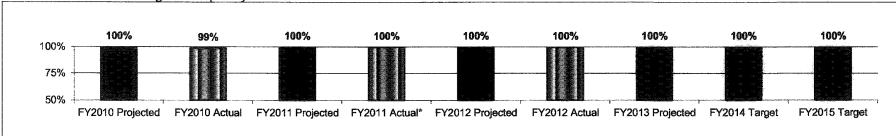
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

#### 6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

\*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# 7b. Provide an efficiency measure.

None available.

# 7c. Provide the number of clients/individuals served, if applicable.

· ·	FY2010		FY2	FY2011 FY2		12	FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	10,603	10,980	11,000	10,362	11,979	11,381	15,100	15,100	15,100
Licensed Professionals	80,100	78,589	80,000	82,601	77,480	79,492	79,643	79,643	79,643

# 7d. Provide a customer satisfaction measure, if available.

None available.

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	 <u> </u>	 Committee of the Committee of the Commit	Www.yoleR		29 - 16	

Budget Unit		······································		MICONOLOGICA DE LA CONTRACTOR DE LA CONT				
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MISSOURI DENTAL BOARD						<del>yja marinisis marinis marini marinis marinis marini marinis marinis marinis marinis marinis marinis marinis m</del>		
CORE								
PERSONAL SERVICES DENTAL BOARD FUND	231,469	7.38	378,550	8.50	378,550	8,50	0	0.00
TOTAL - PS	231,469	7.38	378,550	8.50	378,550	8.50	0	0.00
EXPENSE & EQUIPMENT DENTAL BOARD FUND	103,551	0.00	259,473	0.00	259,473	0.00	0	0.00
TOTAL - EE	103,551	0.00	259,473	0.00	259,473	0.00	0	0.00
TOTAL	335,020	7.38	638,023	8.50	638,023	8.50	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
DENTAL BOARD FUND	0	0.00	0	0.00	278	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	278	0.00	0	0.00
TOTAL	0	0.00	0	0.00	278	0.00	0	0.00
GRAND TOTAL	\$335,020	7.38	\$638,023	8.50	\$638,301	8.50	\$0	0.00

**DECISION ITEM SUMMARY** 

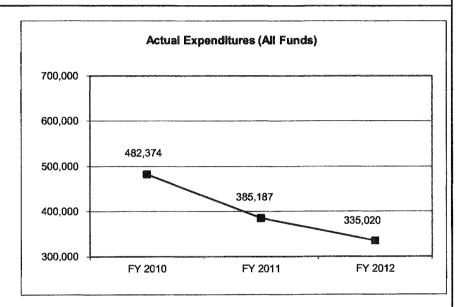
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Department of In		tion Budget Unit	42710C	indusiy, manay, man	annia de la compania		enimuddinjoupracennuplacenpup giznuwing				
Professional Rec											
Core - Missouri I	Dental Board	Zána <del>wymu ogymuna zo zanadno</del>									
1. CORE FINANC	CIAL SUMMARY										
	FY	2014 Budge	t Request			FY 2014 G	FY 2014 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total		
PS	0	0	378,550	378,550	PS	0	0	0	0		
	0	0	259,473	259,473		0	0	0	0		
PSD	0	0	0	0	PSD	0	0	0	0		
TRF	0	0	0	0	TRF	0	0	0	0		
Total	0	00	638,023	638,023	Total	0	0.	0	0		
FTE	0.00	0.00	8.50	8.50	FTE	0.00	0.00	0.00	0.00		
Est. Fringe	0	0	194,613	194,613	Est. Fringe	ol ol	Ö	ol	Öl	9,	
Note: Fringes but	dgeted in House B	ill 5 except for	r certain fring	98	Note: Fringes	budgeted in Ho	use Bill 5 ex	cept for certa	in fringes		
budgeted directly					budgeted direc	tly to MoDOT, I	Highway Pat	rol, and Cons	ervation.		
Other Funds:	Dental Board Fur	nd (0677)			Other Funds:			·			
2. CORE DESCR	IPTION		THE STATE ST	Color of the State Color Color of the State Color o	Annual Annua						
The core prograr	n request is neces	sary to ensur	e the continu	ed high quality o	f service provided by de	entists and denta	al hygienists	licensed in M	lissouri.		
				٨							
3. PROGRAM LI	STING (list progr	ams included	d in this core	funding)							
Minner Dontal D											
Missouri Dental B	oai Q										
Para											

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42710C
Professional Registration		
Core - Missouri Dental Board		

#### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	635,009	635,009	635,009	638,023
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	635,009	635,009	635,009	N/A
Actual Expenditures (All Funds)	482,374	385,187	335,020	N/A
Unexpended (All Funds)	152,635	249,822	299,989	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	152,635	249,822	299,989	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount due to less than anticipated expenditures, investigative costs and legal expenses.
- (2) Unexpended amount due to less than anticipated expenditures, investigative costs and legal expenses.
- (3) Unexpended amount due to less than anticipated expenditures, investigative costs and legal expenses.

# **CORE RECONCILIATION DETAIL**

# DIFP

MISSOURI DENTAL BOARD

# 5. CORE RECONCILIATION DETAIL

	Budget						
	Class	FTE	GR	Federal	Other	Total	<b>2983</b>
TAFP AFTER VETOES							
	PS	8.50		0 0	378,550	378,550	)
	EE	0.00		0 0	259,473	259,473	3
	Total	8.50		0 0	638,023	638,023	}
DEPARTMENT CORE REQUEST							
	PS	8.50		0 0	378,550	378,550	)
	EE	0.00		0 0	259,473	259,473	3
	Total	8.50		0 0	638,023	638,023	}
GOVERNOR'S RECOMMENDED	CORE						
	PS	8.50		0 0	378,550	378,550	)
	EE	0.00		0 0	259,473	259,473	3
	Total	8.50	Windows 2	0 0	638,023	638,023	}

# **DECISION ITEM DETAIL**

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MISSOURI DENTAL BOARD		and the second s						
CORE								
OFFICE SUPPORT ASST (KEYBRD)	11,435	0.52	29,847	1.00	0	0.00	0	0.00
ACCOUNT CLERK II	15,863	0.63	29,456	1.00	0	0.00	0	0.00
ACCOUNTANT I	11,286	0.38	0	0.00	30,696	1.00	0	0.00
INVESTIGATOR I	31,018	0.88	36,414	1.00	36,414	1.00	0	0.00
INVESTIGATOR II	34,747	0.98	43,335	1.00	42,095	1.00	0	0.00
INVESTIGATOR III	2,538	0.06	51,069	1.00	51,069	1.00	0	0.00
PROF REG LIC TECH II	18,382	0.72	39,639	1.50	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	14,347	0.41	37,774	1.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	9,875	0.44	0	0.00	26,847	1.00	0	0.00
PROCESSING TECHNICIAN II	16,645	0.66	0	0.00	42,639	1.50	0	0.00
PROCESSING TECHNICIAN SUPV	10,330	0.30	0	0.00	37,774	1.00	0	0.00
BOARD MEMBER	8,500	0.65	37,475	0.00	37,475	0.00	0	0.00
CLERK	0	0.00	3,567	0.00	3,567	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	46,503	0.75	69,974	1.00	69,974	1.00	0	0.00
TOTAL - PS	231,469	7.38	378,550	8.50	378,550	8.50	0	0.00
TRAVEL, IN-STATE	10,963	0.00	23,660	0.00	23,660	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,149	0.00	10,450	0.00	10,450	0.00	0	0.00
SUPPLIES	6,857	0.00	19,000	0.00	19,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	3,755	0.00	10,500	0.00	10,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	3,062	0.00	6,000	0.00	6,000	0.00	0	0.00
PROFESSIONAL SERVICES	71,148	0.00	172,713	0.00	172,713	0.00	0	0.00
M&R SERVICES	1,647	0.00	3,500	0.00	3,500	0.00	0	0.00
OFFICE EQUIPMENT	120	0.00	1,300	0.00	1,300	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,336	0.00	4,000	0.00	4,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	20	0.00	350	0.00	350	0.00	0	0.00

DIFP							DECISION III	EM DE IAIL
Budget Unit	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
Decision Item								
Budget Object Class								
MISSOURI DENTAL BOARD							·	
CORE								
MISCELLANEOUS EXPENSES	2,494	0.00	7,000	0.00	7,000	0.00	. 0	0.00
TOTAL - EE	103,551	0.00	259,473	0.00	259,473	0.00	. 0	0.00
GRAND TOTAL	\$335,020	7.38	\$638,023	8.50	\$638,023	8.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$335,020	7.38	\$638,023	8.50	\$638,023	8.50		0.00

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# Department of Insurance, Financial Institutions and Professional Registration

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

### 1. What does this program do?

The Missouri Dental Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating dentists, dental specialists and dental hygienists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 332,011-332,364 RSMo.

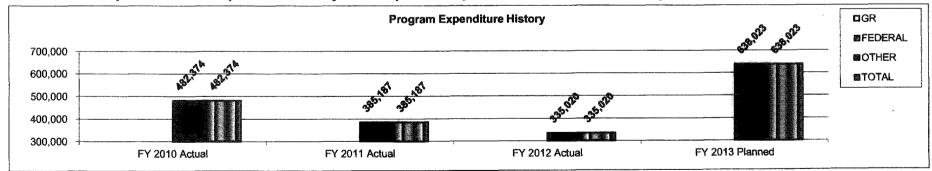
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dental Board Fund (0677)

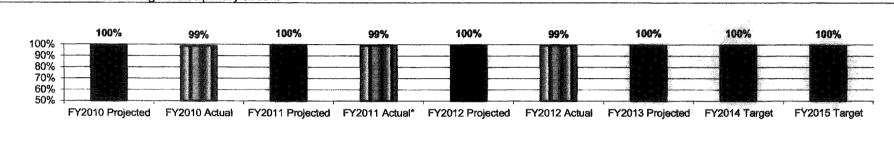
# Department of Insurance, Financial Institutions and Professional Registration

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	011	FY2	)12	FY2013	FY2014	FY2015	
This service	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	635	618	600	751	650	758	760	750	750	
Licensed Professionals	6,566	8,130	7,500	8,166	8,100	8,842	8,600	8,800	9,000	

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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Ban 2	叢	S.

# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$70,132	0.00	\$204,033	0.00	\$204,033	0.00	\$0	0.00
TOTAL	70,132	0.00	204,033	0.00	204,033	0.00	0	0.00
TOTAL - EE	70,132	0.00	204,033	0.00	204,033	0.00	0	0.00
EXPENSE & EQUIPMENT BOARD OF EMBALM & FUN DIR	70,132	0.00	204,033	0.00	204,033	0.00	0	0.00
CORE								
BD OF EMBALMERS & FUNERAL DIR								
Budget Object Summary Fund	ACTUAL DOLLAR	ACTUAL FTE	BUDGET DOLLAR	BUDGET FTE	DEPT REQ DOLLAR	DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	***	*****
Budget Unit						nasta aana amin'ny fisiana ara-daharana amin'ny toerana aparteely y diga milijera (ny dada) diga.		Market and Market and American State of the Communication of the Communi

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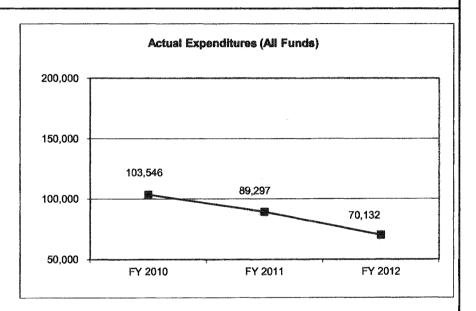
rofessional Reg		II IIISULULION	s and Profes	sional Registration	Budget Unit	42/20C			
TO State Book	<u>ມຣtration</u> rd of Embalmers ຄ	and Europeal	Disastasa						
ne - State Doai	ru oi Eilibaillers i	and runerai	Directors						
CORE FINANC	CIAL SUMMARY		and the second s	ti i dalah da da karina a aran sa ti tabah da da i da masa ya ya na	entranting the second				
		2014 Budge	t Dogwood			EV 2044	Causa	Recommenda	41
	GR	Federal	Other	Total		GR	Fed	Recommenda Other	rtion Total
<b>3</b>	0	0	Orner	O	PS	0	<u>reu</u> ()	Other 0	1 Ocal
	0	Ö	204,033	204,033	EE	0	0	0	Ô
D	0	Ö	0	0	PSD	0	Ô	0	0
r.	0	Ö	Ö	Ö	TRF	Ö	Ö	ő	Ŏ
tal	0	0	204,033	204,033	Total	0	Ō	0	0
					•				
	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
A Part of the last	T								
t. Fringe	0   dgeted in House Bi	0   # E overet fo	0	0	Est. Fringe Note: Fringes I	0]	0   0   0   0   0   0   0   0   0   0	0	0
	to MoDOT, Highwa								
ageted directly	to Modo I, righwa	iy Patroi, and	Conservatio	11.	budgeted direct	IY LO MODOT,	nigriway Pat	ror, ario Corise	rvation.
ther Funds:	Board of Embalme	ers & Funera	Directors Fu	ind (0633)	Other Funds:				
		**************************************	himin in mark think and a second						
CORE DESCR	<u>IPTION</u>		District Control of the Control of t						
	(M)	sarv to ensur	e the continue	ed high quality of servi	ce provided by em	balmers. fune	ral directors.	funeral establis	shment, preneed
he core progran	(M)			ed high quality of servi	ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core progran	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core progran	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core progran	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core program	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core program	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core program	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core progran	n request is neces:				ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core progran roviders, prenee	n request is neces:	need agents	licensed in M	issouri.	ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
roviders, prenec	n request is necessed sellers, and prer	need agents	licensed in M	issouri.	ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core program roviders, prened	n request is necessed sellers, and prer	need agents	licensed in M	issouri.	ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed
he core program roviders, prened	n request is necessed sellers, and pren	need agents	licensed in M	issouri.	ce provided by em	balmers, fune	ral directors,	funeral establis	shment, preneed

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42720C
Professional Registration	_	

Core - State Board of Embalmers and Funeral Directors

### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	145,393	568.844	209,781	204,033
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	145,393	568,844	209,781	N/A
Actual Expenditures (All Funds)	103,546	89,297	70,132	N/A
Unexpended (All Funds)	41,847	479,547	139,649	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	41,847	479,547	139,649	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

- (1) Unexpended amount due less than anticipated expenditures, investigative costs and legal expenses.
- (2) FY2011 includes one time expenditures to implement SB1 (2009).
- (3) Unexpended amount due less than anticipated expenditures, investigative costs and legal expenses.

## **CORE RECONCILIATION DETAIL**

## DIFP

## **BD OF EMBALMERS & FUNERAL DIR**

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal		Other	Total	
TAFP AFTER VETOES			~11	reuera		<b>V</b> (Hef	IVal	
IN I M I LIVYLIVE		0.00	(	)	0	204,033	204,033	3
	Total	0.00	{	)	0	204,033	204,033	-
DEPARTMENT CORE REQUEST								
	EE	0.00	(	)	0	204,033	204,033	}
	Total	0.00	(	)	0.	204,033	204,033	; ;
GOVERNOR'S RECOMMENDED	CORE							
	EE	0.00	(	)	0	204,033	204,033	}
	Total	0.00	{	)	0	204,033	204,033	}

SEAP E	200	<b>679</b>
3 1 2	2000	Bar 27
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#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 Decision Item ACTUAL. **ACTUAL BUDGET** BUDGET **DEPT REQ DEPT REQ SECURED SECURED Budget Object Class DOLLAR** FTE DOLLAR FTE DOLLAR FTE COLUMN COLUMN **BD OF EMBALMERS & FUNERAL DIR** CORE TRAVEL, IN-STATE 52.252 0.00 52.252 0.00 0.00 24,320 0.00 0 TRAVEL, OUT-OF-STATE 2.349 14,250 0.00 14,250 0.00 0 0.00 0.00 SUPPLIES 28,500 0.00 0 0.00 16,681 0.00 28,500 0.00 PROFESSIONAL DEVELOPMENT 1,579 0.00 19,250 0.00 19,250 0.00 0.00 0 COMMUNICATION SERV & SUPP 6,564 0.00 4,500 0.00 4,500 0.00 0.00 0 PROFESSIONAL SERVICES 15,042 0.00 73,731 0.00 73.731 0.00 0.00 0.00 M&R SERVICES 123 2,000 0.00 2.000 0.00 0 0.00 1,000 0 0.00 OFFICE EQUIPMENT 509 1.000 0.00 0.00 0.00 337 0.00 O 0.00 OTHER EQUIPMENT 0.00 0 0.00 **BUILDING LEASE PAYMENTS** 305 0.00 1,550 0.00 1,550 0.00 0 0.00 0 0.00 **EQUIPMENT RENTALS & LEASES** 92 0.00 500 0.00 500 0.00 0.00 MISCELLANEOUS EXPENSES 2,231 0.00 6,500 0.00 6,500 0.00 TOTAL - EE 70.132 0.00 204,033 0.00 204,033 0.00 0 0.00 \$0 0.00 **GRAND TOTAL** \$70,132 0.00 \$204,033 0.00 \$204.033 0.00 **GENERAL REVENUE** \$0 0.00 \$0 0.00 0.00 \$0 0.00 0.00 \$0 0.00 **FEDERAL FUNDS** \$0 \$0 0.00 0.00

\$204,033

0.00

\$204,033

0.00

0.00

**OTHER FUNDS** 

\$70,132

0.00

## Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

	FY 20	D13 PLANNED								
Emb & FDs PR Admin TOTAL										
GR	0	0	0							
FEDERAL	0	0	0							
OTHER	204,033	405,917	609,950							
TOTAL	204,033	405,917	609,950							

### 1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

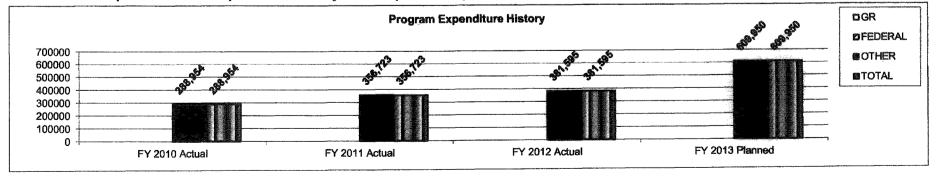
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

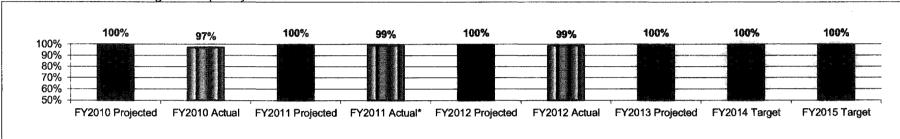
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

## 6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

#### 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

RECOGNISE OF THE PROPERTY OF T	FY2	010	FY2	011	FY2	)12	FY2013	FY2014	FY2015
upon	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	<u>Target</u>
Applications Received	319	1,460	295	405	405	402	400	400	400
Licensed Professionals	6,183	5,995	5,995	6,254	6,170	6,125	6,170	6,170	6,170

# 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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# **DECISION ITEM SUMMARY**

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Budget Unit Decision Item	F3/ 0040	FV 0040	EV 8040	F2/ 00/0	F3/ 00//	P1 0044	******	*******
	FY 2012 ACTUAL	FY 2012 ACTUAL	FY 2013	FY 2013	FY 2014	FY 2014		
Budget Object Summary			BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF REG FOR THE HEALING ART								
CORE								
PERSONAL SERVICES								
BOARD OF REG FOR HEALING ARTS	1,584,690	40.18	1,823,863	45.00	1,823,863	45.00	0	0.00
TOTAL - PS	1,584,690	40.18	1,823,863	45.00	1,823,863	45.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF REG FOR HEALING ARTS	824,645	0.00	768,439	0.00	760,494	0.00	0	0.00
TOTAL - EE	824,645	0.00	768,439	0.00	760,494	0.00	0	0.00
TOTAL	2,409,335	40.18	2,592,302	45.00	2,584,357	45.00	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BOARD OF REG FOR HEALING ARTS	0	0.00	0	0.00	1,082	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	1,082	0.00	0	0.00
TOTAL	0	0.00	0	0.00	1,082	0.00	0	0.00
GRAND TOTAL	\$2,409,335	40.18	\$2,592,302	45.00	\$2,585,439	45.00	\$0	0.00

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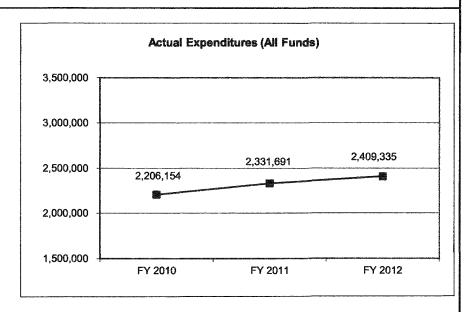
And the state of t	<u>CIAL SUMMARY</u> F`	7 2014 Budg	et Request			FY 2014 (	overnor's	Recommenda	tion
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	1,823,863	1,823,863	PS	0	0	0	0
COLOR	0	0	760,494	760,494	EE	0	0	0	0
PSD	0	0		0	PSD	0	0	0	0
TRF	0	0	0	0_	TRF	0	0	0	0
Total	0	0	2,584,357	2,584,357	Total	0	0	0	0
FTE	0.00	0.00	45.00	45.00	FTE	0.00	0.00	0.00	0.00
	1 01	0	937,648	937,648	Est. Fringe	0	0	0	0
Note: Fringes bu	idgeted in House l	Bill 5 except f	or certain frin	ges	Est. Fringe   Note: Fringes b	~ 1.			0 fringes
Note: Fringes bu		Bill 5 except f	or certain frin	ges		budgeted in Ho	use Bill 5 ex	cept for certain	~ 1
budgeted directly Other Funds: 2. CORE DESCR	dgeted in House I to MoDOT, Highw Board of Registr	Bill 5 except for the later of	or certain fring ad Conservation Healing Arts F	ges ion. Fund (0634)	Note: Fringes budgeted direct. Other Funds:	budgeted in Ho	use Bill 5 ex dighway Pat	cept for certain rol, and Conse	rvation.
Note: Fringes bu budgeted directly Other Funds:  2. CORE DESCR The core progratherapist assista	dgeted in House I to MoDOT, Hight Board of Registr RIPTION m request is necents, athletic traine	Bill 5 except for any Patrol, and attion for the assary to ensure, speech la	or certain fring ad Conservation Healing Arts F Ire the continunguage patho	ges on. Fund (0634) ued high quality of	Note: Fringes budgeted direct	budgeted in Hotely to MoDOT, F	use Bill 5 ex dighway Pat dan assistan	cept for certain rol, and Conse	rvation.

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42730C	on menonga ting sa mangang ang mangang
Professional Registration	-		

Core - State Board of Registration for the Healing Arts

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	2,506,569	2,481,609	2.481.609	2.592.302
Less Reverted (All Funds)	0	0	2,401,000	N/A
Budget Authority (All Funds)	2,506,569	2,481,609	2,481,609	N/A
Actual Expenditures (All Funds)	2,206,154	2,331,691	2,409,335	N/A
Unexpended (All Funds)	300,415	149,918	72,274	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	300,415	149,918	72,274	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
- (2) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
- (3) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.

## **CORE RECONCILIATION DETAIL**

# DIFP

**BD OF REG FOR THE HEALING ART** 

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES	ADDRESS OF THE PARTY OF THE PAR	S I Bross	<b>6</b> 11	1 000101		10000	privile and a second
IAFP AFTER VETUES	PS	45.00	0	0	1,823,863	1,823,863	
	EE	0.00	0	0	768,439	768,439	
	Total	45.00	0	0	2,592,302	2,592,302	<del></del>
DEPARTMENT CORE ADJUSTM	ENTS						<b>.</b>
1x Expenditures 604 2230	EE	0.00	0	0	(7,945)	(7,945)	1X Expenditures - HB 265 (2012)
NET DEPARTMENT	CHANGES	0.00	0	0	(7,945)	(7,945)	
DEPARTMENT CORE REQUEST							
	PS	45.00	0	0	1,823,863	1,823,863	
	EE	0.00	0	0	760,494	760,494	
	Total	45.00	0	0	2,584,357	2,584,357	- -
GOVERNOR'S RECOMMENDED	CORE						
	PS	45.00	0	0	1,823,863	1,823,863	•
	EE	0.00	0	0	760,494	760,494	<u> </u>
	Total	45.00	0	0	2,584,357	2,584,357	- - -

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DIFP							ECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF REG FOR THE HEALING ART			4					
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	45,791	1.63	91,610	3.00	0	0.00	0	0.00
OFFICE SUPPORT ASST (STENO)	38,326	1.55	51,404	2.00	26,586	1.00	0	0.00
SR OFC SUPPORT ASST (STENO)	28,056	1.00	29,387	1.00	29,387	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	51,513	2.39	158,079	7.00	23,856	1.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	38,674	1.46	26,290	1.00	59,500	2.00	0	0.00
INFORMATION SUPPORT COOR	27,660	1.00	29,449	1.00	29,449	1.00	0	0.00
ACCOUNT CLERK II	12,900	0.50	13,759	0.50	13,759	0.50	0	0.00
MEDICAL CNSLT	145,353	1.25	218,708	2.00	291,174	2.00	0	0.00
MEDICAL DIR	125,256	1.00	126,830	1.00	126,830	1.00	0	0.00
INVESTIGATOR II	513,905	13.76	540,821	14.00	540,821	14.00	0	0.00
INVESTIGATOR III	45,984	1.00	48,078	1.00	48,078	1.00	0	0.00
PROF REG LIC TECH I	23,841	1.06	60,589	2.50	0	0.00	0	0.00
PROF REG LIC TECH II	27,495	1.08	51,733	2.00	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	17,797	0.54	34,895	1.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	37,968	1.00	39,395	1.00	39,395	1.00	0	0.00
INVESTIGATION MGR B1	54,236	1.00	55,276	1.00	55,276	1.00	0	0.00
PROCESSING TECHNICIAN I	39,643	1.78	0	0.00	116,885	7.50	0	0.00
PROCESSING TECHNICIAN II	48,812	1.90	0	0.00	107,439	5.00	0	0.00
PROCESSING TECHNICIAN SUPV	29,532	0.91	0	0.00	67,868	2.00	0	0.00
PARALEGAL	27,993	0.95	30,670	1.00	30,670	1.00	0	0.00
LEGAL COUNSEL	54,080	1.00	113,283	2.00	113,283	2.00	0	0.00
BOARD MEMBER	6,941	0.53	17,295	0.00	17,295	0.00	0	0.00
CLERK	66,651	0.89	10,024	0.00	10,024	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	76,283	1.00	76,288	1.00	76,288	1.00	0	0.00
TOTAL - PS	1,584,690	40.18	1,823,863	45.00	1,823,863	45.00	0	0.00
TRAVEL, IN-STATE	16,577	0.00	22,350	0.00	22,350	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,144	0.00	2,750	0.00	2,750	0.00	0	0.00
SUPPLIES	76,305	0.00	68,539	0.00	68,539	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	11,568	0.00	8,787	0.00	8,787	0.00	0	0.00
COMMUNICATION SERV & SUPP	31,409	0.00	39,324	0.00	39,324	0.00	0	0.00
PROFESSIONAL SERVICES	618,865	0.00	569,339	0.00	569,339	0.00	0	0.00
M&R SERVICES	11,298	0.00	16,000	0.00	16,000	0.00	0	0.00

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Į.	DECIS	SION	ITEM	DETAIL	

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	********	
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	SECURED COLUMN  0 0 0 0 0 0 0	COLUMN	
BD OF REG FOR THE HEALING ART						***************************************			
CORE									
MOTORIZED EQUIPMENT	47,691	0.00	22,000	0.00	22,000	0.00	0	0.00	
OFFICE EQUIPMENT	465	0.00	8,945	0.00	1,000	0.00	0	0.00	
BUILDING LEASE PAYMENTS	2,343	0.00	3,500	0.00	3,500	0.00	0	0.00	
EQUIPMENT RENTALS & LEASES	1,065	0.00	1,600	0.00	1,600	0.00	0	0.00	
MISCELLANEOUS EXPENSES	5,915	0.00	5,305	0.00	5,305	0.00	0	0.00	
TOTAL - EE	824,645	0.00	768,439	0.00	760,494	0.00	0	0.00	
GRAND TOTAL	\$2,409,335	40.18	\$2,592,302	45.00	\$2,584,357	45.00	\$0	0.00	
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00	
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00	
OTHER FUNDS	\$2,409,335	40.18	\$2,592,302	45.00	\$2,584,357	45.00		0.00	

## Department of Insurance, Financial Institutions and Professional Registration

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

#### 1. What does this program do?

The State Board of Registration for the Healing Arts was established to protect the interests of the citizens of the State of Missouri by licensing and regulating physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiologist assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.125-324.183, 334.002-334.749, and 345.010-345.080 RSMo.

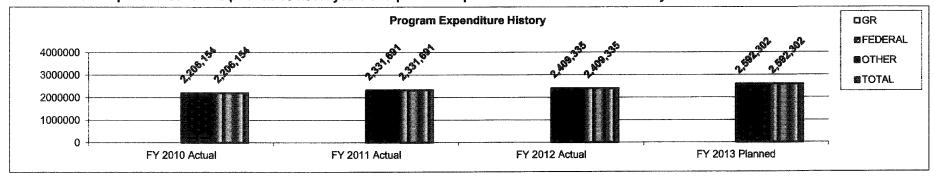
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Registration for the Healing Arts (0634)

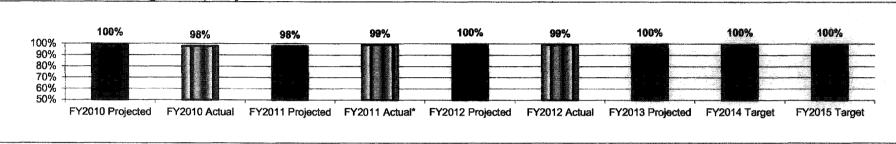
# Department of Insurance, Financial Institutions and Professional Registration

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2	010	FY2	011	FY2	012	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	3,639	3,784	3,766	3,761	3,717	4,369	4,130	4,130	4,130	
Licensed Professionals	37,516	38,465	38,465	39,691	39,363	40,680	40,391	40,391	40,391	

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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# **DECISION ITEM SUMMARY**

Budget Unit	ALL MATERIAL PROPERTY OF THE P		TO A COLUMN TO THE COLUMN TO T					
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING								
CORE								
PERSONAL SERVICES								
BOARD OF NURSING	935,896	26.39	1,202,773	28.00	1,202,773	28.00	0	0.00
TOTAL - PS	935,896	26.39	1,202,773	28.00	1,202,773	28.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF NURSING	443,706	0.00	591,646	0.00	591,646	0.00	0	0.00
TOTAL - EE	443,706	0.00	591,646	0.00	591,646	0.00	0	0.00
TOTAL	1,379,602	26.39	1,794,419	28.00	1,794,419	28.00	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BOARD OF NURSING	0	0.00	0	0.00	743	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	743	0.00	0	0.00
TOTAL	0	0.00	0	0.00	743	0.00	0	0.00
GRAND TOTAL	\$1,379,602	26.39	\$1,794,419	28.00	\$1,795,162	28.00	\$0	0.00

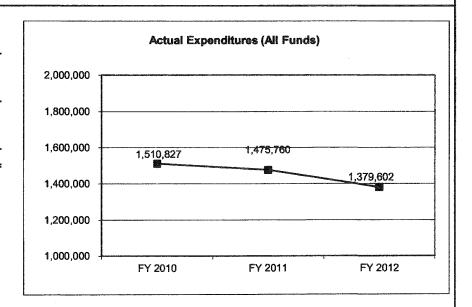
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Department of In	surance, Financia	al Institution	ns and Profe	ssional Registration	Budget Unit	42740C	Bireckyrygenerates and the second			
Professional Reg		Annual An		-	Quantum					ļ
Core - State Boar	d of Nursing									
1. CORE FINANC	IAI SIIMMARY	No. of the last of								
I. A ALIEN I HELME			(contractive contractive contr							
		2014 Budge						Recommenda	* +5.5 Mg	
	GR	<u>Federal</u>	Other	Total		GR	Fed	Other	Total	
PS	0	0	1,202,773	1,202,773	PS	0	0	0	0	
	0	0	591,646	591,646		Ü	0	Ü	0	,
PSD	Ü	0		0	PSD	0	0	0	0	,
TRF	U	. 0	0	0	TRF	0	0	0	<u>Q</u>	!
Total	0	0	1,794,419	1,794,419	Total	0	0	0	0	
FTE	0.00	0.00	28.00	28.00	FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	<i>0</i> I	618,346	618,346	Est. Fringe	01	0	0	0	
Note: Fringes bud	geted in House B	~ 1			Note: Fringes b	budgeted in Ho	ouse Bill 5 ex	cept for certa	in fringes	,
budgeted directly	•	•	•	- 1	budgeted direct					
Other Funds:	State Board of Nu	ırsing Fund	(0635)		Other Funds:					
2. CORE DESCRI	PTION									
The core progran Missouri.	n request is neces	sary to ensu	re the continu	ued high quality of ser	vice provided by regi	istered profes	sional nurses	and practica	I nurses lice	nsed in
THE COLUMN TO TH										-
A COLOR										
3. PROGRAM LIS	STING (list progra	ams include	ad in this cor	e funding)	And the state of t					
State Board of Nu	rsing									
-										

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42740C
Professional Registration	
Core - State Board of Nursing	

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,788,234	1,788,234	1,788,234	1,794,419
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,788,234	1,788,234	1,788,234	N/A
Actual Expenditures (All Funds)	1,510,827	1,475,760	1,379,602	N/A
Unexpended (All Funds)	277,407	312,474	408,632	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	277,407	312,474	408,632	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount is due to staff turnover, less than anticipated expenditures, investigative costs and legal expenses.
- (2) Unexpended amount is due to staff turnover, less than anticipated expenditures, investigative costs and legal expenses.
- (3) Unexpended amount is due to staff turnover, less than anticipated expenditures, investigative costs and legal expenses.

## **CORE RECONCILIATION DETAIL**

## DIFP

**BOARD OF NURSING** 

# 5. CORE RECONCILIATION DETAIL

	Budget						
	Class	FTE	GR	Federal	Other	Total	
TAFP AFTER VETOES					×		
	PS	28.00	•	0	1,202,773	1,202,773	,
	EE	0.00	+	0	591,646	591,646	,
	Total	28.00		0 0	1,794,419	1,794,419	)
DEPARTMENT CORE REQUEST							
	PS	28.00	*	0	1,202,773	1,202,773	,
	EE	0.00	+	0	591,646	591,646	)
	Total	28.00		) 0	1,794,419	1,794,419	
GOVERNOR'S RECOMMENDED	CORE						-
	PS	28.00	•	0	1,202,773	1,202,773	3
	EE	0.00	+	0	591,646	591,646	}
	Total	28.00		0	1,794,419	1,794,419	)

NIFP		
2 f 2 fm 6m		

DIFP					######################################	AND THE PROPERTY OF THE PROPER	DECISION IT	
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	19,388	0.89	50,958	2.00	25,958	1.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	28,273	1.14	52,997	2.00	0	0.00	0	0.00
EXECUTIVE I	31,176	1.00	35,671	1.00	35,671	1.00	0	0.00
REGISTERED NURSE VI	28,344	0.50	180,393	3.00	0	0.00	0	0.00
INVESTIGATOR I	7,283	0.25	32,613	1,00	32,613	1.00	0	0.0
INVESTIGATOR II	107,174	2.92	152,875	4.00	152,875	4.00	0	0.00
INVESTIGATOR III	49,104	1.00	54,258	1.00	54,258	1.00	0	0.00
PROF REG LIC TECH I	59,577	2.66	117,204	5.00	0	0.00	0	0.0
PROF REG LIC TECH II	13,747	0.54	27,518	1.00	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	16,302	0.54	33,633	1.00	0	0.00	0	0.0
PROF REG ADMSTV COOR	40,212	1.00	41,276	1.00	41,276	1.00	0	0.00
REGISTERED NURSE MANAGER B1	150,720	2.50	0	0.00	185,000	3.00	0	0.0
PROCESSING TECHNICIAN I	63,069	2.74	0	0.00	150,408	6.00	0	0.0
PROCESSING TECHNICIAN II	13,608	0.54	0	0.00	27,500	1.00	0	0.0
PROCESSING TECHNICIAN III	9,220	0.33	0	0.00	28,500	1.00	0	0.0
PROCESSING TECHNICIAN SUPV	13,794	0.46	0	0.00	33,633	1.00	0	0.0
PARALEGAL	90,927	3.00	97,840	3.00	97,840	3.00	0	0.0
LEGAL COUNSEL	102,071	1.95	226,000	2.00	237,704	3.00	0	0.0
BOARD MEMBER	13,604	1.05	15,288	0.00	15,288	0.00	0	0.00
CLERK	8,356	0.38	13,249	0.00	13,249	0.00	0	0.0
PRINCIPAL ASST BOARD/COMMISSON	69,947	1.00	71,000	1.00	71,000	1.00	0	0.00
TOTAL - PS	935,896	26.39	1,202,773	28.00	1,202,773	28.00	0	0.00
TRAVEL, IN-STATE	18,438	0.00	23,500	0.00	23,500	0.00	0	0.00
TRAVEL, OUT-OF-STATE	4,834	0.00	13,900	0.00	13,900	0.00	0	0.0
SUPPLIES	41,133	0.00	78,250	0.00	78,250	0.00	0	0.0
PROFESSIONAL DEVELOPMENT	11,429	0.00	28,500	0.00	28,500	0.00	0	0.0
COMMUNICATION SERV & SUPP	18,241	0.00	20,000	0.00	20,000	0.00	0	0.0
PROFESSIONAL SERVICES	305,510	0.00	396,496	0.00	396,496	0.00	0	0.0
M&R SERVICES	715	0.00	5,000	0.00	5,000	0.00	0	0.0
MOTORIZED EQUIPMENT	18,070	0.00	0	0.00	0	0.00	0	0.0
OFFICE EQUIPMENT	2,813	0.00	2,000	0.00	2,000	0.00	0	0.0
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.0

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#### **DECISION ITEM DETAIL Budget Unit** FY 2012 FY 2012 FY 2013 FY 2013 FY 2014 FY 2014 **Decision Item** ACTUAL ACTUAL BUDGET BUDGET **DEPT REQ DEPT REQ** SECURED SECURED **Budget Object Class** DOLLAR FTE **DOLLAR** FTE DOLLAR COLUMN COLUMN FTE **BOARD OF NURSING** CORE **BUILDING LEASE PAYMENTS** 10.000 10,000 0 0.00 5,846 0.00 0.00 0.00 **EQUIPMENT RENTALS & LEASES** 4,440 0.00 3,000 0.00 3,000 0.00 0 0.00 MISCELLANEOUS EXPENSES 12,237 0.00 10,000 0.00 10,000 0.00 0 0.00 TOTAL - EE 443,706 591,646 591.646 0.00 0 0.00 0.00 0.00 **GRAND TOTAL** \$0 \$1,379,602 26.39 \$1,794,419 28.00 \$1,794,419 28.00 0.00 **GENERAL REVENUE** 0.00 0.00 \$0 0.00 \$0 0.00 \$0 **FEDERAL FUNDS** \$0 0.00 \$0 0.00 \$0 0.00 0.00 OTHER FUNDS 0.00 \$1,379,602 26.39 \$1,794,419 28.00 \$1,794,419 28.00

# Department of Insurance, Financial Institutions and Professional Registration

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

1. What does this program do?

The State Board of Nursing was established to protect the interests of the citizens of the State of Missouri by licensing and regulating registered professional nurses and licensed practical nurses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 335.011-335.257 RSMo.

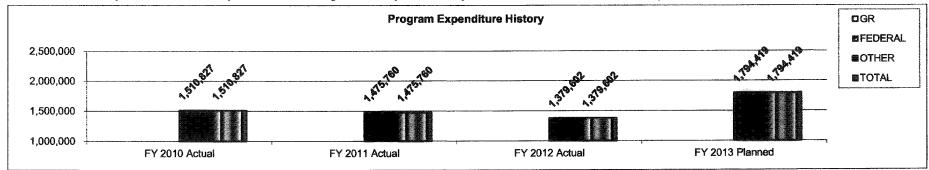
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Nursing Fund (0635)

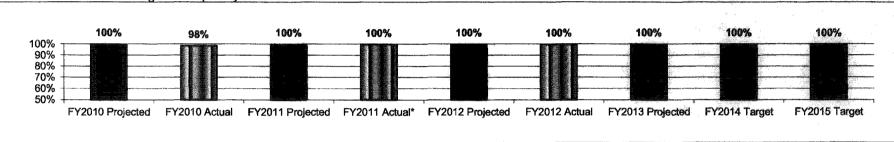
# Department of Insurance, Financial Institutions and Professional Registration

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

\*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2	FY2011 FY2012		12 FY2013		FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	<u>Target</u>
Applications Received	8,200	9,250	8,300	8,916	8,400	9,001	8,500	8,500	8,500
Licensed Professionals	110,000	125,302	119,000	120,372	120,000	125,001	125,000	125,000	126,000

### 7d. Provide a customer satisfaction measure, if available.

None available.

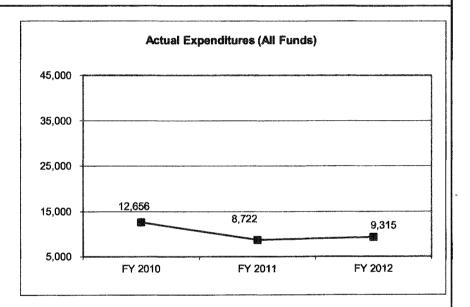
DIFP						DEC	ISION ITEM	I SUMMARY
Budget Unit					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF OPTOMETRY						errende anterioren massische abbas mit Hopper conniversation aus massis		
CORE								
EXPENSE & EQUIPMENT								
BOARD OF OPTOMETRY	9,315	0.00	41,1 <b>1</b> 0	0.00	41,110	0.00	(	0.00
TOTAL - EE	9,315	0.00	41,110	0.00	41,110	0.00		0.00
TOTAL	9,315	0.00	41,110	0.00	41,110	0.00	(	0.00
GRAND TOTAL	\$9,315	0.00	\$41,110	0.00	\$41,110	0.00	\$(	0.00

Department of In		ial Institution	and Profess	ional Registratio	n Budget Unit	42750C		ipipine) manapoleboja nieżycznie zaprze zazwanie czelon		
Professional Reg										
Core - State Boa	rd of Optometry	hallon and the second s								
1. CORE FINAN	CIAL SUMMARY				Annan Annan (1995) ann an Annan A					
	F	/ 2014 Budge	t Request			FY 2014 G	overnor's F	Recommenda	<b>ation</b>	-
	GR	Federal	Other	Total		GR	Fed	Other	Total	
PS	0	0	0	0	PS	0	0	0	0	
Best Sans	0	0	41,110	41,110	EE	0	0	0	0	
PSD	0	0	0	0	PSD	0	0	0	0	
TRF	0	0	0	0	TRF	0	0	0	0	
Total	0	0	41,110	41,110	Total	0	0	0	0	
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0 1	0	0	0	Est. Fringe	0	ol	01	0	
Note: Fringes bu	daeted in House E	Bill 5 except fo				budgeted in Ho	use Bill 5 ex		in fringes	
budgeted directly	•	•	_		_	tly to MoDOT, F		•	~	
Other Funds:	Optometry Fund	Miles Commence of the Commence			Other Funds:					
2. CORE DESCR	IPTION	a uuroonaan oo ahaa ka ahaa ahaa ahaa ahaa ahaa aha								
The core program	m request is nece	ssarv to ensur	e the continue	ed high quality of	service provided by opt	tometrists licens	sed in Misso	uri.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
i i i i i i i i i i i i i i i i i i i		Joury 10 011041		or ringer quality or	oo. Hoo provided by op.					
3. PROGRAM LI	STING (list progr	ams included	1 in this core	fundina)				annimum katalan katala		
STATES OF THE PROPERTY OF THE							Particular out of a careful to difference and a control of department to the			
State Board of Op	otometry									
	·									

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42750C
Professional Registration
Core - State Board of Optometry

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	42,043	42,043	42,043	41,110
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	42,043	42,043	42,043	N/A
Actual Expenditures (All Funds)	12,656	8,722	9,315	N/A
Unexpended (All Funds)	29,387	33,321	32,728	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	29,387	33,321	32,728	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

## **CORE RECONCILIATION DETAIL**

## DIFP

# **BOARD OF OPTOMETRY**

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	E
TAFP AFTER VETOES						naanoon ona weeteen oo kirjaan qoo qoo qoo qoo ahaa ahaa ka ahaa ahaa ahaa ahaa ahaa	-
	EE	0.00	C	0	41,11	0 41,110	)
	Total	0.00	0	0	41,11	0 41,110	)
DEPARTMENT CORE REQUEST	***************************************						iac.
	EE	0.00	C	0	41,11	0 41,11	)
	Total	0.00	0	0	41,11	0 41,110	)
GOVERNOR'S RECOMMENDED	CORE						
	EE	0.00	C	0	41,11	0 41,110	)
	Total	0.00		0	41,11	0 41,110	<u> </u>

Endo.	8	2000	oran.
2 6	R I		-
19	8		

DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF OPTOMETRY					ж. Д	THE PROPERTY OF THE PROPERTY O	econoccottonoccocido del Managera de Insperior de La Managera de La Companya de L	West of the second seco
CORE								
TRAVEL, IN-STATE	2,550	0.00	7,746	0.00	7,746	0.00	0	0.00
TRAVEL, OUT-OF-STATE	712	0.00	1,900	0.00	1,900	0.00	0	0.00
SUPPLIES	1,061	0.00	5,225	0.00	5,225	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,704	0.00	2,850	0.00	2,850	0.00	0	0.00
COMMUNICATION SERV & SUPP	896	0.00	800	0.00	800	0.00	0	0.00
PROFESSIONAL SERVICES	642	0.00	18,500	0.00	18,500	0.00	0	0.00
M&R SERVICES	549	0.00	800	0.00	800	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	400	0.00	400	0.00	0	0.00
BUILDING LEASE PAYMENTS	913	0.00	900	0.00	900	0.00	0	0.00
MISCELLANEOUS EXPENSES	288	0.00	1,989	0.00	1,989	0.00	0	0.00
TOTAL - EE	9,315	0.00	41,110	0.00	41,110	0.00	0	0.00
GRAND TOTAL	\$9,315	0.00	\$41,110	0.00	\$41,110	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	Sinder to Control on the Control of	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$9,315	0.00	\$41,110	0.00	\$41,110	0.00		0.00

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# Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

W	FY 20	013 PLANNED	inioniningan i <del>n 1990 (dan hidisahingan perjaghahan pe</del> 1881 keben <del>pinnakan menerintan rentamberan m</del>
	Optometry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	41,110	57,098	98,208
TOTAL	41,110	57,098	98,208

## 1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

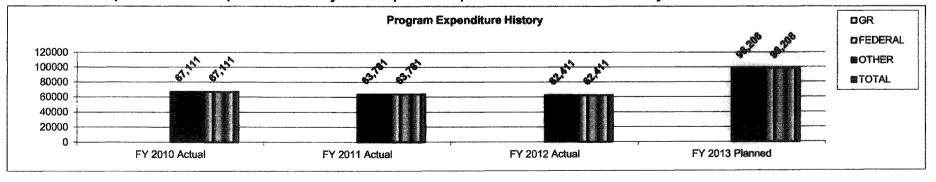
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

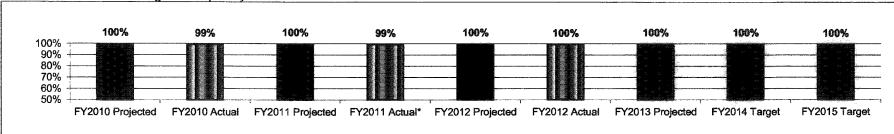
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

#### 6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

	FY20	10	FY20	)11	FY20	12	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	comm
Applications Received	51	80	50	57	45	72	70	70	70	
Licensed Professionals	1,271	1,333	1,310	1,282	1,290	1,336	1,350	1,350	1,350	

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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Budget Unit								Surveyous phonon and the State of the surveyor
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PHARMACY								
CORE								
PERSONAL SERVICES								
BOARD OF PHARMACY	872,563	14.84	943,420	14.00	943,420	14,00	0	0.00
TOTAL - PS	872,563	14.84	943,420	14.00	943,420	14.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF PHARMACY	311,610	0.00	651,448	0.00	651,448	0.00	0	0.00
TOTAL - EE	311,610	0.00	651,448	0.00	651,448	0.00	0	0.00
PROGRAM-SPECIFIC								
BOARD OF PHARMACY	263	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL - PD	263	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL	1,184,436	14.84	1,614,868	14.00	1,614,868	14.00	0	0.00

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PERSONAL SERVICES
BOARD OF PHARMACY

TOTAL - PS

TOTAL

**GRAND TOTAL** 

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		2014 Budg	et Request			FY 2014 G	overnor's l	Recommend	ation
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	943,420	943,420	PS	0	0	0	0
	0	0	666,448	666,448	E E	0	0	0	0
PSD	0	0	5,000	5,000	PSD	0	0	0	0
<b>TRF</b>	0	0	0	0	TRF	0	00	0	0
otal	0	0	1,614,868	1,614,868	Total	0	0	0	0
	0.00	0.00	14.00	14.00	FTE	0.00	0.00	0.00	0.00
	0	0	485,012	485,012	Est. Fringe	,I 0	οl	ol .	0
Note: Fringes bud	dgeted in House Bil	5 except f	or certain frin	ges	Note: Fringe	es budgeted in Ho	ise Bill 5 ex	cept for certa	-
budgeted directly	dgeted in House Bil to MoDOT, Highwa	5 except f / Patrol, ar	or certain frin nd Conservati	ges	Note: Fringe budgeted dir	es budgeted in Horectly to MoDOT, I	ise Bill 5 ex	cept for certa	-
Note: Fringes bud budgeted directly: Other Funds:	dgeted in House Bil	5 except f / Patrol, ar	or certain frin nd Conservati	ges	Note: Fringe	es budgeted in Horectly to MoDOT, I	ise Bill 5 ex	cept for certa	-
Note: Fringes bud oudgeted directly Other Funds: Notes:	dgeted in House Bil to MoDOT, Highwa Board of Pharmac	5 except f / Patrol, ar	or certain frin nd Conservati	ges	Note: Fringe budgeted dir Other Funds	es budgeted in Horectly to MoDOT, I	ise Bill 5 ex	cept for certa	-
Note: Fringes bud budgeted directly: Other Funds: Notes: 2. CORE DESCRI	dgeted in House Bil to MoDOT, Highwa Board of Pharmad	5 except for Patrol, and Patrol (06)  Fund (06)	or certain fring nd Conservati 37) ure the contin	ges on.  ued high quality of	Note: Fringe budgeted dir Other Funds Notes:	es budgeted in Ho ectly to MoDOT, F	ise Bill 5 ex lighway Pal	cept for certa trol, and Cons	ervation.
Note: Fringes bud budgeted directly: Other Funds: Notes: 2. CORE DESCRI The core program technicians, drug	dgeted in House Bill to MoDOT, Highwa Board of Pharmac IPTION n request is necess	5 except for Patrol, and Patrol, and Patrol, and Patrol, and Patrol, and Patrol	or certain fring and Conservation (37)  ure the continuous registrants	ges on. ued high quality of licensed in Missou	Note: Fringe budgeted dir Other Funds Notes:	es budgeted in Ho ectly to MoDOT, F	ise Bill 5 ex lighway Pal	cept for certa trol, and Cons	ervation.

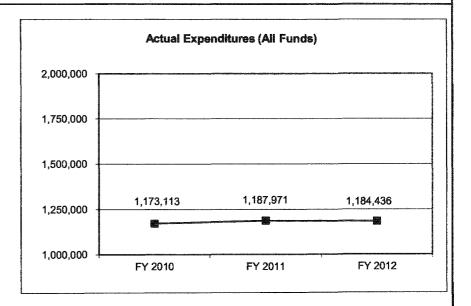
Department of Insurance, Financial Institution and Professional Registration

Professional Registration

Core - Missouri Board of Pharmacy

## 4. FINANCIAL HISTORY

FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
1,618,016	1,618,016	1,618,016	1,614,868
0	0	0	N/A
1,618,016	1,618,016	1,618,016	N/A
1,173,113	1,187,971	1,184,436	N/A
444,903	430,045	433,580	N/A
0	0	0	N/A
0	0	0	N/A
444,903	430,045	433,580	N/A
(1)	(2)	(3)	
	Actual  1,618,016 0 1,618,016 1,173,113 444,903	Actual Actual  1,618,016	Actual         Actual         Actual           1,618,016         1,618,016         1,618,016           0         0         0           1,618,016         1,618,016         1,618,016           1,173,113         1,187,971         1,184,436           444,903         430,045         433,580           0         0         0           444,903         430,045         433,580



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
- (2) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
- (3) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.

## CORE RECONCILIATION DETAIL

## DIFP

# BOARD OF PHARMACY

## 5. CORE RECONCILIATION DETAIL

	Budget							
	Class	FTE	GR	Federa	l	Other	Total	
TAFP AFTER VETOES								
	PS	14.00		0	0	943,420	943,420	)
	EE	0.00		0	0	651,448	651,448	}
	PD	0.00		0	0	20,000	20,000	)
	Total	14.00		0	0	1,614,868	1,614,868	-
DEPARTMENT CORE REQUEST				•				-
	PS	14.00		0	0	943,420	943,420	ì
	EE	0.00		0	0	651,448	651,448	}
	PD	0.00		0	0	20,000	20,000	1
	Total	14.00		0	0	1,614,868	1,614,868	}
GOVERNOR'S RECOMMENDED	CORE			's	-		-	
	PS	14.00		0	0	943,420	943,420	l
	EE	0.00		0	0	651,448	651,448	}
	PD	0.00		0	0	20,000	20,000	1
	Total	14.00		0	0	1,614,868	1,614,868	;

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8 8 8	- Company	-
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DIFP							ECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PHARMACY								
CORE								
EXECUTIVE I	18,995	0.55	37,404	1.00	0	0.00	0	0.00
PHARMACEUTICAL CNSLT	655,404	8.00	692,861	8.00	692,861	8.00	0	0.00
INVESTIGATOR I	3,762	0.13	0	0.00	31,000	1.00	0	0.00
PROF REG LIC TECH I	20,121	0.88	48,689	2.00	0	0.00	0	0.00
PROF REG LIC TECH II	28,898	1.08	54,600	2.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	4,066	0.18	0	0.00	24,000	1.00	0	0.00
PROCESSING TECHNICIAN II	20,144	0.79	0	0.00	26,000	1.00	0	0.00
PROCESSING TECHNICIAN III	12,859	0.46	0	0.00	29,000	1.00	0	0.00
PROCESSING TECHNICIAN SUPV	16,187	0.46	0	0.00	37,404	1.00	0	0.00
BOARD MEMBER	4,844	0.37	17,200	0.00	11,489	0.00	0	0.00
CLERK	20,203	0.94	20,383	0.00	20,383	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	67,080	1.00	72,283	1.00	71,283	1.00	0	0.00
TOTAL - PS	872,563	14.84	943,420	14.00	943,420	14.00	0	0.00
TRAVEL, IN-STATE	18,471	0.00	36,000	0.00	36,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	4,131	0.00	17,000	0.00	17,000	0.00	0	0.00
SUPPLIES	53,089	0.00	57,000	0.00	57,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	5,450	0.00	9,500	0.00	9,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	15,333	0.00	18,000	0.00	18,000	0.00	0	0.00
PROFESSIONAL SERVICES	170,015	0.00	448,600	0.00	448,600	0.00	0	0.00
M&R SERVICES	2,285	0.00	13,000	0.00	13,000	0.00	0	0.00
MOTORIZED EQUIPMENT	31,231	0.00	27,000	0.00	27,000	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	3,000	0.00	3,000	0.00	0	0.00
OTHER EQUIPMENT	89	0.00	0	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	5,000	0.00	5,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1,500	0.00	1,500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	60	0.00	500	0.00	500	0.00	0	0.00
MISCELLANEOUS EXPENSES	11,456	0.00	15,348	0.00	15,348	0.00	0	0.00
TOTAL - EE	311,610	0.00	651,448	0.00	651,448	0.00	0	0.00

Page 59 of 70

DIFP							DECISION IT	em detail
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	**********	********
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PHARMACY								
CORE								
PROGRAM DISTRIBUTIONS	263	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL - PD	263	0.00	20,000	0.00	20,000	0.00	0	0.00
GRAND TOTAL	\$1,184,436	14.84	\$1,614,868	14.00	\$1,614,868	14.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,184,436	14.84	\$1.614.868	14.00	\$1.614.868	14.00		0.00

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## Department of Insurance, Financial Institutions and Professional Registration

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

### 1. What does this program do?

The Missouri Board of Pharmacy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 338.010-338.550 RSMo.

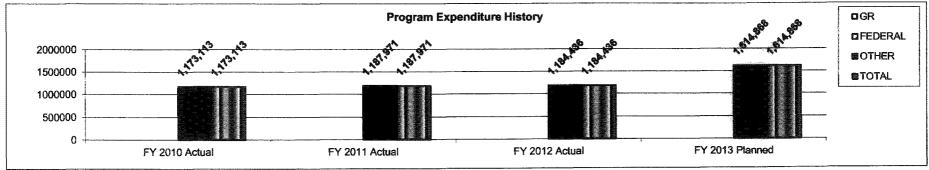
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Pharmacy Fund (0637)

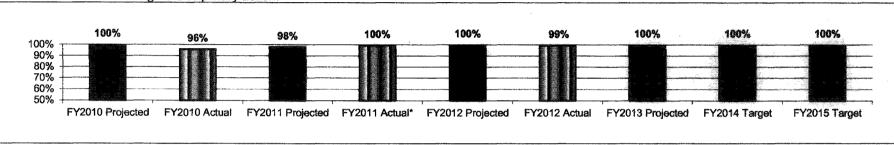
## Department of Insurance, Financial Institutions and Professional Registration

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

#### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

## 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2	011	011 FY2012		12 FY2013		FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	6,275	6,233	6,275	6,243	6,243	6,653	6,278	6,278	6,300	
Licensed Professionals	29,242	31,074	31,044	31,357	32,008	32,857	32,000	32,000	32,050	

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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# **DECISION ITEM SUMMARY**

Budget Unit								ada and distribution and proposed speciments of which consider that the first is a later and
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PODIATRIC MEDICINE		and the state of t			inalite met entre en recent de la colonia			van er veren van er van de klosse ei terkten de til til til til de kom ein er anne en er
CORE								
EXPENSE & EQUIPMENT								
BOARD OF PODIATRIC MEDICINE	3,748	0.00	20,069	0.00	20,069	0.00	(	0.00
TOTAL - EE	3,748	0.00	20,069	0.00	20,069	0.00	(	0.00
TOTAL	3,748	0.00	20,069	0.00	20,069	0.00	(	0.00
GRAND TOTAL	\$3,748	0.00	\$20,069	0.00	\$20,069	0.00	\$(	0.00

im\_disummary

1. CORE FINAN	<u>ICIAL SUMMARY</u> F	/ 2014 Budge	t Request		of the state of th	FY 2014 G	overnor's F	Recommend	ation
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
	0	0	20,069	20,069	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	20,069	20,069	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
	0.00	0.00	0.00			0.00	0.00	0.00	0.00
Est. Fringe	0	0 1	0	0	Est. Fringe		Ø	OI .	0
<b>Est. Fringe</b> Note: Fringes bu	0.00  O  udgeted in House to MoDOT, Highw	0 Bill 5 except fo	0   r certain fringe	0 98	Est. Fringe Note: Fringe	0	0   use Bill 5 ex	0   cept for certa	0 in fringes
Est. Fringe Note: Fringes bu budgeted directly Other Funds:	0   0   udgeted in House by to MoDOT, Highw	0   Bill 5 except fo vay Patrol, and	0   r certain fringe d Conservation	0 9s n.	Est. Fringe Note: Fringe	0 s budgeted in Holectly to MoDOT, F	0   use Bill 5 ex	0   cept for certa	0 in fringes
Est. Fringe Note: Fringes bu budgeted directly Other Funds: 2. CORE DESCR	0   udgeted in House b to MoDOT, Highv State Board of P	0   Bill 5 except fo vay Patrol, and odiatric Medic	0   r certain fringe d Conservation ine Fund (062	0 98 n.	Est. Fringe Note: Fringe budgeted din Other Funds:	0 s budgeted in Holectly to MoDOT, F	0] use Bill 5 ex lighway Pat	0  cept for certa rol, and Cons	0 in fringes
Est. Fringe Note: Fringes bu budgeted directly Other Funds: 2. CORE DESCR	0   udgeted in House b to MoDOT, Highv State Board of P	0   Bill 5 except fo vay Patrol, and odiatric Medic	0   r certain fringe d Conservation ine Fund (062	0 98 n.	Est. Fringe Note: Fringe budgeted dire	0 s budgeted in Holectly to MoDOT, F	0] use Bill 5 ex lighway Pat	0  cept for certa rol, and Cons	0 in fringes
Est. Fringe Note: Fringes bu budgeted directly Other Funds: 2. CORE DESCE The core progra	0   udgeted in House b to MoDOT, Highv State Board of P	0   Bill 5 except fo yay Patrol, and odiatric Medic essary to ensur	0   r certain fringe d Conservation ine Fund (062) re the continue	0 es n. (9) ed high quality of s	Est. Fringe Note: Fringe budgeted din Other Funds:	0 s budgeted in Holectly to MoDOT, F	0] use Bill 5 ex lighway Pat	0  cept for certa rol, and Cons	0 in fringes

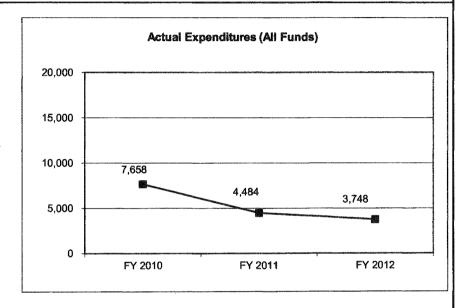
Department of Insurance, Financial Institution and Professional Registration Budget Unit 42770C

Professional Registration

Core - State Board of Podiatric Medicine

## 4. FINANCIAL HISTORY

FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
20,669	20,669	20,669	20,069
0	0	0	N/A
20,669	20,669	20,669	N/A
7,658	4,484	3,748	N/A
13,011	16,185	16,921	N/A
0	0	0	N/A
0	0	0	N/A
13,011	16,185	16,921	N/A
	20,669 0 20,669 7,658 13,011	Actual         Actual           20,669         20,669           0         0           20,669         20,669           7,658         4,484           13,011         16,185           0         0           0         0           0         0           0         0	Actual         Actual         Actual           20,669         20,669         20,669           0         0         0           20,669         20,669         20,669           7,658         4,484         3,748           13,011         16,185         16,921           0         0         0           0         0         0           0         0         0



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

- (1) Unexpended amount due to less than anticipated expenditures.
- (2) Unexpended amount due to less than anticipated expenditures.
- (3) Unexpended amount due to less than anticipated expenditures.

## **CORE RECONCILIATION DETAIL**

## DIFP

# **BOARD OF PODIATRIC MEDICINE**

## 5. CORE RECONCILIATION DETAIL

	Budget							
	Class	FTE	GR	Federal	Other	Total	Explanation	
TAFP AFTER VETOES								
	EE	0.00	C	0	20,069	20,069	)	
	Total	0.00	0	0	20,069	20,069		
DEPARTMENT CORE REQUEST							-	
	EE	0.00	C	0	20,069	20,069	)	
	Total	0.00	0	0	20,069	20,069	)	
GOVERNOR'S RECOMMENDED	CORE							
	EE	0.00	C	0	20,069	20,069	<u> </u>	
	Total	0.00	C	0	20,069	20,069	<u> </u>	

DIFP	
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# **DECISION ITEM DETAIL**

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PODIATRIC MEDICINE					34.96.000			
CORE								
TRAVEL, IN-STATE	315	0.00	3,800	0.00	3,800	0.00	0	0.00
TRAVEL, OUT-OF-STATE	0	0.00	2,850	0.00	2,850	0.00	0	0.00
SUPPLIES	554	0.00	1,900	0.00	1,900	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,450	0.00	2,850	0.00	2,850	0.00	0	0.00
COMMUNICATION SERV & SUPP	516	0.00	720	0.00	720	0.00	0	0.00
PROFESSIONAL SERVICES	804	0.00	5,499	0.00	5,499	0.00	0	0.00
M&R SERVICES	0	0.00	300	0.00	300	0.00	0	0.00
OFFICE EQUIPMENT	90	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	19	0.00	150	0.00	150	0.00	0	0.00
TOTAL - EE	3,748	0.00	20,069	0.00	20,069	0.00	0	0.00
GRAND TOTAL	\$3,748	0.00	\$20,069	0.00	\$20,069	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$3,748	0.00	\$20,069	0.00	\$20,069	0.00		0.00

## Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	FY 2013 PLANNED										
	Podiatry	PR Admin	TOTAL								
GR	0	0	Q								
FEDERAL	0	0	0								
OTHER	20,069	24,189	44,258								
TOTAL	20,069	24,189	44,258								

### 1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

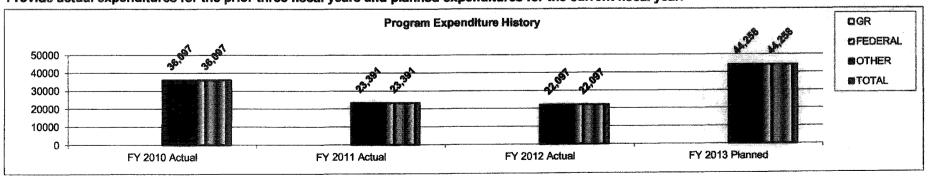
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



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## Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

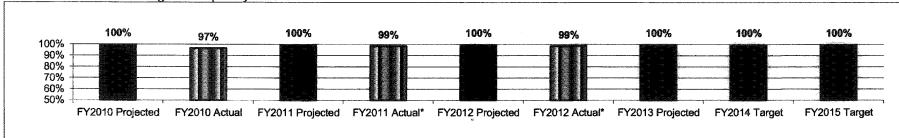
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

### 6. What are the sources of the "Other" funds?

State Board of Podiatric Medicine (0629)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY2	)10 FY:		011	FY2	FY2012		FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	21	28	21	31	31	34	31	31	31
Licensed Professionals	338	321	305	340	345	337	332	332	332

### 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

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# **DECISION ITEM SUMMARY**

Budget Unit					<u> </u>	<del>dhim, and an </del>	ng kanggang dan	
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*********	********
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION	VIO.,							
CORE								
PERSONAL SERVICES MO REAL ESTATE COMMISSION	705,638	21.14	913,308	25.00	913,308	25.00	0	0.00
TOTAL - PS	705,638	21.14	913,308	25.00	913,308	25.00	0	0.00
EXPENSE & EQUIPMENT					·		_	
MO REAL ESTATE COMMISSION	164,801	0.00	279,694	0.00	279,694	0.00	0	0.00
TOTAL - EE	164,801	0.00	279,694	0.00	279,694	0.00	0	0.00
TOTAL	870,439	21.14	1,193,002	25.00	1,193,002	25.00	0	0.00
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
MO REAL ESTATE COMMISSION	0	0.00	0	0.00	690	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	690	0.00	0	0.00
TOTAL	0	0.00	0	0.00	690	0.00	0	0.00
GRAND TOTAL	\$870,439	21.14	\$1,193,002	25.00	\$1,193,692	25.00	\$0	0.00

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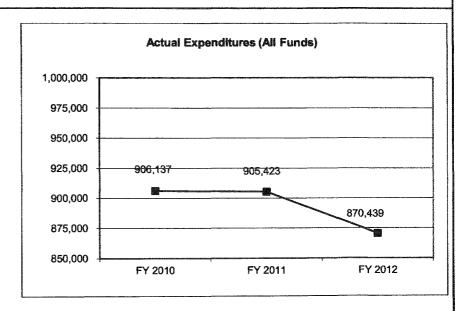
and the second s	FY	014 Budo	et Request			FY 2014 G	overnor's R	ecommend:	ition
		Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	913,308	913,308	PS	0	0	0	0
non provi non provi non Brans	0	0	279,694	279,694		0	0	O.	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0_
Total	0	0	1,193,002	1,193,002	Total	0	0	0	0
FTE	0.00	0.00	25.00	25.00	FTE	6 AA	2.22		^ ^^
	0.00	9.00	20.00	25.00	rie	0.00	0.00	0.00	0.00
Est. Fringe	0	0	469,532	469,532	Est. Fringe	0	0	01	0
<b>Est. Fringe</b> Note: Fringes bud	0   dgeted in House Bill	0 5 except f	469,532 for certain fring	469,532 ges	Est. Fringe Note: Fringe	0 s budgeted in Ho	0 use Bill 5 ex	0 cept for certa	0 in fringes
<b>Est. Fringe</b> Note: Fringes bud budgeted directly	0	0 5 except f / Patrol, ar	469,532 for certain fring and Conservation	469,532 ges on.	Est. Fringe Note: Fringe	0 s budgeted in Hot ectly to MoDOT, F	0 use Bill 5 ex	0 cept for certa	0 in fringes
Est. Fringe Note: Fringes bud budgeted directly Other Funds: 2. CORE DESCR	0   dgeted in House Bill to MoDOT, Highwa Missouri Real Esta	0 5 except t / Patrol, ar te Commis	469,532 for certain fring and Conservation ssion Fund (06	469,532 ges on. 638)	Est. Fringe Note: Fringe budgeted din Other Funds	0 es budgeted in Holectly to MoDOT, H	0   use Bill 5 ext lighway Pati	0 cept for certa rol, and Cons	0 in fringes ervation.
Est. Fringe Note: Fringes bud budgeted directly Other Funds: 2. CORE DESCR	0   dgeted in House Bill to MoDOT, Highwa Missouri Real Esta	0 5 except t / Patrol, ar te Commis	469,532 for certain fring and Conservation ssion Fund (06	469,532 ges on. 638)	Est. Fringe Note: Fringe budgeted din	0 es budgeted in Holectly to MoDOT, H	0   use Bill 5 ext lighway Pati	0 cept for certa rol, and Cons	0 in fringes ervation.
Est. Fringe Note: Fringes bud budgeted directly Other Funds:  2. CORE DESCR The core progran	0   dgeted in House Bill to MoDOT, Highwa Missouri Real Esta	0 5 except to Patrol, and the Commission are to ensure the commission are the commissio	469,532 for certain fring and Conservation ssion Fund (06) ure the continu	ges on. 638) ued high quality of	Est. Fringe Note: Fringe budgeted din Other Funds	0 es budgeted in Holectly to MoDOT, H	0   use Bill 5 ext lighway Pati	0 cept for certa rol, and Cons	0 in fringes ervation.

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42780C Professional Registration

Core - Missouri Real Estate Commission

### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,214,991	1,214,991	1,214,991	1,193,002
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,214,991	1,214,991	1,214,991	N/A
Actual Expenditures (All Funds)	906,137	905,423	870,439	N/A
Unexpended (All Funds)	308,854	309,568	344,552	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	308,854	309,568	344,552	N/A
,	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount due to staff turnover and less than anticipated expenditures.

## **CORE RECONCILIATION DETAIL**

## DIFP

## MO REAL ESTATE COMMISSION

# 5. CORE RECONCILIATION DETAIL

	Budget				_			
	Class	FTE	GR	Fed	eral	Other	Total	na.
TAFP AFTER VETOES								
	PS	25.00		0	0	913,308	913,308	,
	EE	0.00		0	0	279,694	279,694	
	Total	25.00		0	0	1,193,002	1,193,002	 }
DEPARTMENT CORE REQUEST								
	PS	25.00		0	0	913,308	913,308	į
	EE	0.00		0	0	279,694	279,694	
	Total	25.00		0	0	1,193,002	1,193,002	 ) :
GOVERNOR'S RECOMMENDED	CORE							-
	PS	25.00		0	0	913,308	913,308	}
	EE	0.00		0	0	279,694	279,694	
	Total	25.00		0	0	1,193,002	1,193,002	

DIFP			

Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION		· · · · · · · · · · · · · · · · · · ·						
CORE								
OFFICE SUPPORT ASST (KEYBRD)	13,091	0.54	25,479	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	26,178	0.99	30,575	1.00	0	0.00	0	0.00
ACCOUNT CLERK II	19,760	0.79	28,027	1.00	0	0.00	0	0.00
EXECUTIVE I	21,379	0.54	41,786	1.00	0	0.00	0	0.00
INVESTIGATOR II	78,936	2.00	127,396	3.00	120,800	3.00	0	0.00
INVESTIGATOR III	36,120	0.83	45,863	1.00	0	0.00	0	0.00
PROF REG LIC TECH I	23,008	0.95	132,492	5.00	0	0.00	0	0.00
PROF REG LIC TECH II	18,202	0.64	30,575	1.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	9,213	0.21	0	0.00	47,000	1.00	0	0.00
REAL ESTATE EXAMINER I	127,404	4.31	152,875	5.00	152,875	5.00	0	0.00
REAL ESTATE EXAMINER II	51,878	1.54	36,690	1.00	72,000	2.00	0	0.00
REAL ESTATE EXAMINER SUPV	0	0.00	50,958	1.00	0	0.00	0	0.00
REAL ESTATE EXAMINER FIELD SPV	74,652	2.00	84,591	2.00	80,000	2.00	0	0.00
REAL ESTATE EDUCATION SPEC	33,420	1.00	35,671	1.00	35,671	1.00	0	0.00
INVESTIGATION MGR B1	8,325	0.17	0	0.00	54,000	1.00	0	0.00
PROCESSING TECHNICIAN I	26,428	1.11	0	0.00	48,000	2.00	0	0.00
PROCESSING TECHNICIAN II	36,567	1.42	0	0.00	140,515	5.00	0	0.00
PROCESSING TECHNICIAN III	18,252	0.63	0	0.00	64,000	2.00	0	0.00
PROCESSING TECHNICIAN SUPV	10,053	0.25	0	0.00	0	0.00	0	0.00
BOARD MEMBER	3,772	0.19	15,288	0.00	10,000	0.00	0	0.00
CLERK	734	0.03	5,042	0.00	18,447	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	68,266	1.00	70,000	1.00	70,000	1.00	0	0.00
TOTAL - PS	705,638	21.14	913,308	25.00	913,308	25.00	0	0.00
TRAVEL, IN-STATE	22,801	0.00	23,350	0.00	23,350	0.00	0	0.00
TRAVEL, OUT-OF-STATE	6,074	0.00	8,550	0.00	8,550	0.00	0	0.00
SUPPLIES	45,753	0.00	90,500	0.00	90,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	7,328	0.00	8,750	0.00	8,750	0.00	0	0.00
COMMUNICATION SERV & SUPP	23,173	0.00	27,044	0.00	27,044	0.00	0	0.00
PROFESSIONAL SERVICES	34,152	0.00	65,000	0.00	65,000	0.00	0	0.00
M&R SERVICES	7,008	0.00	15,500	0.00	15,500	0.00	0	0.00
MOTORIZED EQUIPMENT	14,414	0.00	18,000	0.00	18,000	0.00	0	0.00
OFFICE EQUIPMENT	822	0.00	7,500	0.00	7,500	0.00	0	0.00

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DIFP							DECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION								A CONTRACTOR OF THE PROPERTY O
CORE								
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	298	0.00	2,500	0.00	2,500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,978	0.00	10,000	0.00	10,000	0.00	0	0.00
TOTAL - EE	164,801	0.00	279,694	0.00	279,694	0.00	0	0.00
GRAND TOTAL	\$870,439	21.14	\$1,193,002	25.00	\$1,193,002	25.00	\$0	0.00

\$0

\$0

\$1,193,002

0.00

0.00

25.00

\$0

\$0

\$1,193,002

0.00

0.00

25.00

0.00

0.00

0.00

GENERAL REVENUE

FEDERAL FUNDS

OTHER FUNDS

\$0

\$0

\$870,439

0.00

0.00

21.14

### Department of Insurance, Financial Institutions and Professional Registration

Missouri Real Estate Commission

Program is found in the following core budget(s): Missouri Real Estate Commission

### 1. What does this program do?

The Missouri Real Estate Commission was established to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate brokers and salespersons in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 339.010-339.860 RSMo.

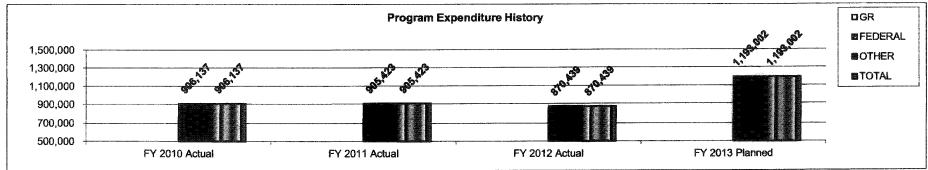
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Missouri Real Estate Commission Fund (0638)

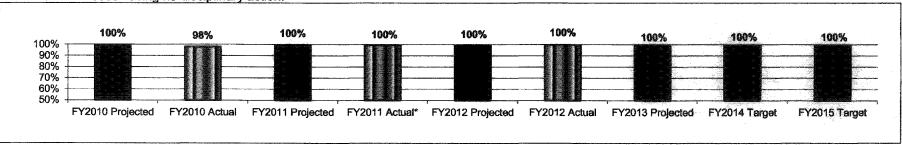
## Department of Insurance, Financial Institutions and Professional Registration

Missouri Real Estate Commission

## Program is found in the following core budget(s): Missouri Real Estate Commission

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

# 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

NATIONAL PROPERTY OF THE PROPE	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
and the second	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
Applications Received	2,700	4,612	3,000	4,105	4,250	2,095	2,000	2,000	2,000
Licensed Professionals	48,750	43,343	45,000	43,619	43,500	43,759	40,000	40,000	40,000

<sup>\*</sup>Beginning in FY 2012 the manner in which applications received are counted has changed to eliminate any chance of duplications.

## 7d. Provide a customer satisfaction measure, if available.

None available.

<sup>\*</sup>Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

DIFP						DEC	ISION ITER	M SUMMARY
Budget Unit						and the second s	,	
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	*******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO VETERINARY MEDICAL BOARD								Novelda velikile desisson idad i Astrialiki i i i i i i i i i i i i i i i i i i
CORE								
EXPENSE & EQUIPMENT								
VETERINARY MEDICAL BOARD	69,002	0.00	118,079	0.00	118,079	0.00	(	0.00
TOTAL - EE	69,002	0.00	118,079	0.00	118,079	0.00		0.00
TOTAL	69,002	0.00	118,079	0.00	118,079	0.00		0.00

\$118,079

0.00

\$118,079

0.00

\$69,002

0.00

0.00

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**GRAND TOTAL** 

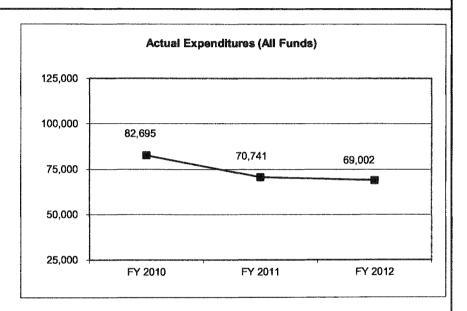
Department of In	surance, Financial	Institution	and Profess	ional Registration	Budget Unit	42790C	***************************************		
rofessional Reg		- <del> </del>		Ū	pythou				
ore - Missouri \	Veterinary Medical	Board							
CORE FINANC	CIAL SUMMARY	White and the second se				¥ <del>311000 x 111111 x 1111111 x 111111 x 11111</del>	TELEVICENCE PROPERTY AND ASSESSMENT OF THE PROPERTY OF THE PRO		agastatatan talah mendasi tangga banggan panggan panggan panggan panggan panggan panggan panggan panggan panggan
CONLINA		2014 Budge	4 Degueet	70334	nonnen on one of the William of the many of the Market of	EV 2044 /	2010500501	Recommend	
		:v 14 buuge Federal	t Request Other	Total		GR	Fed	xecommeno Other	ation Total
S	0	0	0	0	PS -	0	0	0	0
E	0	0	118,079	118,079	EE	Õ	0	Ô	Õ
E	Ô	0	0	0	EE	ő	Ö	Ö	Ö
- RF	ŏ	ŏ	Ö	Ö	TRF	Ö	0	ő	ő
otal	0	0	118,079	118,079	Total	0	0	0	0
					Notice and the second s				
TE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
st. Fringe	T 0 T	0	<i>0</i> T	01	Est. Fringe	0	0	οl	0
	dgeted in House Bill	5 except for	r certain fringe		Note: Fringes	budgeted in Ho	ouse Bill 5 ex	cept for certa	in fringes
udgeted directly	to MoDOT, Highway	/ Patrol, and	l Conservatio	n.	budgeted direc	tly to MoDOT,	Highway Pat	trol, and Cons	servation.
Other Funds:	Veterinary Medical	Board Fund	I (0639)		Other Funds:				
. CORE DESCR	IPTION								
The core prograr	m request is necess	ary to ensur	e the continue	ed high quality of se	ervice provided by ve	terinarians and	veterinary to	echnicians lic	ensed in Missouri.
. PROGRAM LI	STING (list prograr	ns included	in this core	funding)					
<i>l</i> lissouri Veterinar	ry Medical Board								

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42790C Professional Registration

Core - Missouri Veterinary Medical Board

### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	109,579	109,579	109,579	118,079
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	109,579	109,579	109,579	N/A
Actual Expenditures (All Funds)	82,695	70,741	69,002	N/A
Unexpended (All Funds)	26,884	38,838	40,577	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	26,884	38,838	40,577	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
- (2) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
- (3) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.

## **CORE RECONCILIATION DETAIL**

## DIFP

MO VETERINARY MEDICAL BOARD

## 5. CORE RECONCILIATION DETAIL

	Budget						
	Class	FTE	GR	Federal	Other	Total	E
TAFP AFTER VETOES							
	EE	0.00	C	0	118,079	118,079	3
	Total	0.00	0	0	118,079	118,079	)
DEPARTMENT CORE REQUEST							-
	EE	0.00	C	0	118,079	118,079	9
	Total	0.00		0	118,079	118,079	)
GOVERNOR'S RECOMMENDED	CORE						
	EE	0.00	C	0	118,079	118,079	3
	Total	0.00	Q	0	118,079	118,079	)

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DIFP						E	ECISION IT	EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO VETERINARY MEDICAL BOARD						en particular de la companya de la c		
CORE								
TRAVEL, IN-STATE	3,146	0.00	11,875	0.00	11,875	0.00	0	0.00
TRAVEL, OUT-OF-STATE	50	0.00	1,425	0.00	1,425	0.00	0	0.00
SUPPLIES	10,980	0.00	12,350	0.00	12,350	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,082	0.00	2,850	0.00	2,850	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,260	0.00	2,000	0.00	2,000	0.00	0	0.00
PROFESSIONAL SERVICES	51,809	0.00	80,479	0.00	80,479	0.00	0	0.00
M&R SERVICES	0	0.00	1,500	0.00	1,500	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1,400	0.00	1,400	0.00	0	0.00
MISCELLANEOUS EXPENSES	675	0.00	3,200	0.00	3,200	0.00	0	0.00
TOTAL - EE	69,002	0.00	118,079	0.00	118,079	0.00	0	0.00
GRAND TOTAL	\$69,002	0.00	\$118,079	0.00	\$118,079	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$69,002	0.00	\$118,079	0.00	\$118,079	0.00		0.00

## Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

	FY 2013 PL	ANNED	Committee in the second se
	Veterinary	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	118,079	90,960	209,039
TOTAL	118,079	90,960	209,039

## 1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 340.200-340.350 RSMo.

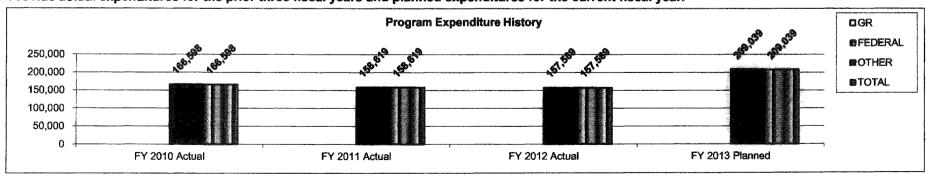
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

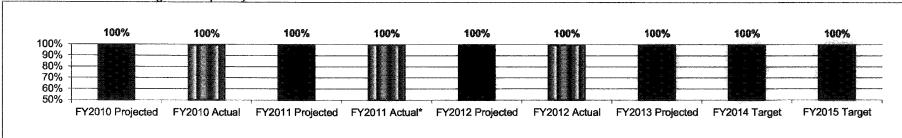
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

## 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

\*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

## 7b. Provide an efficiency measure.

None available.

## 7c. Provide the number of clients/individuals served, if applicable.

	FY20	010	FY2	011	FY2	)12	FY2013	FY2014	FY2015	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target	
Applications Received	390	434	400	485	448	464	433	433	433	
Licensed Professionals	4,624	4,681	4,722	4,765	4,795	4,891	4,911	4,911	4,911	

## 7d. Provide a customer satisfaction measure, if available.

None available.

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# **DECISION ITEM SUMMARY**

Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
PR FUND TRANSFER TO GR								The second secon
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	8,572	0.00	17,500	0.00	17,500	0.00	0	0.0
STATE COMMITTEE OF INTERPRETER	601	0.00	7,800	0.00	7,800	0.00	0	0.0
BRD OF GEOLOGIST REGISTRATION	0	0.00	7,200	0.00	7,200	0.00	0	0.0
MO RE APPRS AND APPRMGMT COMPS	145,921	0.00	155,000	0.00	155,000	0.00	0	0.0
ENDOWED CARE CEMETERY AUDIT	6,265	0.00	10,500	0.00	10,500	0.00	0	0.0
LICENSED SOCIAL WORKERS	31,137	0.00	22,500	0.00	22,500	0.00	0	0.0
STATE COMMITTEE OF PSYCHOLOGST	46,654	0.00	33,500	0.00	33,500	0.00	0	0.0
BOARD OF ACCOUNTANCY	2,556	0.00	19,000	0.00	19,000	0.00	0	0.0
BOARD OF PODIATRIC MEDICINE	1,765	0.00	16,000	0.00	16,000	0.00	0	0.0
BOARD OF CHIROPRACTIC EXAMINER	0	0.00	8,000	0.00	8,000	0.00	0	0.0
BOARD OF EMBALM & FUN DIR	23,129	0.00	85,000	0.00	85,000	0.00	0	0.0
BOARD OF REG FOR HEALING ARTS	34,716	0.00	100,000	0.00	100,000	0.00	0	0.0
BOARD OF NURSING	60,763	0.00	135,000	0.00	135,000	0.00	0	0.0
BOARD OF OPTOMETRY	0	0.00	13,408	0.00	13,408	0.00	0	0.0
BOARD OF PHARMACY	47,065	0.00	119,000	0.00	119,000	0.00	0	0.0
MO REAL ESTATE COMMISSION	229,604	0.00	250,000	0.00	250,000	0.00	0	0.0
VETERINARY MEDICAL BOARD	38,930	0.00	55,000	0.00	55,000	0.00	0	0.0
COMMITTEE OF PROF COUNSELORS	24,161	0.00	40,000	0.00	40,000	0.00	0	0.0
DENTAL BOARD FUND	5,871	0.00	31,200	0.00	31,200	0.00	0	0.0
BRD OF ARCH, ENG, LND SUR, LND AR	64,139	0.00	122,100	0.00	122,100	0.00	0	
ATHLETIC FUND	242	0.00	14,400	0.00	14,400	0.00	0	
ATHLETIC AGENT	0	0.00	1,000	0.00	1,000	0.00	0	
BRD OF COSMETOLOGY & BARBER EX	2,893	0.00	91,250	0.00	91,250	0.00	0	
BOARD OF PI&PI FIRE EXAMINERS	24,295	0.00	16,500	0.00	16,500	0.00	0	
MARITAL & FAMILY THERAPISTS	7,116	0.00	6,000	0.00	6,000	0.00	0	
RESPIRATORY CARE PRACTITIONERS	12,170	0.00	28,000	0.00	28,000	0.00	0	
MO BRD OCCUPATIONAL THERAPY	2,072	0.00	8,960	0.00	8,960	0.00	0	
DIETITIAN	0	0.00	1,200	0.00	1,200	0.00	C	
INTERIOR DESIGNER COUNCIL	0	0.00	1,200	0.00	1,200	0.00	C	
ACUPUNCTURIST	0	0.00	1,000	0.00	1,000	0.00	0	
TATTOO	19,951	0.00	31,000	0.00	31,000	0.00	C	0.0

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DIFP						DEC	ISION ITEM	SUMMARY
Budget Unit		A STATE OF THE STA					**************************************	
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	******
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR FUND TRANSFER TO GR		VV-dasamasan paradaka VV-mara						
CORE								
FUND TRANSFERS								
MASSAGE THERAPY	1,103	0.00	13,000	0.00	13,000	0.00	0	0.00
TOTAL - TRF	841,691	0.00	1,461,218	0.00	1,461,218	0.00	0	0.00
TOTAL	841,691	0.00	1,461,218	0.00	1,461,218	0.00	Ô	0.00
GRAND TOTAL	\$841,691	0.00	\$1.461,218	0.00	\$1,461,218	0.00	\$0	0.00

	FY	2014 Budge	at Ranuaet			FY 2014	Governor's	Recommend	ation
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
nos guas Dus Bren Ivan Breng	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	1,461,218	1,461,218	TRF	0	0	0	0
Total	0	0	1,461,218	1,461,218	Total	0	0	0	0
FIE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	01	0 1	0	Est. Fringe	0	ol	ol	0
	dgeted in House B	ill 5 except fo	or certain fring	es	Note: Fringes I	budgeted in H	ouse Bill 5 ex	xcept for certa	in fringes
oudgeted directly	to MoDOT, Highwa	ay Patrol, <mark>an</mark>	d Conservatio	on.	budgeted direct	tly to MoDOT,	Highway Pa	trol, and Cons	servation.
Other Funds:	Various Profession	onal Registra	tion Funds		Other Funds:				

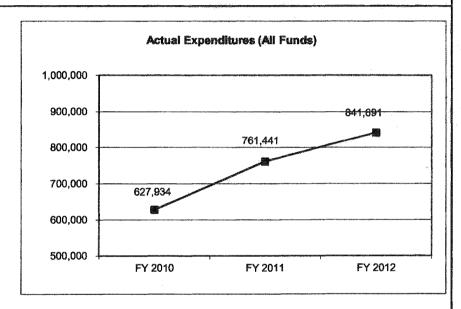
3. PROGRAM LISTING (list programs included in this core funding)

Professional Registration Funds Transfer to General Revenue

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42820C	
Division of Professional Registration		
Core - Transfers to General Revenue		

## 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.	
Appropriation (All Funds)	1,183,181	1,183,181	1,183,181	1,461,218	
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	1,183,181	1,183,181	1,183,181	N/A	
Actual Expenditures (All Funds)	627,934	761,441	841,691	N/A	
Unexpended (All Funds)	555,247	421,740	341,490	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	555,247	421,740	341,490	N/A	
	(1)	(2)	(3)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

## CORE RECONCILIATION DETAIL

## DIFP

PR FUND TRANSFER TO GR

## 5. CORE RECONCILIATION DETAIL

	Budget	4000 MAC 1999			_	•		
	Class	FTE	GR	Feder	<u>ral</u>	Other	Total	
TAFP AFTER VETOES								
	TRF	0.00		O	0	1,461,218	1,461,218	}
	Total	0.00		)	0	1,461,218	1,461,218	}
DEPARTMENT CORE REQUEST								_
	TRF	0.00	1	)	0	1,461,218	1,461,218	
	Total	0.00		0	0	1,461,218	1,461,218	}
GOVERNOR'S RECOMMENDED	CORE							
	TRF	0.00	1	0	0	1,461,218	1,461,218	}
	Total	0.00		0	0	1,461,218	1,461,218	}

DIFP							DECISION IT	em detail
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR FUND TRANSFER TO GR							· · · · · · · · · · · · · · · · · · ·	
CORE								
TRANSFERS OUT	841,691	0.00	1,461,218	0.00	1,461,218	0.00	0	0.00
TOTAL - TRF	841,691	0.00	1,461,218	0.00	1,461,218	0.00	0	0.00
GRAND TOTAL	\$841,691	0.00	\$1,461,218	0.00	\$1,461,218	0,00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$841,691	0.00	\$1,461,218	0.00	\$1.461,218	0.00		0.00

#### PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Funds Transfer to General Revenue

Program is found in the following core budget(s): Division of Professional Registration Funds Transfer to General Revenue

#### 1. What does this program do?

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services and hearing services.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.001.5 RSMo.

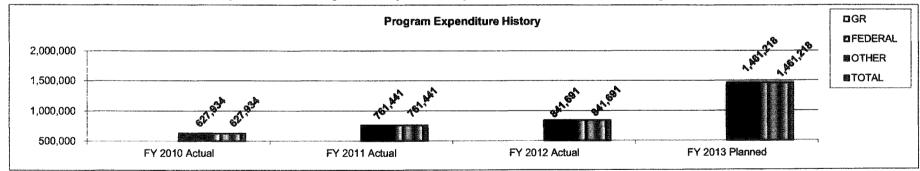
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

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DIFP

**DECISION ITEM SUMMARY** 

Budget Unit								
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	********
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINSTRATION TRANSFER			*					
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	11,560	0.00	88,470	0.00	88,470	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	51,149	0.00	66,549	0.00	66,549	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	41,107	0.00	71,215	0.00	71,215	0.00	0	0.00
MO RE APPRS AND APPRMGMT COMPS	199,011	0.00	419,574	0.00	419,574	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	75,995	0.00	122,879	0.00	122,879	0.00	0	0.00
LICENSED SOCIAL WORKERS	192,267	0.00	237,471	0.00	237,471	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	267,936	0.00	348,058	0.00	348,058	0.00	0	0.00
BOARD OF ACCOUNTANCY	125,332	0:00	176,701	0.00	176,701	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	31,960	0.00	42,473	0.00	42,473	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	123,562	0.00	143,327	0.00	143,327	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	667,896	0.00	836,714	0.00	836,714	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	253,075	0.00	433,431	0.00	433,431	0.00	0	0.00
BOARD OF NURSING	630,085	0.00	1,104,260	0.00	1,104,260	0.00	0	0.00
BOARD OF OPTOMETRY	93,385	0.00	102,381	0.00	102,381	0.00	0	0.00
BOARD OF PHARMACY	219,530	0.00	318,869	0.00	318,869	0.00	0	0.00
MO REAL ESTATE COMMISSION	307,883	0.00	540,206	0.00	540,206	0.00	0	0.00
VETERINARY MEDICAL BOARD	169,075	0.00	188,724	0.00	188,724	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	209,248	0.00	283,797	0.00	283,797	0.00	0	0.00
DENTAL BOARD FUND	9,914	0.00	100,584	0.00	100,584	0.00	0	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	160,850	0.00	278,472	0.00	278,472	0.00	0	0.00
ATHLETIC FUND	190,950	0.00	241,144	0.00	241,144	0.00	0	0.00
ATHLETIC AGENT	2,755	0.00	3,737	0.00	3,737	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	1,445,393	0.00	1,664,242	0.00	1,664,242	0.00	0	0.00
BOARD OF PI&PI FIRE EXAMINERS	83,236	0.00	186,721	0.00	186,721	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	14,397	0.00	19,024	0.00	19,024	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS	86,611	0.00	137,692	0.00	137,692	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	74,598	0.00	138,152	0.00	138,152	0.00	0	0.00
DIETITIAN	22,939	0.00	56,348	0.00	56,348	0.00	0	0.0
INTERIOR DESIGNER COUNCIL	2,177	0.00	42,037	0.00	42,037	0.00	0	0.00
ACUPUNCTURIST	11,340	0.00	13,444	0.00	13,444	0.00	0	0.00
TATTOO	58,624	0.00	81,254	0.00	81,254	0.00	0	0.00

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DIFP				and the same of th		DECISION ITEM SUMMARY			
Budget Unit									
Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	*******	******	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
PR ADMINSTRATION TRANSFER									
CORE									
FUND TRANSFERS									
MASSAGE THERAPY	334,012	0.00	341,082	0.00	341,082	0.00	O	0.00	
TOTAL - TRF	6,167,852	0.00	8,829,032	0.00	8,829,032	0.00	0	0.00	
TOTAL	6,167,852	0.00	8,829,032	0.00	8,829,032	0.00	0	0.00	

\$8,829,032

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**GRAND TOTAL** 

	FY 20	014 Budg	et Request			FY 2014 (	Governor's	Recommend	ation
	GR F	ederal	Other	Total	· 	GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	8,829,032	8,829,032	TRF	0	0	0	0
Total	0	0	8,829,032	8,829,032	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes bud	lgeted in House Bill	5 except fe	or certain fring	ges	Note: Fringes b	oudgeted in Ho	ouse BIII 5 ex	cept for certa	ain fringes
budgeted directly	to MoDOT, Highway	Patrol, an	d Conservation	on.	budgeted direct	ly to MoDOT,	Highway Pa	trol, and Cons	servation.
Other Funds:	Various Professiona				Other Funds:				

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board.

# 3. PROGRAM LISTING (list programs included in this core funding)

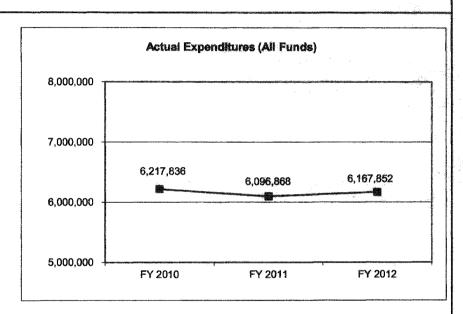
Professional Registration Funds Transfer to Professional Registration Fee Fund

			13-0403316600
Department of Insurance, Financial Institutions and		ED 1 ( 1 1 1 400000	
Illanormant at inclirance Linguagai inclititione and	i Urataggianal Hagiotratian	Budget Unit 42830C	
isosaitiicii. Ol ilistialies. Likaltialiistituviis alt	i fiulgasional Naulaudii	Budget Unit 42830C	
		***************************************	-
Division of Professional Registration			
ILIIVISION OT PTOYASSIONAL RODISTEATION			

Core - Transfers to Professional Registration Fees Fund

### 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	7,614,594	7,614,594	7,614,594	8,829,032
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	7,614,594	7,614,594	7,614,594	N/A
Actual Expenditures (All Funds)	6,217,836	6,096,868	6,167,852	N/A
Unexpended (All Funds)	1,396,758	1,517,726	1,446,742	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,396,758	1,517,726	1,446,742	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

# NOTES:

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from Profesional Registration Administration.
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from Profesional Registration Administration.
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from Profesional Registration Administration.

# **CORE RECONCILIATION DETAIL**

# DIFP

# PR ADMINSTRATION TRANSFER

# 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	C	Other	Total	Bizala
TAFP AFTER VETOES			and the state of t					-
	TRF	0.00	0	0	) 8	3,829,032	8,829,032	
	Total	0.00	0	0	) 8	3,829,032	8,829,032	-
DEPARTMENT CORE REQUEST								•
	TRF	0.00	0	0	) (	3,829,032	8,829,032	
	Total	0.00	0	0	) 8	3,829,032	8,829,032	
GOVERNOR'S RECOMMENDED	CORE	000 000 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)						-
	TRF	0.00	0	0	) 8	3,829,032	8,829,032	1
	Total	0.00	0	0	8	3,829,032	8,829,032	

DIFP							DECISION IT	'EM DETAIL
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	********	*******
Decision Item	ACTUAL.	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINSTRATION TRANSFER								
CORE								
TRANSFERS OUT	6,167,852	0.00	8,829,032	0.00	8,829,032	0.00	0	0.00
TOTAL - TRF	6,167,852	0.00	8,829,032	0.00	8,829,032	0.00	0	0.00
GRAND TOTAL	<b>\$</b> 6,167,852	0.00	\$8,829,032	0.00	\$8,829,032	0.00	\$0	0.00

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GENERAL REVENUE

**FEDERAL FUNDS** 

OTHER FUNDS

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#### PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Funds Transfer to Professional Registration Fees Fund

Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund

#### 1. What does this program do?

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to the board.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.001.5 RSMo.

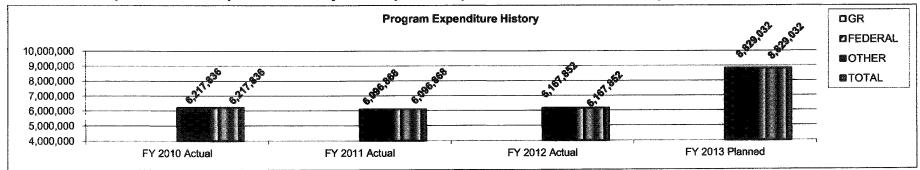
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

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DIFP							DEC	ISION ITEN	I SUMMAR
Budget Unit	19		· · · · · · · · · · · · · · · · · · ·	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
Decision Item	FY 2012	FY	2012	FY 2013	FY 2013	FY 2014	FY 2014	*****	*******
Budget Object Summary	ACTUAL	AC*	TUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	F	TE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR STARTUP LOANS									
CORE									
FUND TRANSFERS									
BOARD OF REG FOR HEALING ARTS		0	0.00	200,000	0.00	200,000	0.00	(	0.00
TOTAL - TRF		0	0.00	200,000	0.00	200,000	0.00	(	0.00
TOTAL	***************************************	0	0.00	200,000	0.00	200,000	0.00		0.00
GRAND TOTAL		\$0	0.00	\$200,000	0.00	\$200,000	0.00	\$(	0.00

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	IAL SUMMARY	2044 D	4.50	Equitament and the second seco	and the second s	P\/ 0044			
	GR FT	' 2014 Budge Federal	t Request Other	Total		FY 2014 GR	Governors Fed	Recommend Other	ation Total
PS .	0	0	0	0	PS -	0	0	0	0
	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	200,000	200,000	TRF	0	0	0	0
Γotal	0	0	200,000	200,000	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0 1	0	0	Est. Fringe	0	0	0	0
Note: Fringes bud	geted in House B	ill 5 except fo	r certain fringe	98	Note: Fringes b	udgeted in H	ouse Bill 5 e.	xcept for certs	ain fringes
budgeted directly t	o MoDOT, Highw	ay Patrol, and	d Conservation	n.	budgeted directi	y to MoDOT,	Highway Pa	trol, and Cons	servation.

### 2. CORE DESCRIPTION

The Professional Registration Transfer for Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

# 3. PROGRAM LISTING (list programs included in this core funding)

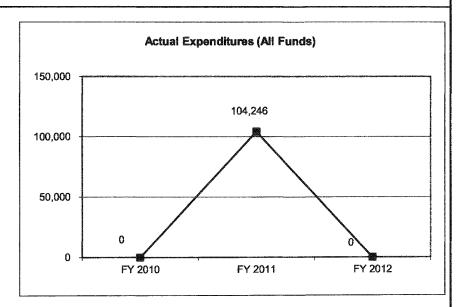
Transfer for Startup Loans for New Board Programs

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42850C Division of Professional Registration

Core - Transfers for Start Up Loans for New Board Programs

# 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1	104,246	1	200,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1	104,246	1	N/A
Actual Expenditures (All Funds)	0	104,246	0	N/A
Unexpended (All Funds)	1	0	1	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1	0	1	N/A
		(1)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Startup funds were borrowed from the Board of Nursing Fund for the Board of Private Investigator Examiners Fund.

# **CORE RECONCILIATION DETAIL**

# DIFP

PR STARTUP LOANS

# 5. CORE RECONCILIATION DETAIL

	Budget						
	Class	FTE	GR	Federal	Other	Total	Ex
TAFP AFTER VETOES							
	TRF	0.00	(	0	200,000	200,000	)
	Total	0.00		0	200,000	200,000	)
DEPARTMENT CORE REQUEST					400000000000000000000000000000000000000		-
	TRF	0.00	(	0	200,000	200,000	)
	Total	0.00		0	200,000	200,000	)
GOVERNOR'S RECOMMENDED	CORE						
	TRF	0.00	(	0	200,000	200,000	)
	Total	0.00		0	200,000	200,000	)

DIFP							<b>DECISION IT</b>	<b>EM DETAIL</b>
Budget Unit	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	******	*******
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR STARTUP LOANS								
CORE								
TRANSFERS OUT		0.0	0 200,000	0.00	200,000	0.00	0	0.00
TOTAL - TRF		0.0	0 200,000	0.00	200,000	0.00	0	0.00
GRAND TOTAL		\$0 0.0	0 \$200,000	0.00	\$200,000	0.00	\$0	0.00
GENERAL REVENU	E	\$0 0.0	0 \$0	0.00	\$0	0.00		0.00
FEDERAL FUND	s s	\$0 0.0	0 \$0	0.00	\$0	0.00		0.00
OTHER FUND	s s	\$0 0.0	<b>\$200.000</b>	0.00	\$200.000	0.00		0.00

#### PROGRAM DESCRIPTION

# Department of Insurance, Financial Institutions and Professional Registration

Transfer for Startup Loans for New Board Programs

Program is found in the following core budget(s): Transfer for Startup Loans for New Board Programs

#### 1. What does this program do?

The Professional Registration Transfer for Startup Loans Program is necessary to carry out the provisions of chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established chapter 324.016 RSMo. states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

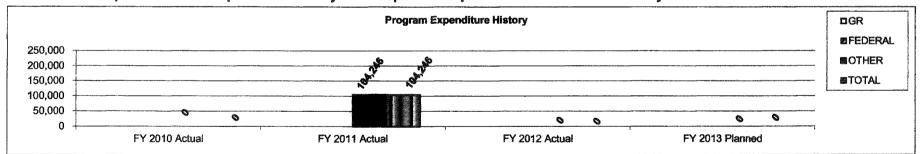
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

- 7a. Provide an effectiveness measure.

  None available.
- 7c. Provide the number of clients/individuals served, if applicable.

  None available.
- 7b.
- Provide an efficiency measure.

None available.

- 7d.
- Provide a customer satisfaction measure, if available.

None available.

DIFP	
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# **DECISION ITEM SUMMARY**

GRAND TOTAL	\$5,000	0.00	\$320,000	0.00	\$320,000	0.00	\$0	0.00
TOTAL	5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
TOTAL - TRF	5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
FUND TRANSFERS PROFESSIONAL REGISTRATION FEES	5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
CORE								
PR STARTUP LOANS PAYBACK					A			
Budget Object Summary Fund	ACTUAL DOLLAR	ACTUAL FTE	BUDGET DOLLAR	BUDGET FTE	DEPT REQ DOLLAR	DEPT REQ FTE	SECURED COLUMN	SECURED COLUMN
Budget Unit Decision Item	FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	******

im\_disummary

1. CORE FINANC	IAL SUMMARY	7	out the state of t			ayadahan ayadaha			
	FY 2	2014 Budge	t Request			FY 2014	Governor's	Recommend	lation
	GR	Fed <del>e</del> ral	Other	Total		GR	Fed	Other	Total
PS T	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	320,000	320,000	TRF	0	.0	0	0
Total	0	0	320,000	320,000	Total	0	0.7	0.	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes bud	geted in House Bill	5 except fo	r certain fringe	9S	Note: Fringes b	oudgeted in H	louse Bill 5 e	xcept for certa	ain fringes
budgeted directly to	o MoDOT, Highway	v Patrol, and	d Conservation	n.	budgeted direct	ly to MoDOT.	Highway Pa	trol, and Cons	servation.

### 2. CORE DESCRIPTION

The Professional Registration Transfer for Payback of Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

# 3. PROGRAM LISTING (list programs included in this core funding)

Transfer for Startup Loans Payback

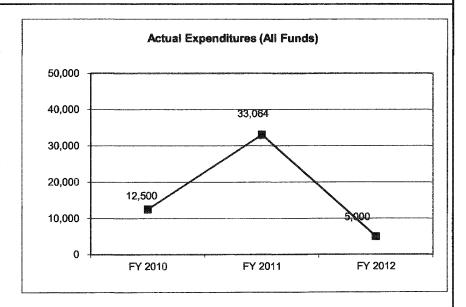
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42860C

Division of Professional Registration

Core - Transfers for Start Up Loan Payback

# 4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	12,500	33,064	5,000	320,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	12,500	33,064	5,000	N/A
Actual Expenditures (All Funds)	12,500	33,064	5,000	N/A
Unexpended (All Funds)	0	0	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	0	
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

- (1) Startup loans paybacks included Interior Design and Interpreters.
- (2) Startup loans paybacks included Interior Design and Interpreters.
- (3) Original Appropriation of \$1 increased by \$4,999.

# **CORE RECONCILIATION DETAIL**

# DIFP

PR STARTUP LOANS PAYBACK

# 5. CORE RECONCILIATION DETAIL

	Budget						
	Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	(	) 0	320,00	0 320,000	D
	Total	0.00		) 0	320,00	0 320,000	0
DEPARTMENT CORE REQUEST							-
	TRF	0.00	(	) 0	320,00	0 320,000	0
	Total	0.00	(	) 0	320,00	0 320,000	
GOVERNOR'S RECOMMENDED	CORE						
	TRF	0.00	(	) 0	320,00	0 320,000	<u>0</u>
	Total	0.00		0	320,00	0 320,000	D

DIFP							£ .	DECISION IT	EM DETAIL
Budget Unit		FY 2012	FY 2012	FY 2013	FY 2013	FY 2014	FY 2014	****	********
Decision Item		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class		DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR STARTUP LOANS PAYBA	СК								
CORE									
TRANSFERS OUT		5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
TOTAL - TRF		5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
GRAND TOTAL		\$5,000	0.00	\$320,000	0.00	\$320,000	0.00	\$0	0.00
	GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
	OTHER FUNDS	\$5,000	0.00	\$320,000	0.00	\$320,000	0.00		0.00

#### **PROGRAM DESCRIPTION**

# Department of Insurance, Financial Institutions and Professional Registration

Transfer for Startup Loans Payback

Program is found in the following core budget(s): Transfer for Startup Loans Payback

#### 1. What does this program do?

The Professional Registration Transfer for Startup Loans Payback Program is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

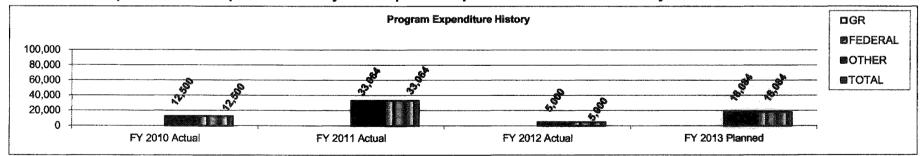
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

- 7a. Provide an effectiveness measure.
  None available.
- TAUTIC GAGIIGDIC.
- 7c. Provide the number of clients/individuals served, if applicable.

  None available.
- 7b.
- Provide an efficiency measure.
- None available.
- 7d. Provide a customer satisfaction measure, if available.
  - None available.

Contract of the contract of th	ance Operations	**************************************								
Implementation o	f SB 749 (2012)			l# 2375001	Original FY 201	3 House Bi	II Section, if a	pplicable	7.420	
1. AMOUNT OF R	REQUEST									
	FY 2013 Su	pplemental E	udget Requ	est	FY 2013 Supplemental Governor's Recommendation					
	GR	Federal	Other	Total		GR	Federal	Other	Total	
PS	0	0	38,428	38,428	PS	0	0	0	0	
in for	0	0	11,850	11,850	EE	0	0	0	0	
PSD	0	0	0	0	PSD	0	0	0	0	
TRF	0	0	0	0	TRF	0	0	0	0	
Total	0	0	50,278	50,278	Total	0	0	0	0	
FTE	0.00	0.00	1.00	1.00	FTE	0.00	0.00	0.00	0.00	
POSITIONS	0	0	4	4	POSITIONS	0	0	0	0	
NUMBER OF MOI	NTHS POSITIONS	ARE NEEDE	D: _	3	NUMBER OF M	ONTHS PO	SITIONS ARE	NEEDED:		
Est. Fringe	0 1	0	19,379	19,379	Est. Fringe	0	01	0	0	
Note: Fringes bud	geted in House Bill	5 except for c	ertain fringes	budgeted	Note: Fringes b	udgeted in l	louse Bill 5 ex	cept for certa	in fringes	
directly to MoDOT,	Highway Patrol, a	nd Conservatio	on.	2705-5323	budgeted directl					
Other Funds: Ir	nsurance Dedicated	Fund (0566)			Other Funds:					

# 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department is seeing substantially higher filing volumes from the health insurance industry. The number of Missourians covered under state regulated individual health insurance products has increased by 39% since 2001; premium volume has increased by 255%. Due to the passage of Senate Bill 749, there will be an additional increase of health insurance policy form filings. Currently, insurance companies can make filings with variable provisions, meaning the provisions can be included or excluded based upon an insurance consumer's choice. Any combination of variable provisions in an insurance form can produce hundreds of different policy forms. The passage of Senate Bill 749 contains provisions which allow for civil suits to be filed against "a government entity, public official, or entity acting in a governmental capacity by any person or entity or group of persons or entities", for any persons aggrieved by a violation of Section 191.724. Section 191.724 provides that no person, employer or employee may be compelled to have health insurance coverage for abortions, sterilization or contraception. Due to the liability provisions in Senate Bill 749 for government agencies and employees, the complexity of insurance policy forms, and the need to determine that policies comply with Missouri law, the Department will have to limit the amount of variability in policy form filings to ensure compliance with Senate Bill 749. The Department estimates an additional 2,260 filings in a year, for the roughly 452 insurance companies with an active license to write Accident and Health Insurance Coverage.

The Department's authority and/or requirement to review and approve all policy forms for group and individual health insurance policies issued or delivered in the State of Missouri can be found in Section 376.405 and 376.777 RSMo.

Department of Insurance, Financial Institutions	and Professional Registration	Budget Unit 37501C
Insurance - Insurance Operations		
Implementation of SB 749 (2012)	DI# 2375001	Original FY 2013 House Bill Section, if applicable 7.420
	A STATE OF THE PROPERTY OF THE	

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

In discussions with insurance companies, the Department has been advised any combination of variable provisions in a policy form can represent hundreds of different policies, in their final form. There are approximately 452 insurance companies with an active license to write Accident and Health Insurance. Only a portion of those companies would write policies subject to the provisions of Senate Bill 749. The Division anticipates an additional 2,260 fillings each year. Each full-time analyst reviews approximately 500 policy form fillings each year. This additional filling volume will necessitate the addition of at least three (3) new Insurance Product Analysts to fully implement the provisions of Senate Bill 749 and maintain the current workloads and review times. With the Department's exposure to civil liability, the Division anticipates the need for increased legal services necessitating the hiring of one additional attorney—a Senior Legal Counsel. Fiscal note response stated FTE and expenses could be needed.

	Dept Req GR	Dept Req GR	Dept Req FED	Dept Req FED	Dept Req OTHER	Dept Req OTHER	Dept Req TOTAL	Dept Req TOTAL	Dept Req One-Time
Budget Object Class/Job Class	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS
100/007576 / Ins Product Analyst II					24,678	0.75	24,678	0.75	24,678
100/009735/Sr Legal Counsel					13,750	0.25	13,750	0.25	13,750
Total PS	0	0.0	. 0	0.0	38,428	1.0	38,428	1.0	38,428
340/Communication Expenses					10,236		10,236		
320/Professional Development					618		618		
190/Office Supplies					996		996	_	
Total EE	0		0	·	11,850	•	11,850		0
Program Distributions							0		C
Total PSD	0		0	•	0	•	0	9	0
Transfers							0		O
Total TRF	0		0	•	0	•	0	•	0
Grand Total	0	0.0	0	0.0	50,278	1.0	50,278	1.0	38,428

Department of Insurance, Financial Institution	ns and Profess	sional Regis	tration	<b>Budget Unit</b>	37501C	THE CONTRACT OF THE CONTRACT O	The control of the co		**************************************
Insurance - Insurance Operations									
Implementation of SB 749 (2012)	-	DI# 2375001		Original FY 2	013 House B	ill Section, if	applicable	7.420	
	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec
	GR	GR	FED	FED	OTHER	OTHER	TOTAL	TOTAL	One-Time
Budget Object Class/Job Class	DOLLARS	FTE	<b>DOLLARS</b>	FTE	DOLLARS	FTE	<b>DOLLARS</b>	FTE	DOLLARS
							0	0.0	0
							0	0.0	0
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		•
							0		0
							0		0
							0		Ö
Total EE	0		0	•	0	,	0	•	0
Program Distributions							0		0
Total PSD	NOON CANADA CONTRACTOR	•	0	•	0	•	0	•	0
Transfers							0		0
Total TRF	0	•	0	•	0	,	0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	O
									200400000000000000000000000000000000000

Department of Insurance, Financial Institutions	and Professional Registration	Budget Unit 37501C
Insurance - Insurance Operations		
Implementation of SB 749 (2012)	DI# 2375001	Original FY 2013 House Bill Section, if applicable 7.420

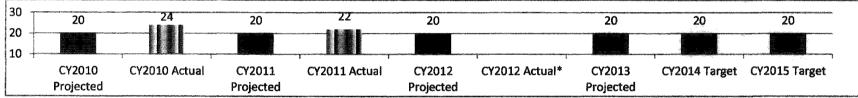
# 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

#### Provide an effectiveness measure.

Not yet available.

### 5b. Provide an efficiency measure.

Average Processing time for Life and Health policy filings



<sup>\*</sup>Calendar year information will be provided with the Governor's Recommendations.

# 5c. Provide the number of clients/individuals served, if applicable.

	CY20	10	CY2011		CY2012		CY2013	CY2014	CY2015
	_Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

\*Calendar year information will be provided with the Governor's Recommendations.

# 5d. Provide a customer satisfaction measure, if

Not yet available.

#### 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The Life and Health Section strives to maintain a 20 day turnaround time for form filing reviews for insurance industry Speed to Market initiatives. This is 20 days from receipt of the filing to either the first objection generated to the filing insurance company or to the conclusion of the filing review. The Life and Health Section will treat these additional filings as other filings and will work to achieve and maintain a 20 day review turnaround time.

D	F	P

#### **DECISION ITEM DETAIL** \*\*\*\* \*\*\*\* \*\*\*\*\*\* SUPPL **Budget Unit** \*\*\*\*\*\* SUPPL SUPPL DEPT SUPPL DEPT **Decision Item REQUEST REQUEST SECURED SECURED SECURED SECURED** MONTHS FOR POSITION **Budget Object Class DOLLAR** FTE COLUMN COLUMN COLUMN COLUMN **INSURANCE OPERATIONS** Implementation SB 749 (2012) - 2375001 **INSURANCE PRODUCT ANALYST II** 24,678 0.75 0.00 0.00 3.00 0 0 3 SENIOR COUNSEL 0 3 13,750 0.00 0 0.00 1.00 0.25 TOTAL - PS 38,428 1.00 0 0.00 0 0.00 6 4.00 SUPPLIES 0.00 996 0.00 0 0.00 0 0.00 0 PROFESSIONAL DEVELOPMENT 618 0.00 0 0.00 0 0.00 0 0.00 COMMUNICATION SERV & SUPP 0 10,236 0.00 0 0.00 0 0.00 0.00 TOTAL - EE 11,850 0.00 0 0.00 0 0.00 0 0.00 **GRAND TOTAL** \$50,278 1.00 \$0 \$0 0.00 \$6 4.00 0.00 **GENERAL REVENUE** \$0 0.00 \$0 0.00 \$0 0.00\$0 0.00 **FEDERAL FUNDS** \$0 0.00 \$0 0.00 \$0 \$0 0.00 0.00

\$0

0.00

\$0

0.00

\$6

OTHER FUNDS

\$50,278

1.00

4.00